

# Information Theory and Visualization

Tutorial on Information Theory in Visualization

Min Chen  
University of Oxford



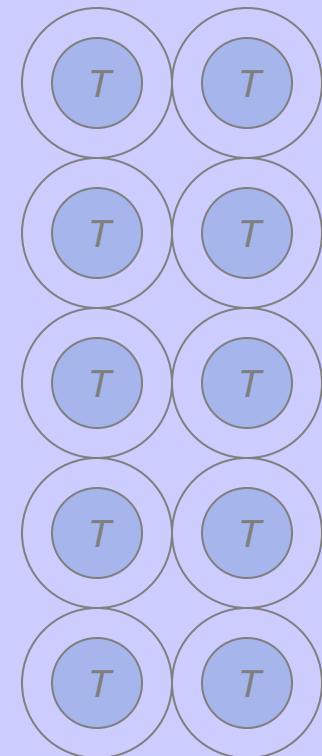
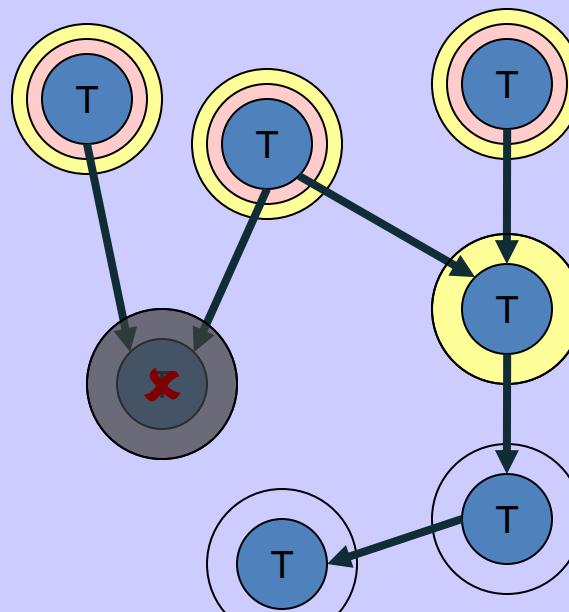
# The Role of a Theoretic Framework

Facts

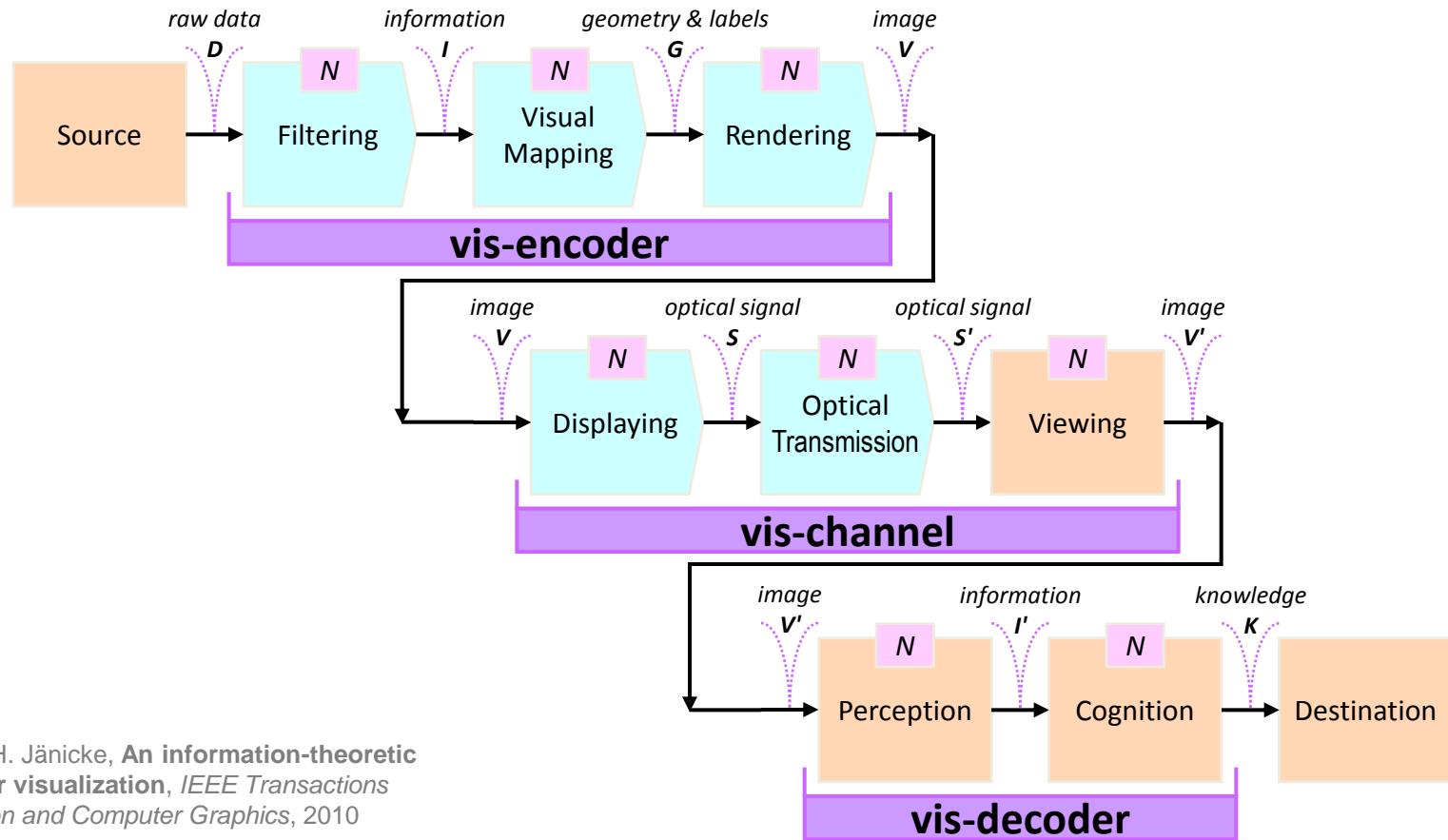
Wisdom

Theory

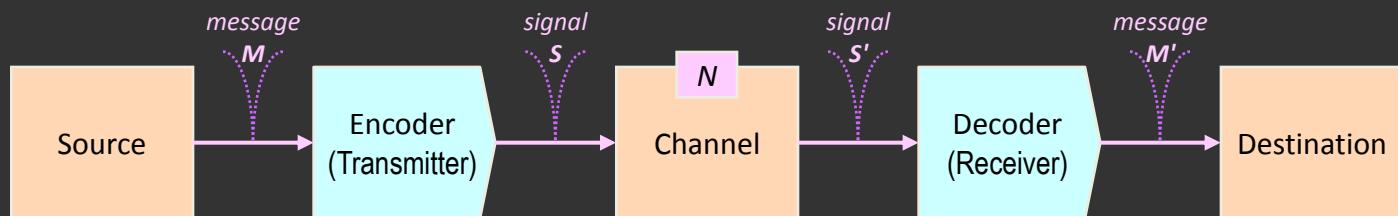
Theoretic Framework



# An Information-Theoretic View of Visualization

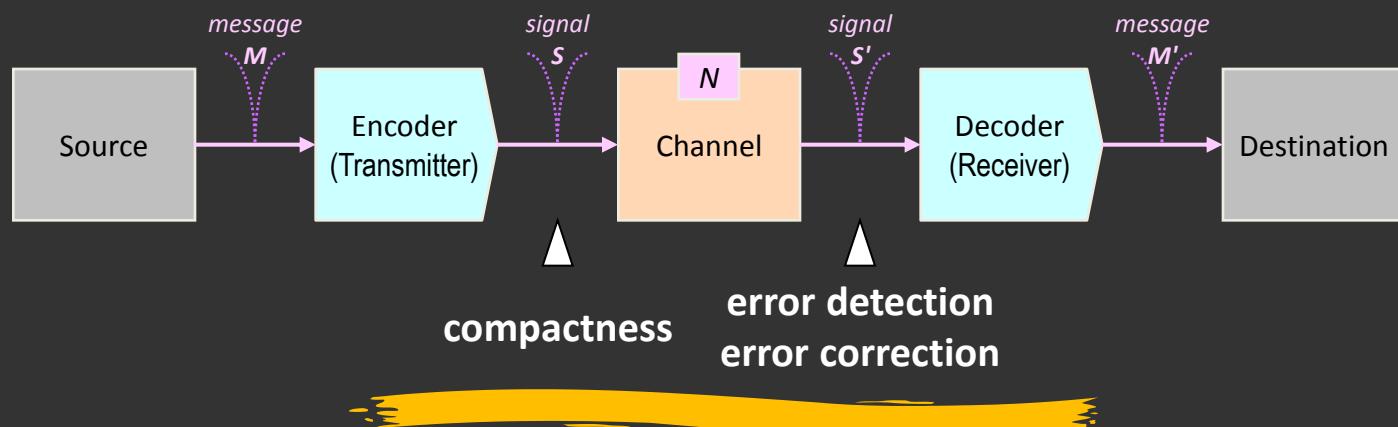
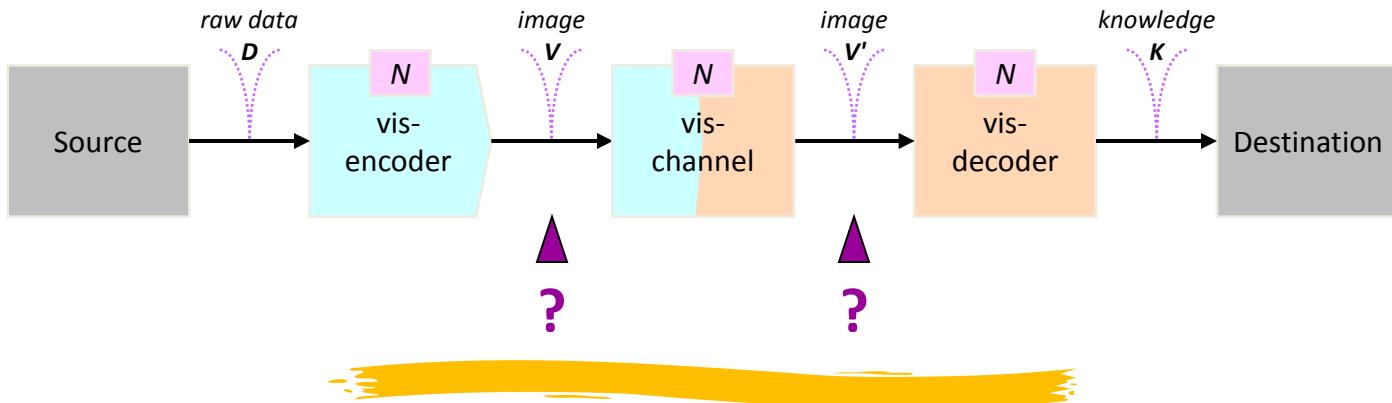


M. Chen and H. Jänicke, An information-theoretic framework for visualization, *IEEE Transactions on Visualisation and Computer Graphics*, 2010

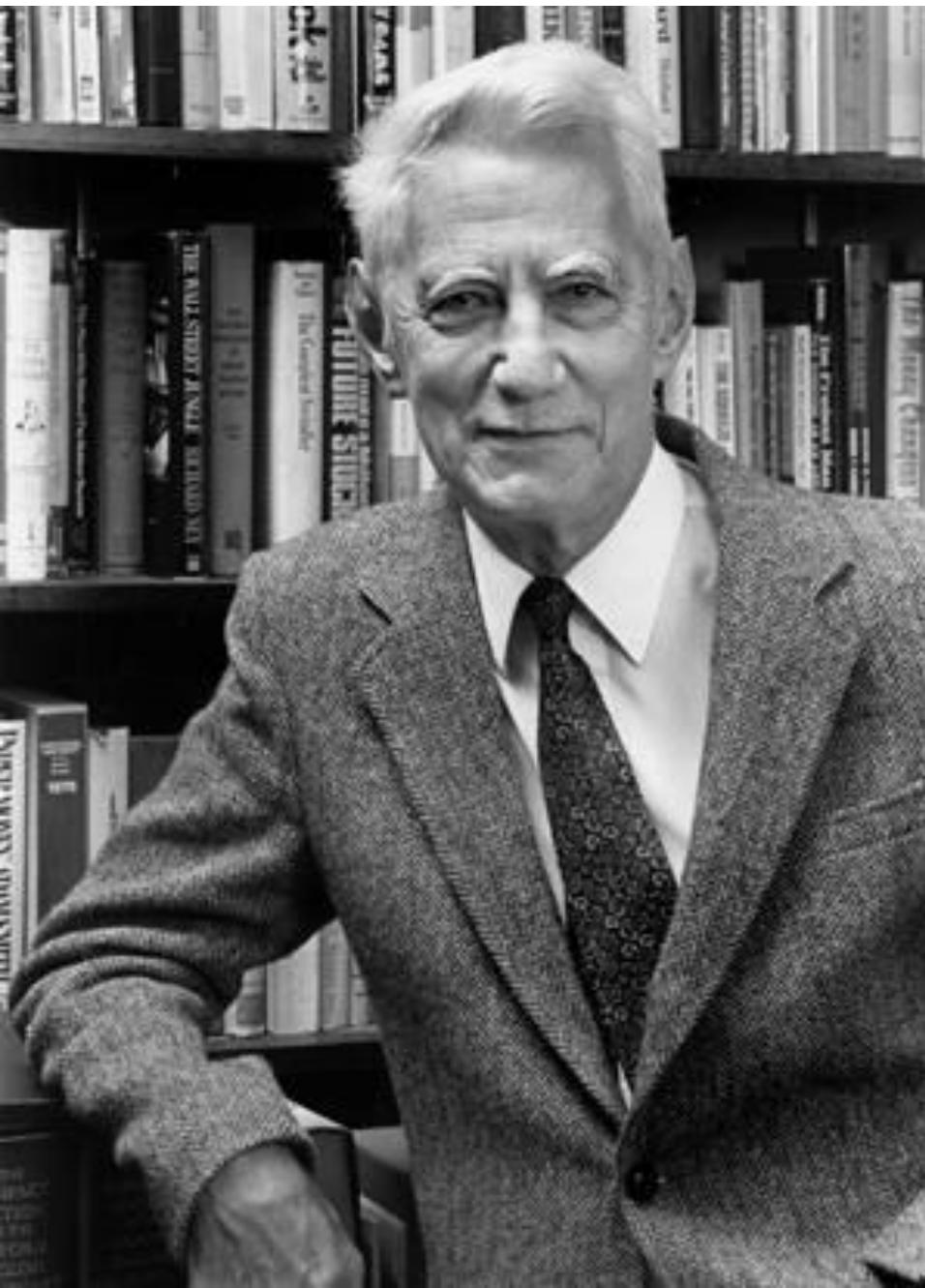


## A General Communication System

# Three Visualization Subsystems



# Three Communication Subsystems



# Entropy

- Random variable (**alphabet**)
  - $X$
- It takes values (**letters**)
  - $x_1, x_2, \dots, x_m$
- Probability mass function
  - $p(x_i)$
- Entropy (**uncertainty**)

$$\mathbf{H}(X) = -\sum_i^m p(x_i) \log_2 p(x_i)$$

# Information Theory and Visualization

1. Data Intelligence — a big picture
2. Visualization — a small picture
3. Measurement, Explanation, and Prediction
4. Example: Visual Multiplexing
5. Example: Error Detection and Correction
6. Example: Process Optimization
7. Summary

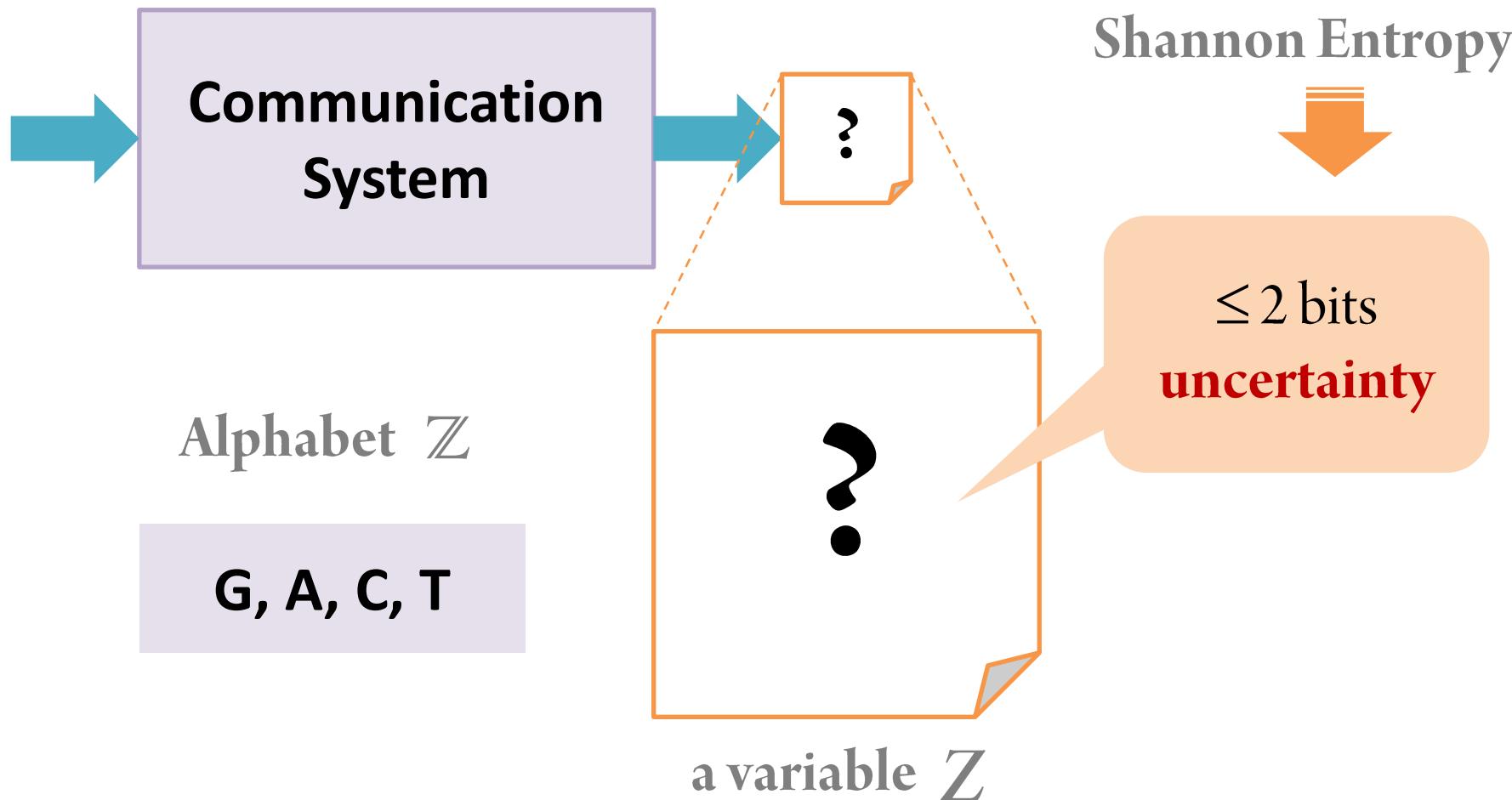
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# Shannon's Definition of Information

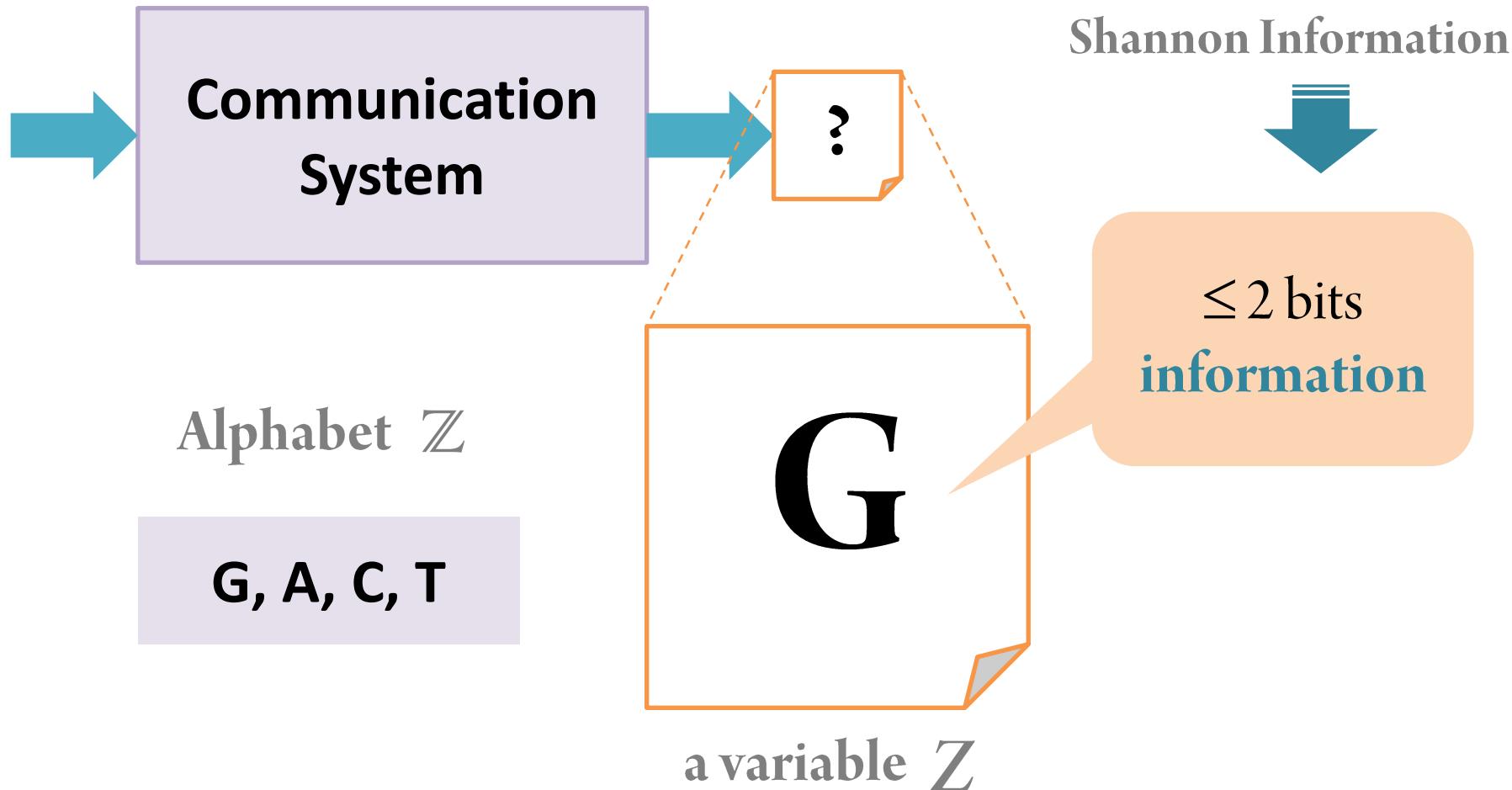
Min Chen, Cost-Benefit Analysis of Data Intelligence,  
<https://vimeo.com/145258513>, 2015

$$\mathcal{H}(Z) = - \sum_{z \in Z} p(z) \log_2 p(z)$$



# Shannon's Definition of Information

$$\mathcal{H}(Z) = - \sum_{z \in Z} p(z) \log_2 p(z)$$

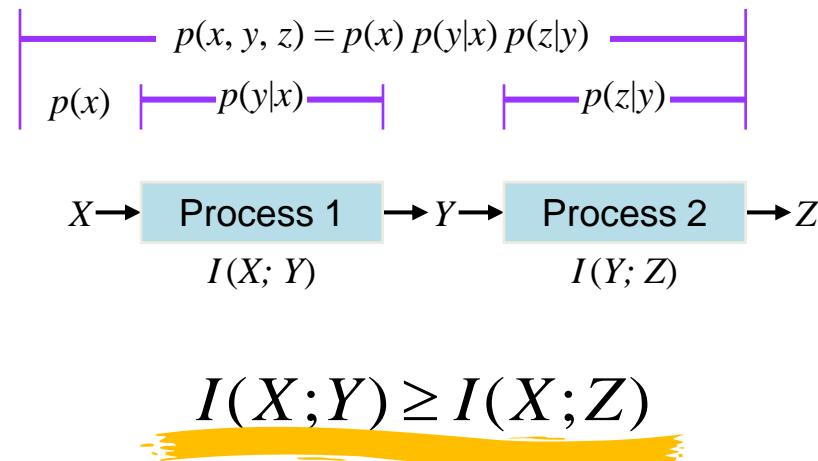


# An Alphabet and its Letters

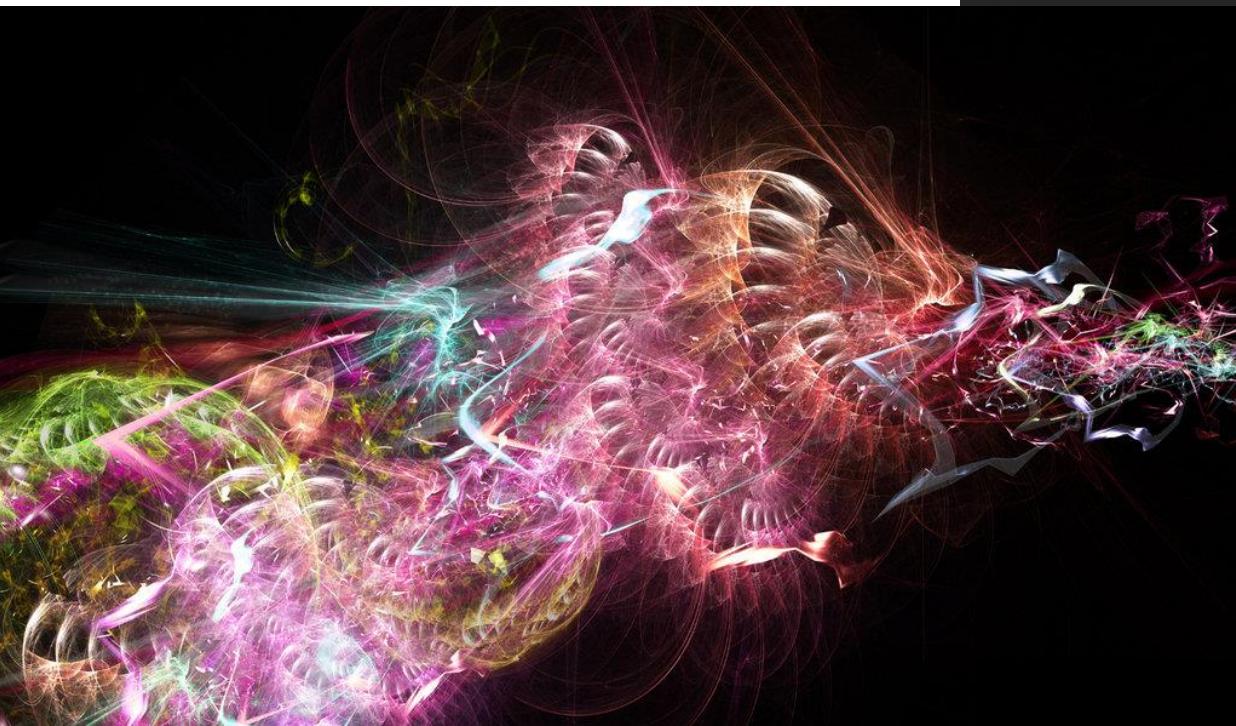
- English alphabet A, B, C, ..., X, Y, Z
- All English prefixes bio, geo, pre, pro, ..., un
- All English words a, ..., silicosis, ..., titin, ...
- All sentences in a text corpus  
45 letters  
**pneumonoultramicroscopicsilicovolcanoconiosis**
- All published BioVis papers ...
- ...

189,819 letters  
a word or a formula?

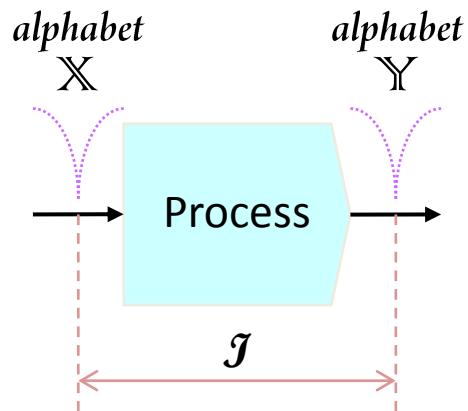
# Data Processing Inequality



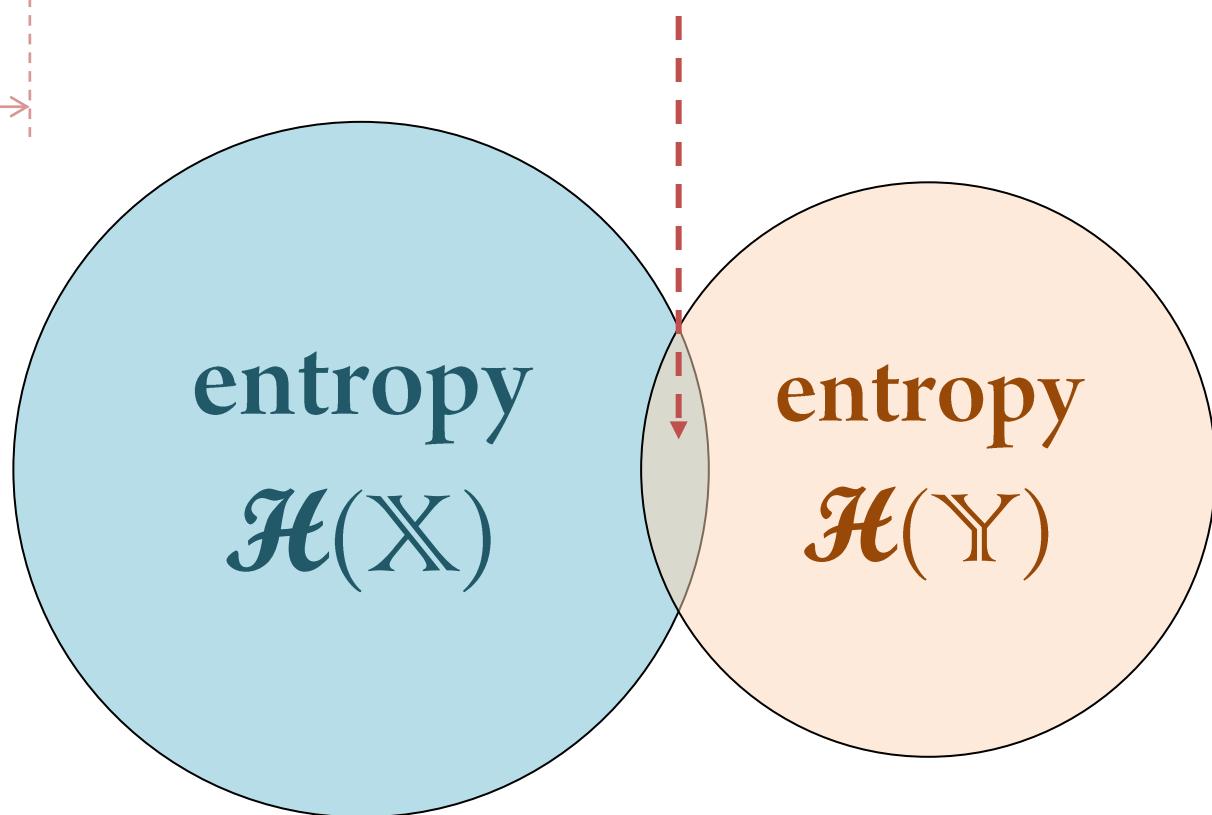
- “No clever manipulation of data can improve the inferences that can be made from the data”  
[Cover and Thomas, 2006]



# Mutual Information (shared uncertainty)

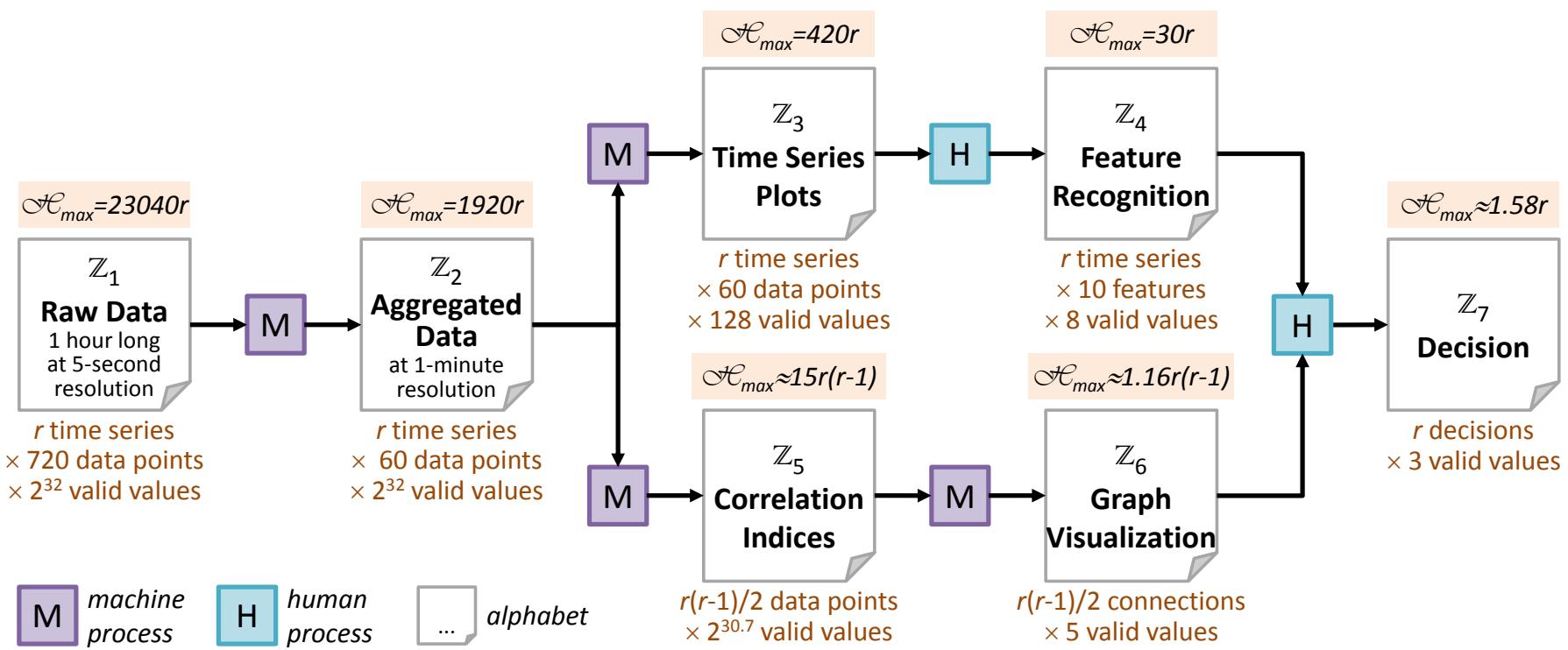


$$I(X; Y) = \sum_{x \in X} \sum_{y \in Y} p(x, y) \log_2 \frac{p(x, y)}{p_X(x)p_Y(y)}$$

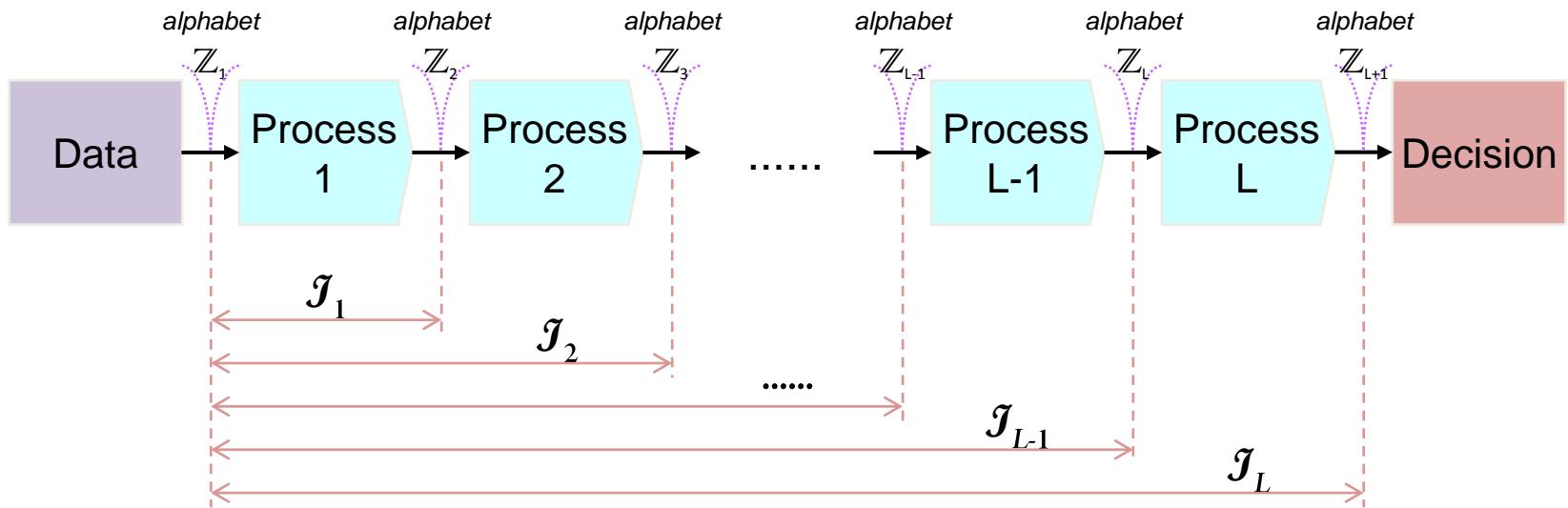


# An Example Data Analysis and Visualization Process

- $r$  time series
  - 720 data point each series
  - $2^{32}$  valid value each point
- $r$  decisions
  - 3 valid values each (e.g., buy, sell, hold)



# Data Processing Inequality

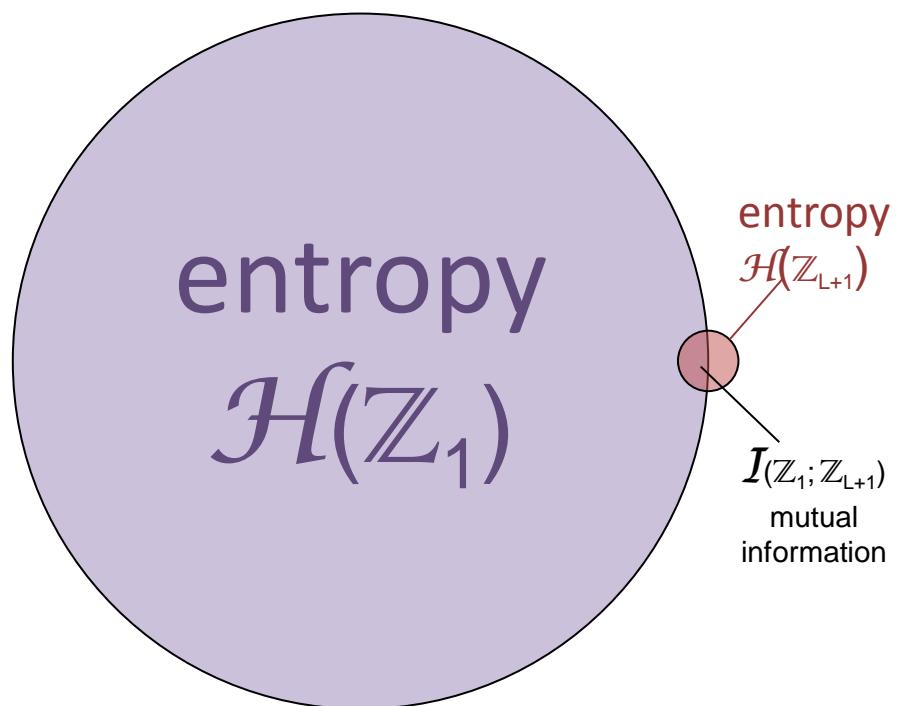
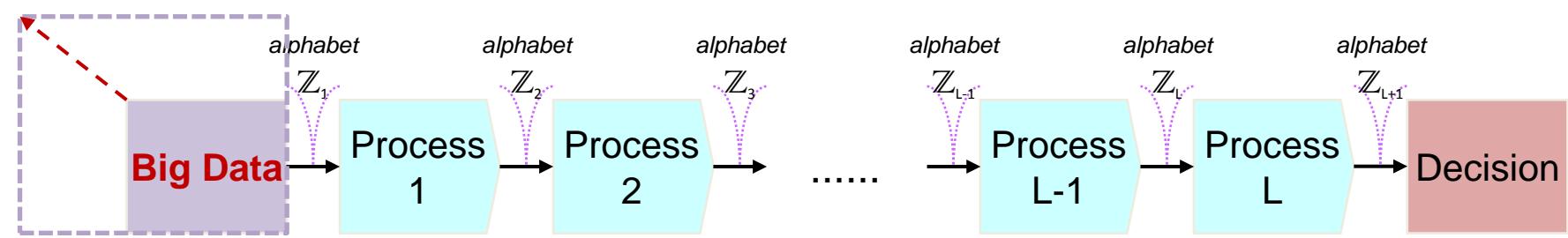


## Decreasing of Mutual Information

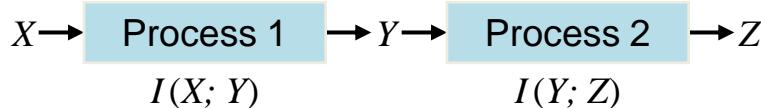
$$I_1 \geq I_2 \geq \dots \geq I_{L-1} \geq I_L$$



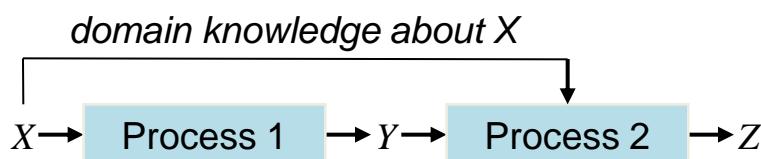
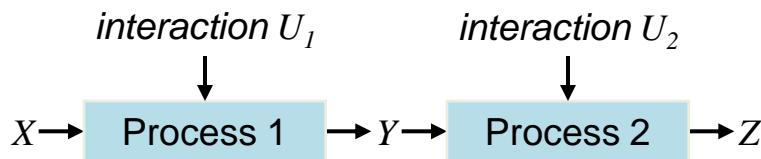
# Data Processing Inequality: Big Data Input?



$$p(x, y, z) = p(x) p(y|x) p(z|y)$$



$$I(X; Y) \geq I(X; Z)$$



$$I(X; Y) \overset{X}{\geq} I(X; Z)$$

## DPI is not Ubiquitous

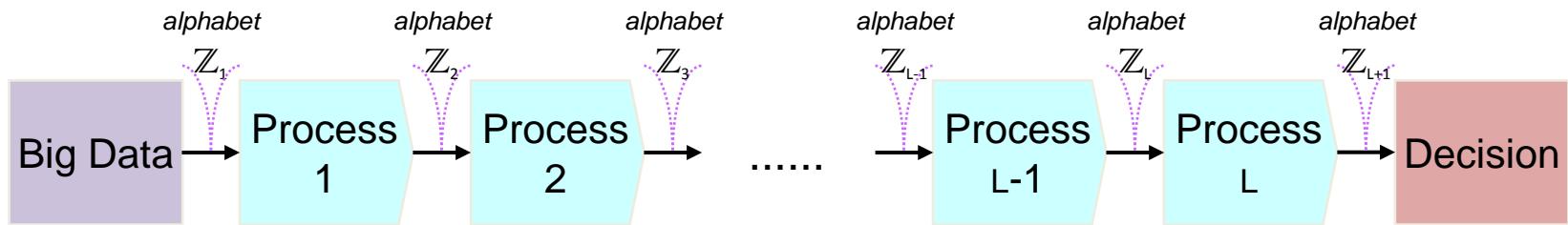
### ■ Markov chain conditions

- Closed coupling: (X, Y), (Y, Z)
- X and Z are conditionally independent

### ■ What if one of the conditions is broken?

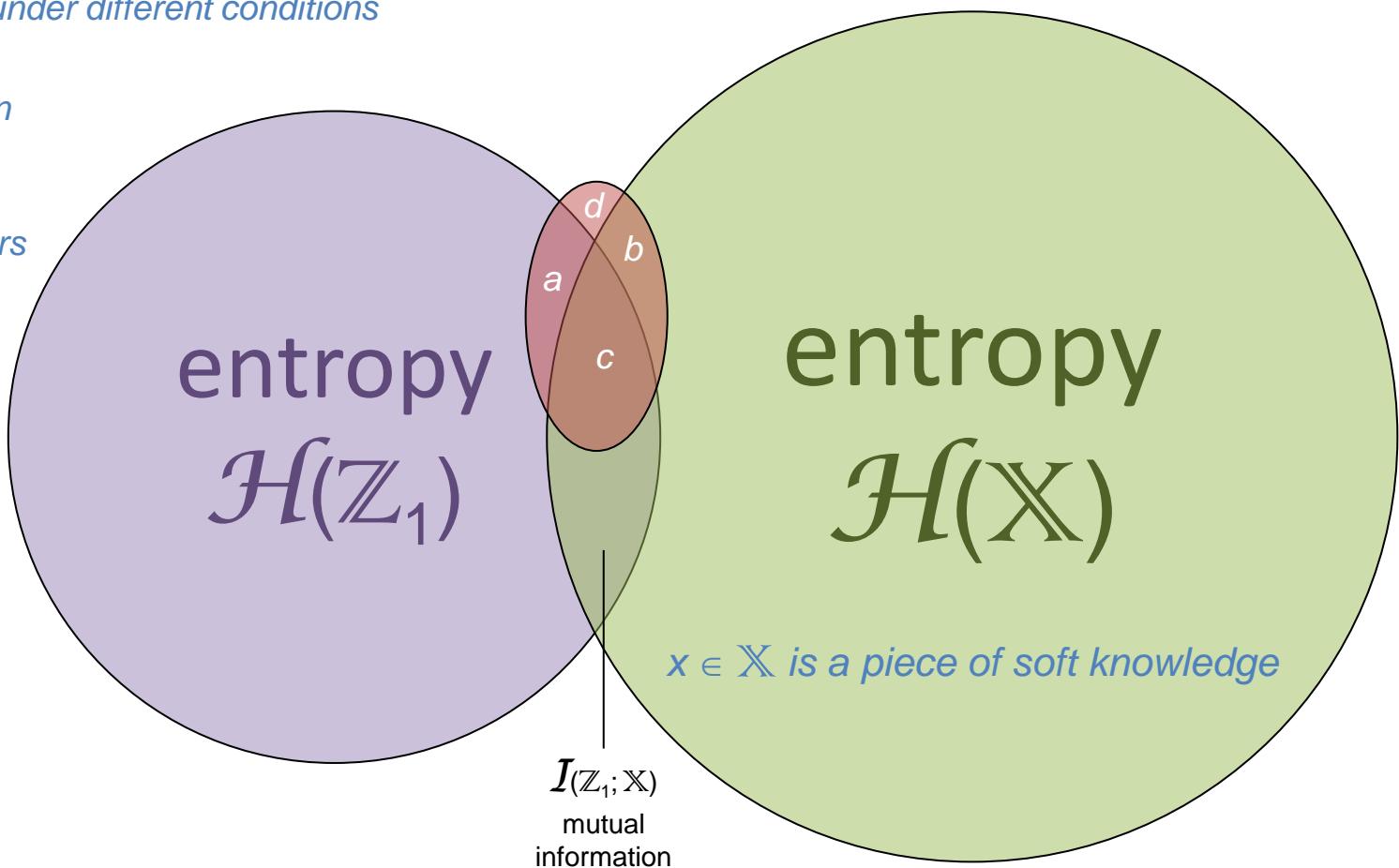
### ■ In visual analytics, both conditions are usually broken.

# Soft Knowledge in Data Intelligence

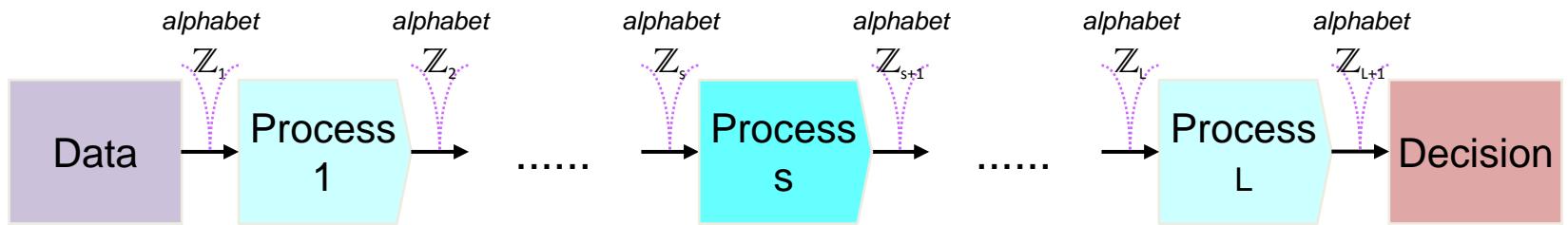


All possible decisions under different conditions

- a) totally data-driven
- b) totally instinct-driven
- c) data-informed
- d) due to unknown or uncontrollable factors



# Transformation



forward mapping  $F$

alphabet  
 $Z_s$

Alphabet Compression

alphabet  
 $Z_{s+1}$

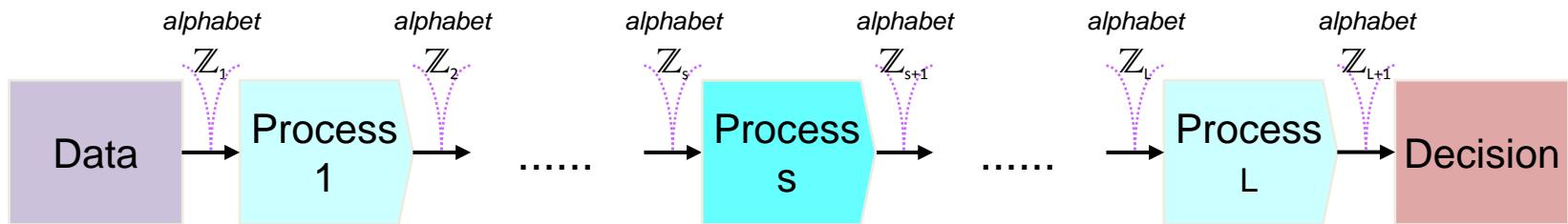
$G$  backward mapping

alphabet  
 $Z'_s$

Potential Distortion

alphabet  
 $Z_{s+1}$

# A Sequential Workflow and Two Basic Metrics



- The  $s^{\text{th}}$  Function (Process):

$$F_s : \mathbb{Z}_s \longrightarrow \mathbb{Z}_{s+1}$$

- Alphabetic Compression Ratio (ACR):

$$\Psi_{ACR}(F_s) = \frac{\mathcal{H}(Z_{s+1})}{\mathcal{H}(Z_s)}$$

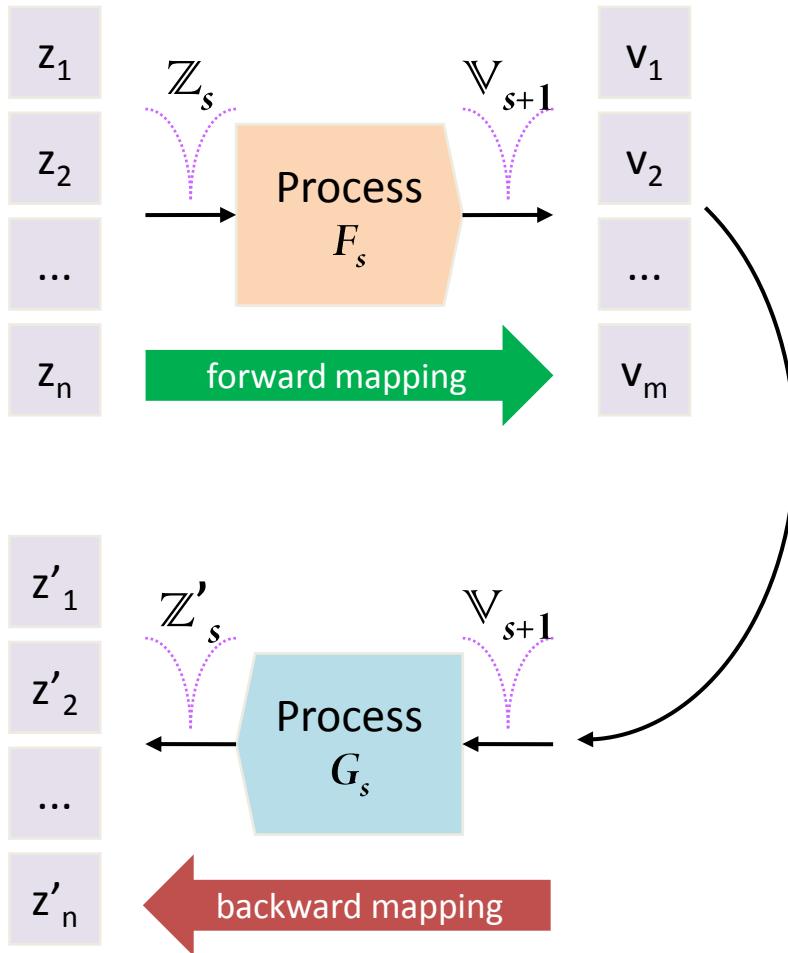
- A Reverse “Guessing” Process:

$$G_s : \mathbb{Z}_{s+1} \longrightarrow \mathbb{Z}'_s$$

- Potential Distortion Ratio (PDR):

$$\Psi_{PDR}(F_s) = \frac{\mathcal{D}_{KL}(Z'_s || Z_s)}{\mathcal{H}(Z_s)}$$

# Kullback–Leibler divergence



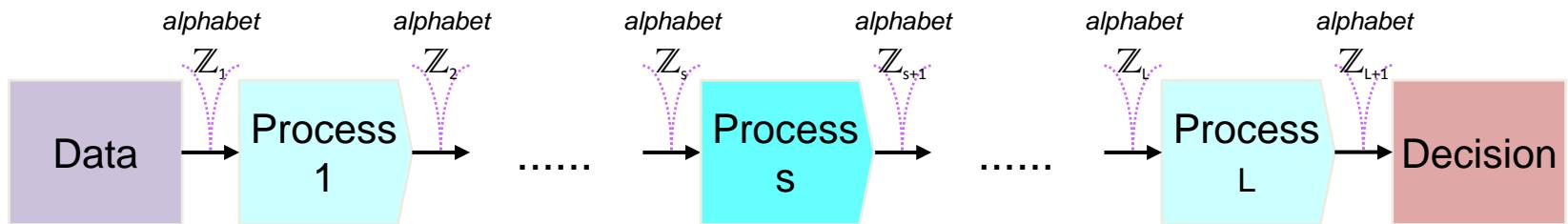
Solomon Kullback  
1907-1994



Richard Leibler  
1914-2003

$$\mathcal{D}_{KL}(Z' \| Z) = \sum_{(z=z') \in Z} p(z') \log_2 \frac{p(z')}{q(z)}$$

# Cost-Benefit Ratio



- Effectual Compression Ratio (ECR):

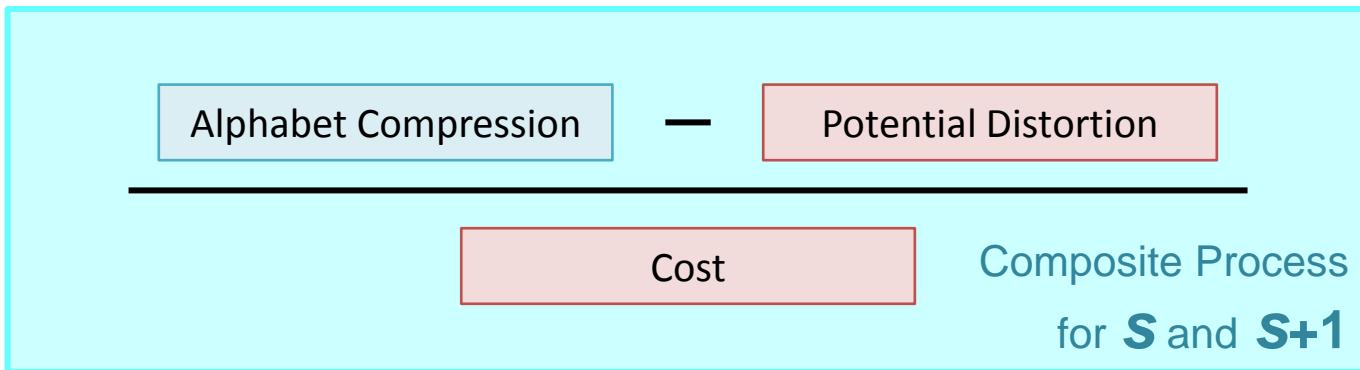
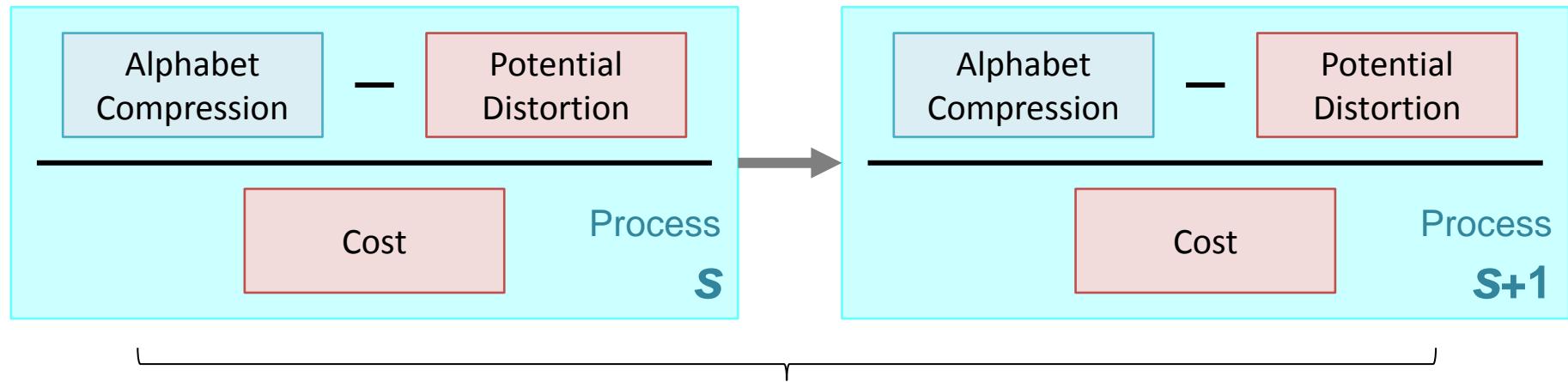
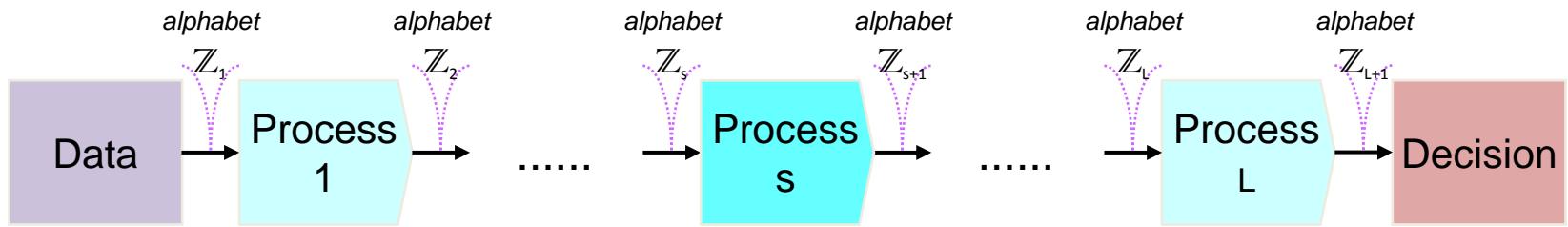
$$\Psi_{ECR}(F_s) = \frac{\mathcal{H}(Z_{s+1}) + \mathcal{D}_{KL}(Z'_s || Z_s)}{\mathcal{H}(Z_s)}$$

- Incremental Cost-Benefit Ratio (ICBR):

$$\Upsilon(F_s) = \frac{\mathcal{B}(F_s)}{\mathcal{C}(F_s)} = \frac{\mathcal{H}(Z_s) - \mathcal{H}(Z_{s+1}) - \mathcal{D}_{KL}(Z'_s || Z_s)}{\mathcal{C}(F_s)}$$

- *Cost can be measured in energy, time, money, etc.*

# Cost-Benefit Optimization



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# Three Spaces and Three Measures

M. Chen and H. Jänicke, An information-theoretic framework for visualization, *IEEE Transactions on Visualisation and Computer Graphics*, 2010

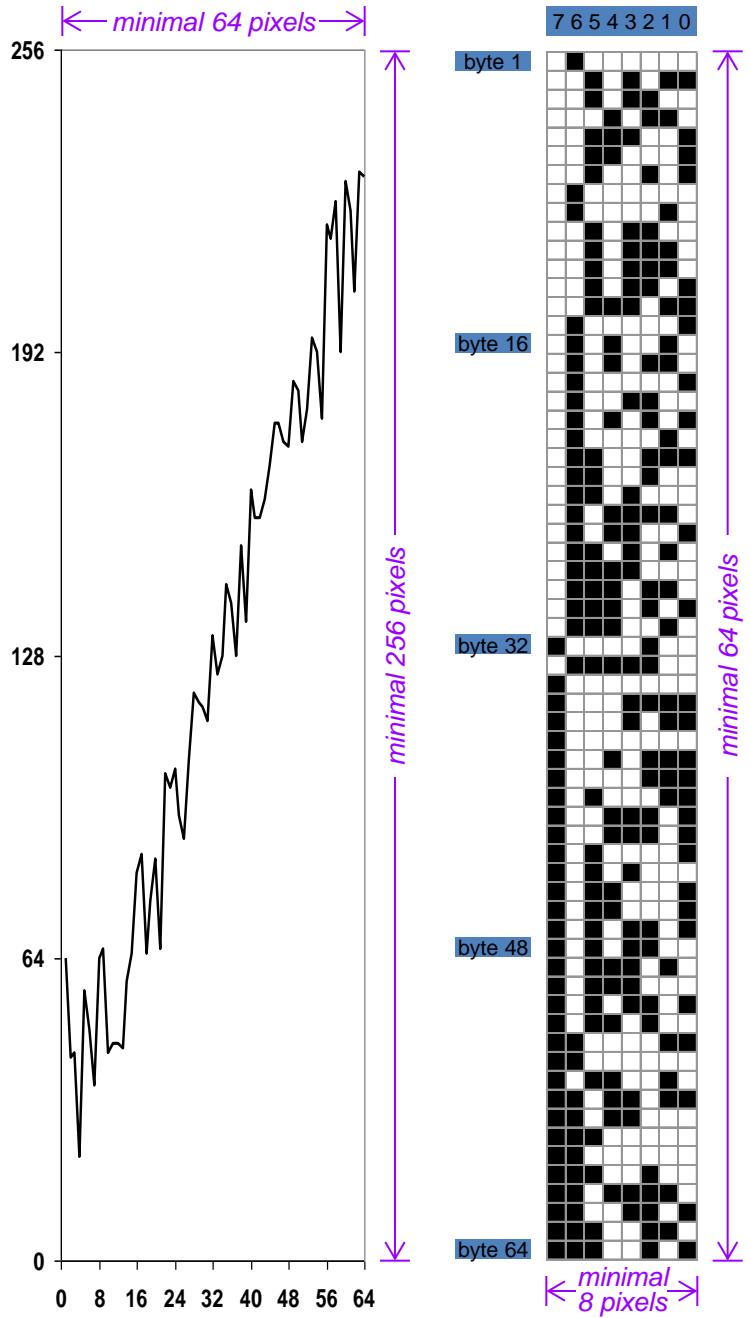
- Entropy of Input Data Space:  $H(X)$
- Visualization Capacity:  $V(G)$
- Display Capacity:  $D$

$$\text{Visual MappingRatio (VMR)} = \frac{V(G)}{H(X)}$$

$$\text{Information Loss Ratio (ILR)} = \frac{\max(H(X) - V(G), 0)}{H(X)}$$

$$\text{Display Space Utilization (DSU)} = \frac{V(G)}{D}$$

# Example of $V(G)$

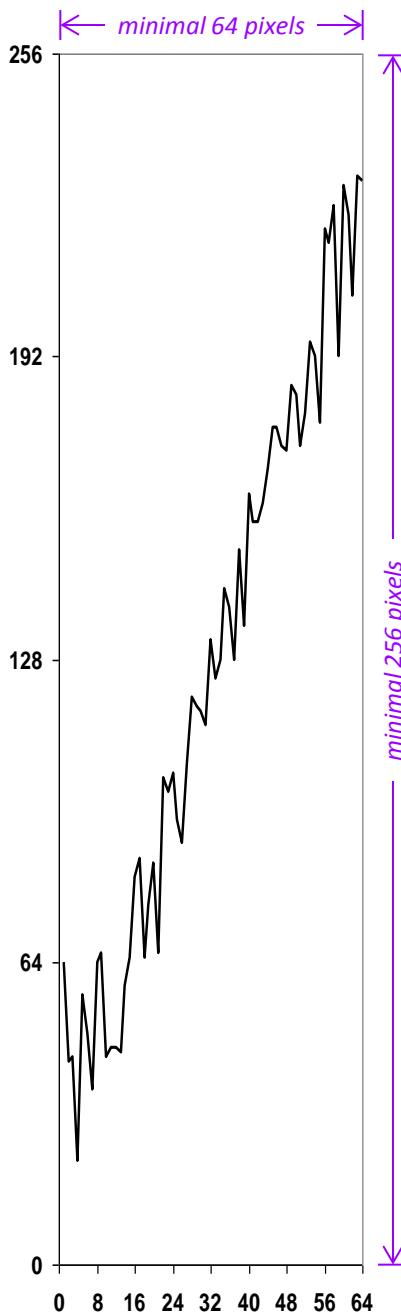


## ■ Entropy of Data Alphabet

$$H(Z) = -\sum_{t=0}^{64} \sum_{i=0}^{255} \frac{1}{256} \log_2 \frac{1}{256} = 512$$

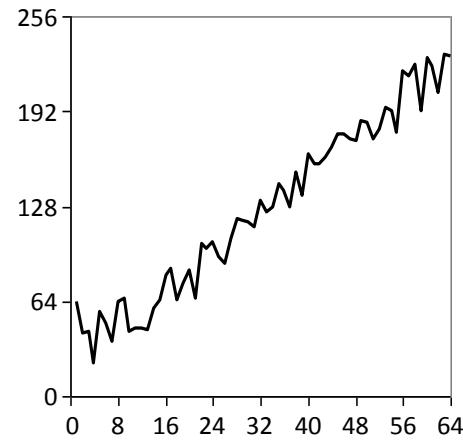
- $V(G) = H(Z)$
- Binary Pixel Plot:  $D$ 
  - 4x4 pixels per bit
  - $D: 2^9 \times 2^4 = 2^{13}$  bits
- Time Series Plot:  $D$ 
  - Minimal 256x64 pixels
  - $D: 2^8 \times 2^6 = 2^{14}$  bits
- The more compact, the better?
- Cost?
- Reconstructability?

## Information Loss Ratio (ILR)



$H(X) = 512$  bits  
 $V(G) = 512$  bits  
 $D = 16382$  bits  
 $VMR = 1$   
 $ILR = 0$   
 $DSU = 0.03$

$H(X) = 512$  bits  
 $V(G) = 384$  bits  
 $D = 4096$  bits  
 $VMR = 0.75$   
 $ILR = 0.25$   
 $DSU = 0.09$

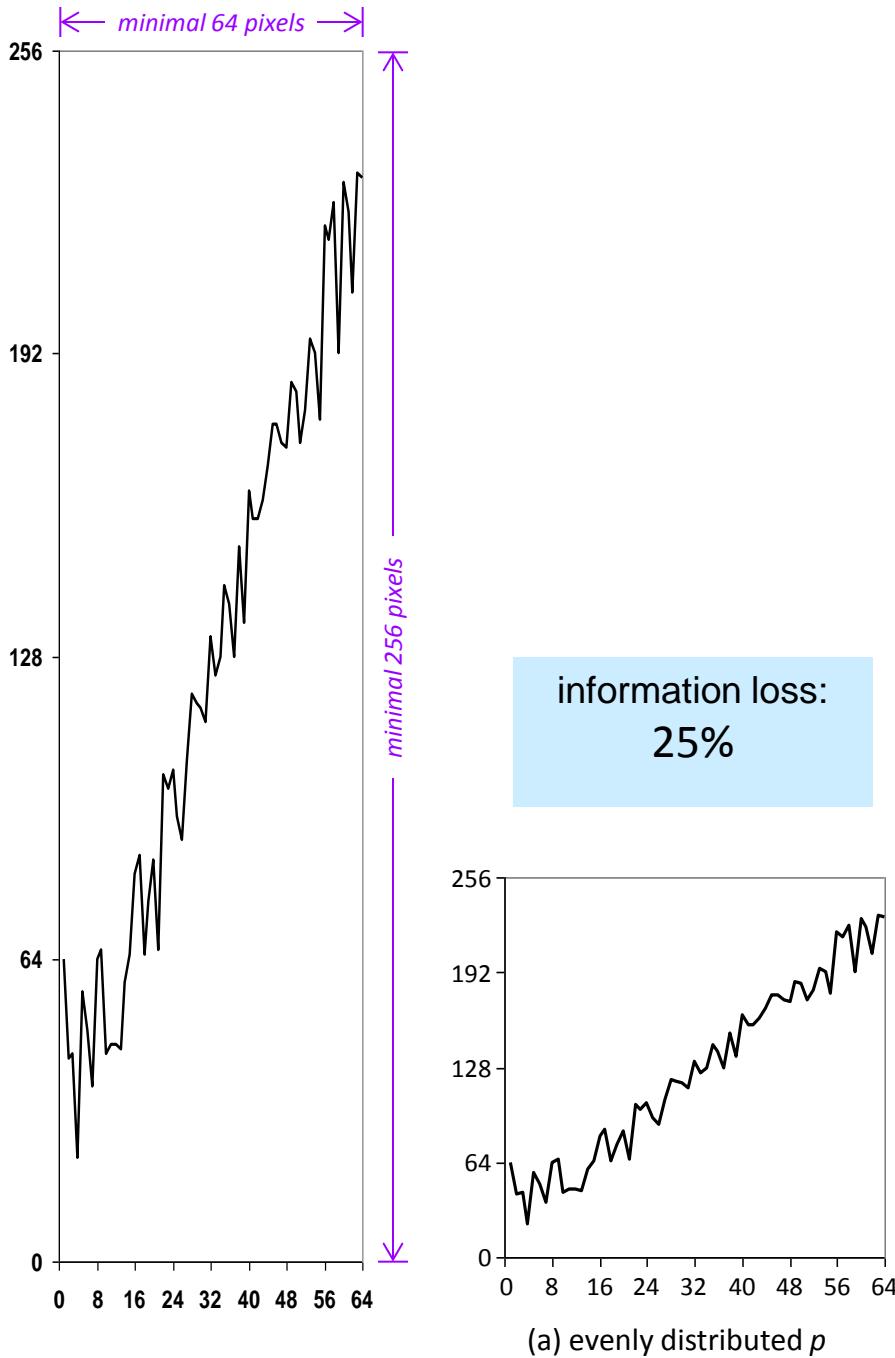


- Display Space Restriction
  - 64x64 pixels
- Evenly distributed probability mass function
- Linear visual mapping
- ILR is a probabilistic measure about
  - a data space  $X$
  - not an instance  $x_i$

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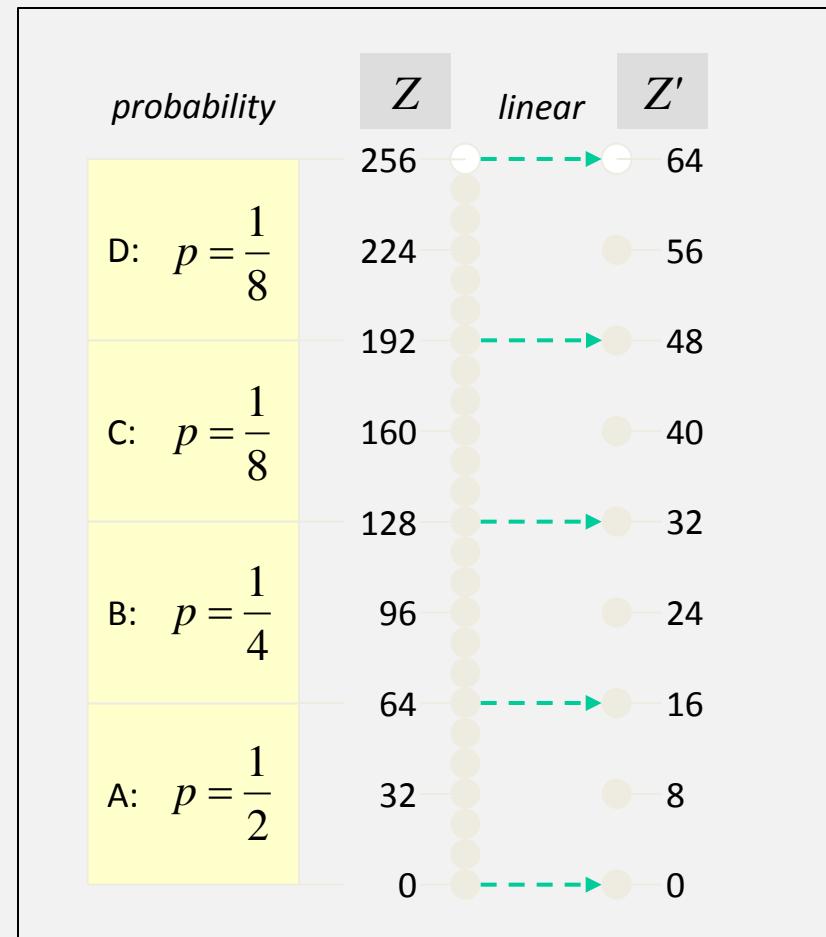
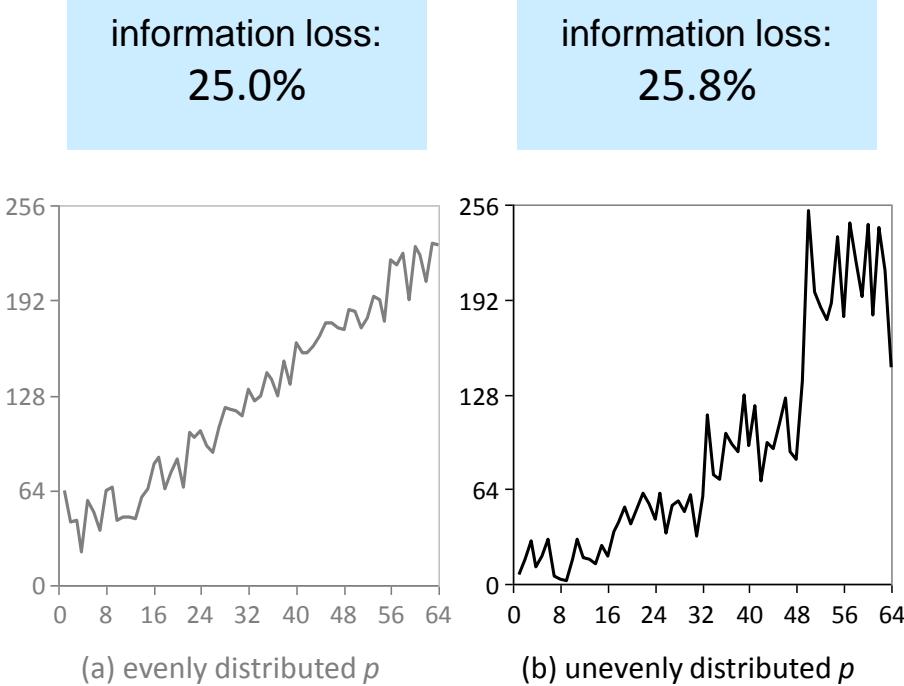
# Information Loss Ratio (ILR)



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# Non-uniform Distribution

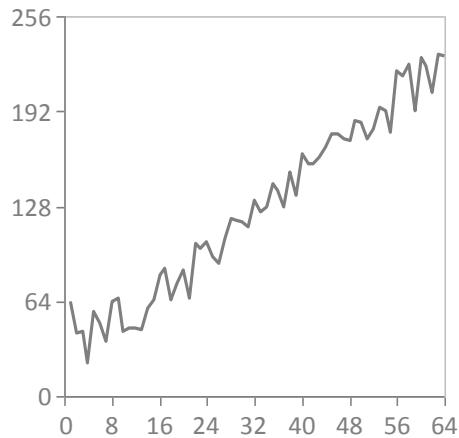
## ■ Linear visual mapping



# Non-uniform Distribution

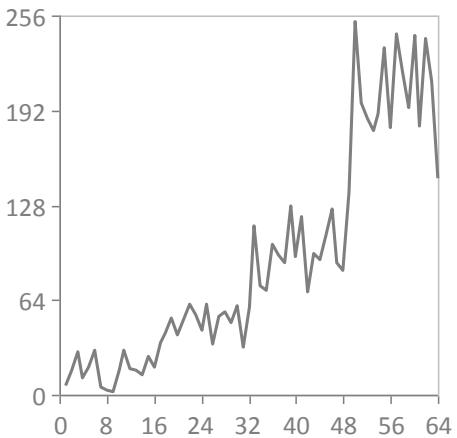
## ■ Nonlinear visual mapping

information loss:  
25.0%



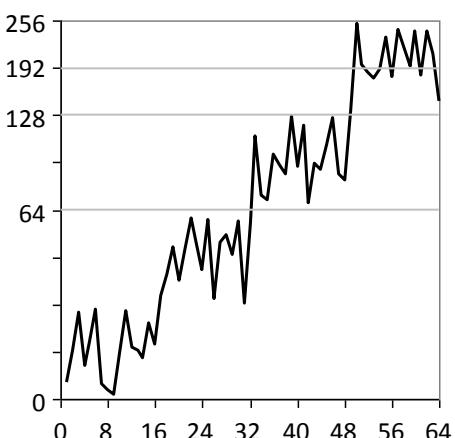
(a) evenly distributed  $p$

information loss:  
25.8%



(b) unevenly distributed  $p$

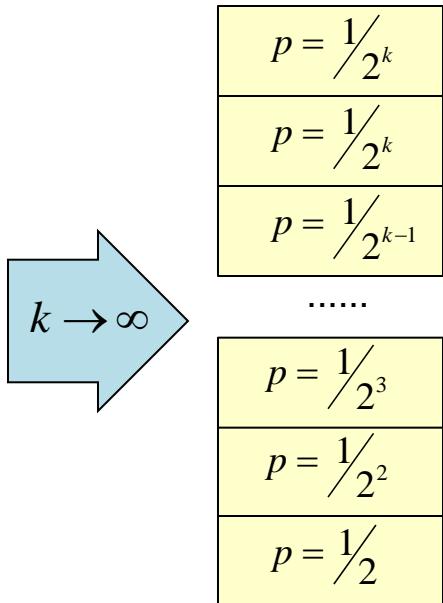
information loss:  
22.6%



(c) 4 regional mappings

# Non-uniform Distribution

D:	$p = \frac{1}{8}$
C:	$p = \frac{1}{8}$
B:	$p = \frac{1}{4}$
A:	$p = \frac{1}{2}$



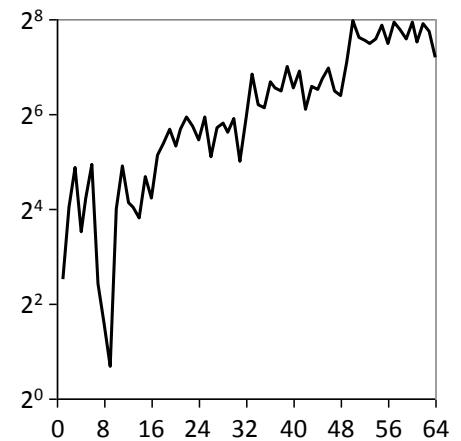
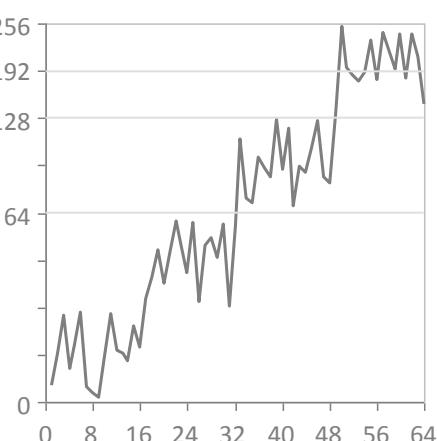
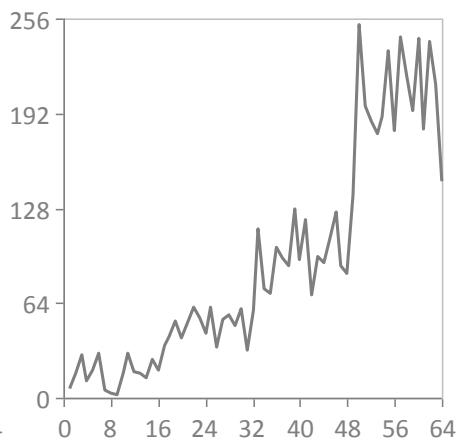
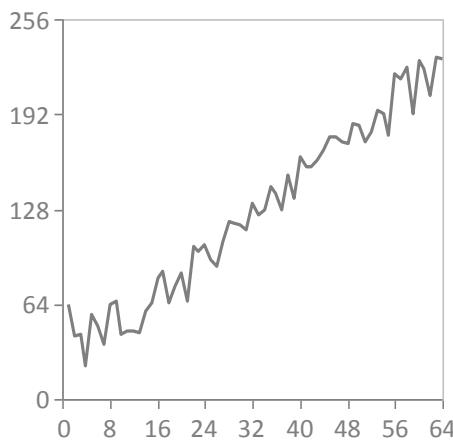
## ■ Logarithmic visual mapping

information loss:  
25.0%

information loss:  
25.8%

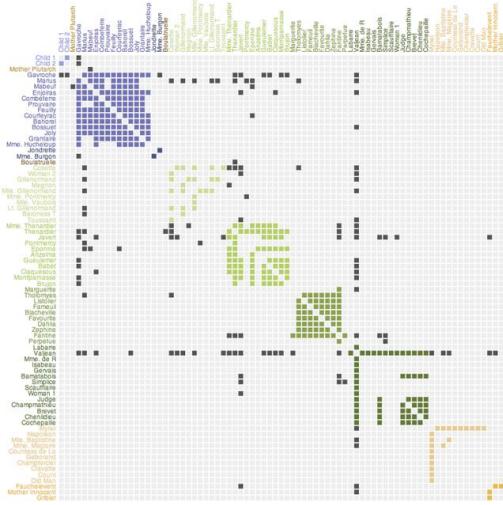
information loss:  
22.6%

information loss:  
0%

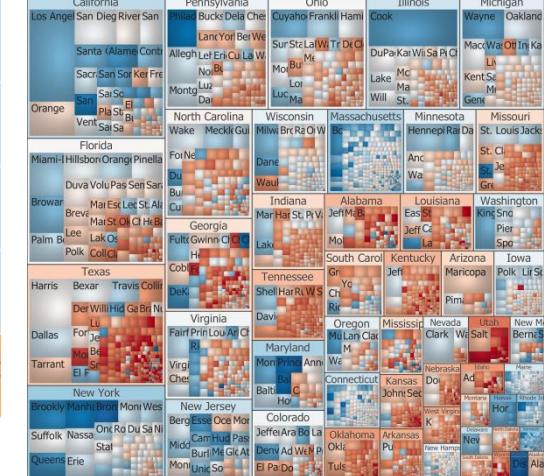
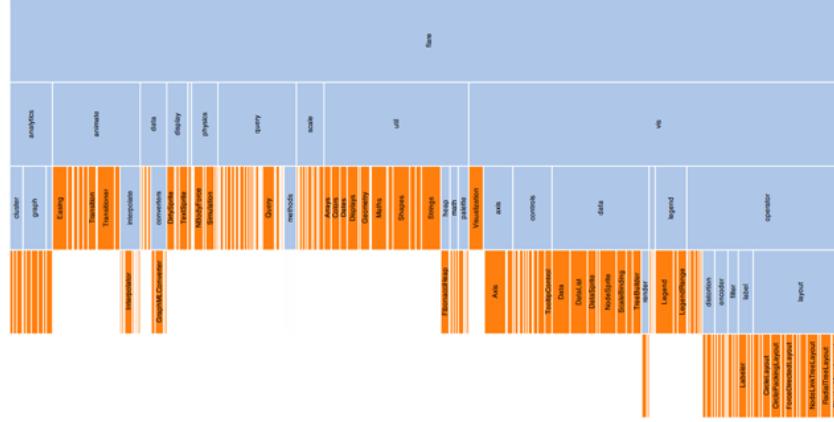


# A Prediction?

- Display capacity  $D$  is limited.
- Data space: noticeable non-uniform distribution:  $H(X) \ll H_{max}(X)$
- Visualization capacity  $V(G)$ : a visual representation exhibiting a similar non-uniform distribution of space requirement can reduce information loss.
- Can adjacency matrixces be improved for trees?



<http://hci.stanford.edu/jheer/files/zoo/>



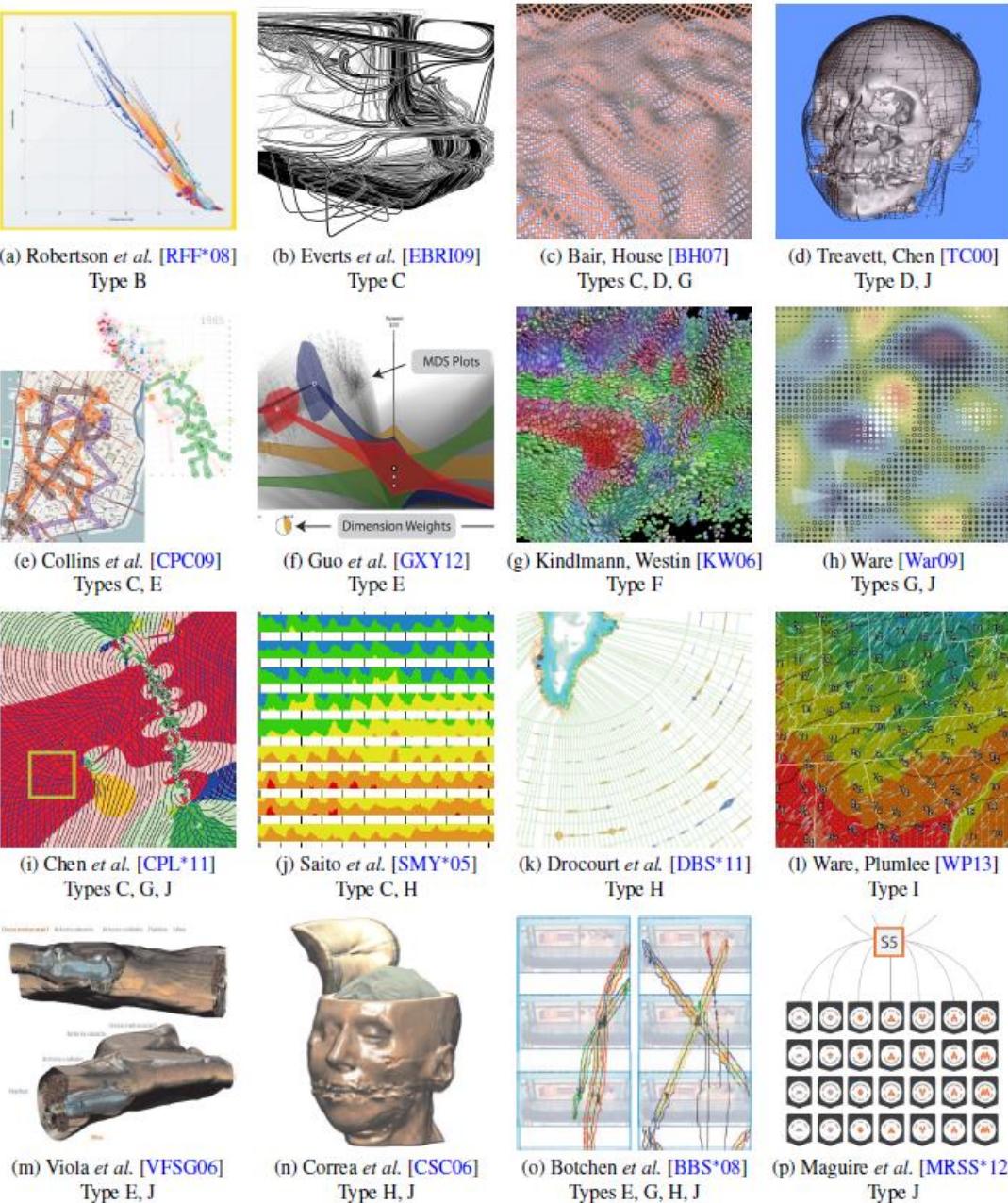
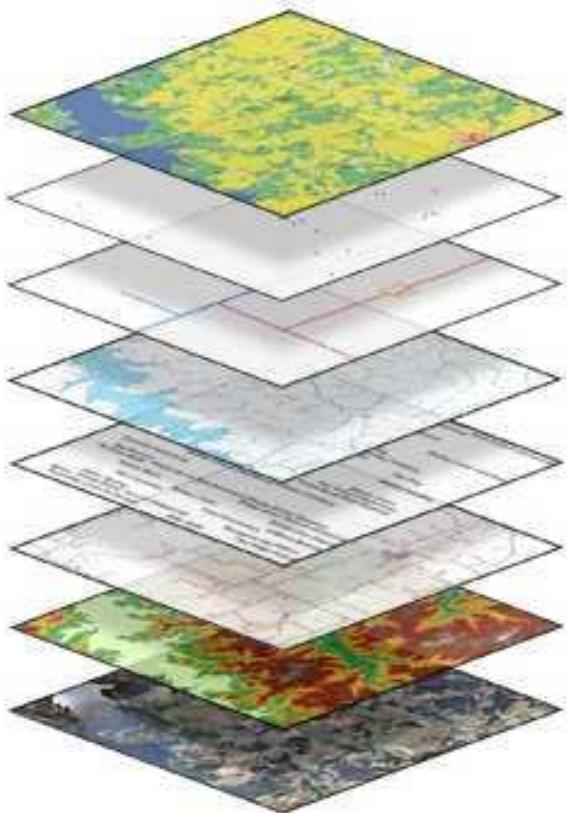
<http://en.wikipedia.org/wiki/Treemapping>

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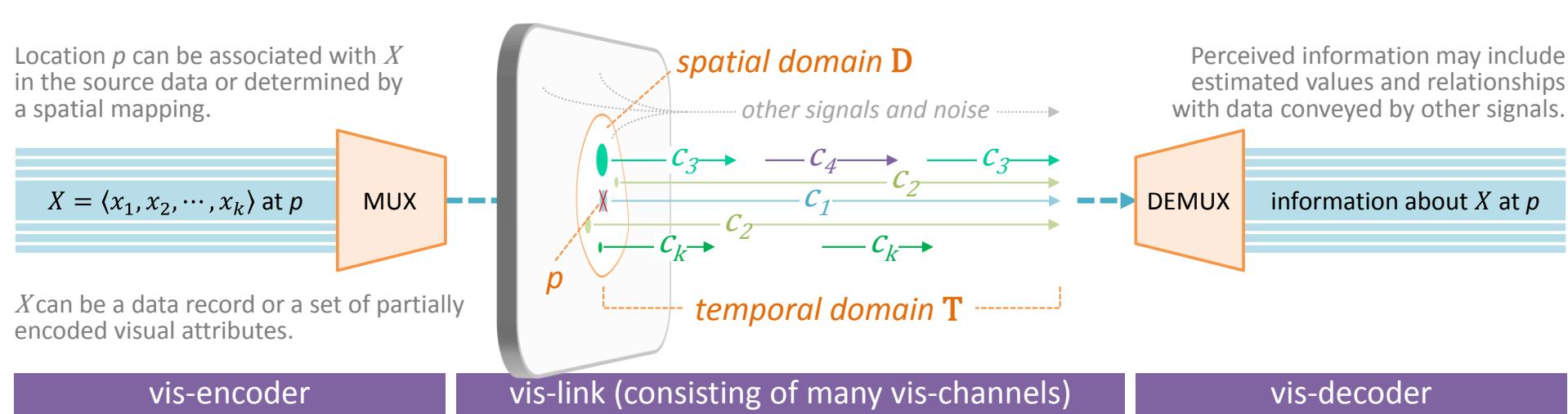
# Map Overlay and its Generalization in Visualization

<http://learnpracticalgis.com/how-to-overlay-maps/>

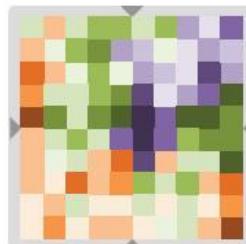


# Visual Multiplexing

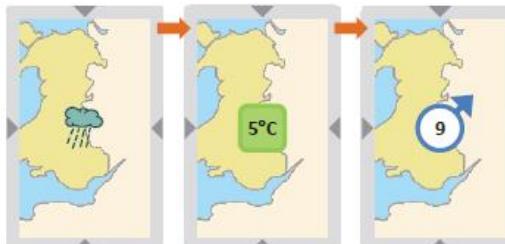
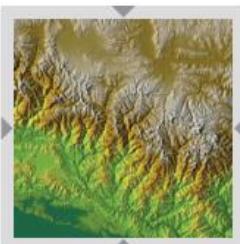
- Frequency-division multiplexing (FDM)
- Time-division multiplexing (TDM)
- Space-division multiplexing (SDM)
- Code-division multiplexing (CDM)



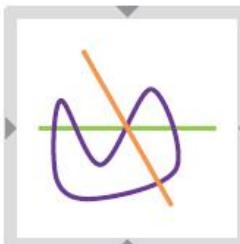
# 10 Types of Visual Multiplexing



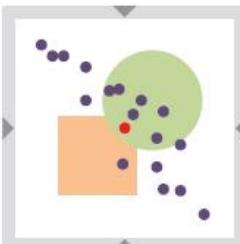
(a) Type A: Partition a space



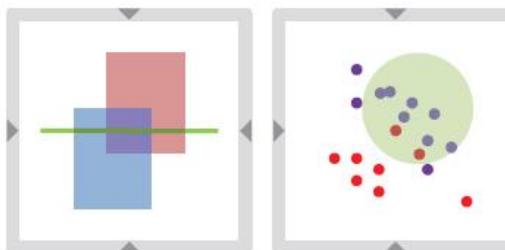
(b) Type B: Partition a time period



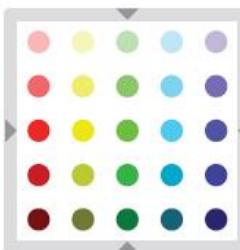
(c) Type C: Introduce partial occlusion



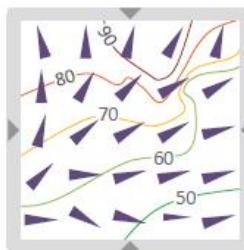
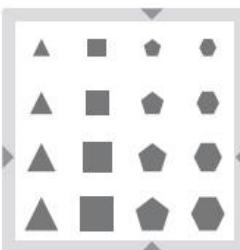
(d) Type D: Use a 'hollow' visual channel



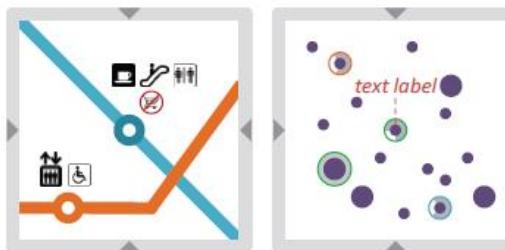
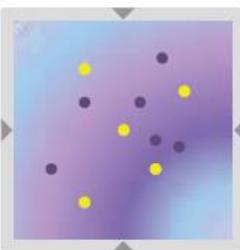
(e) Type E: Introduce translucent occlusion



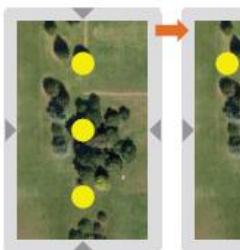
(f) Type F: Use an integrated visual channel



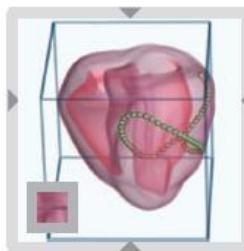
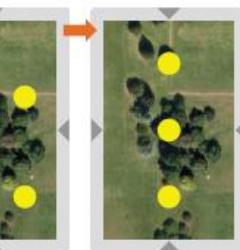
(g) Type G: Depict a continuous field



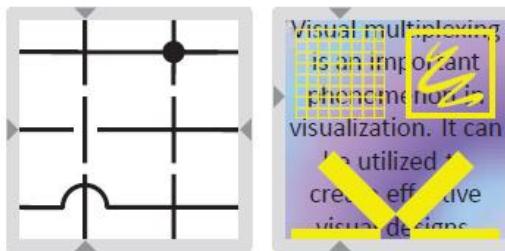
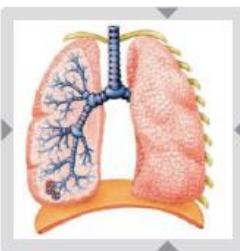
(h) Type H: Shift a visual channel



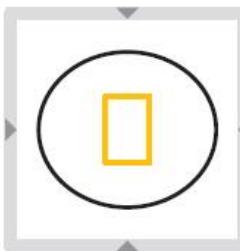
(i) Type I: Use periodic motion



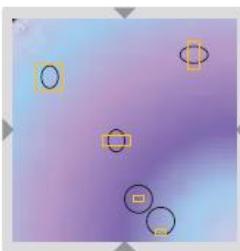
(j) Type J: Assume a priori knowledge



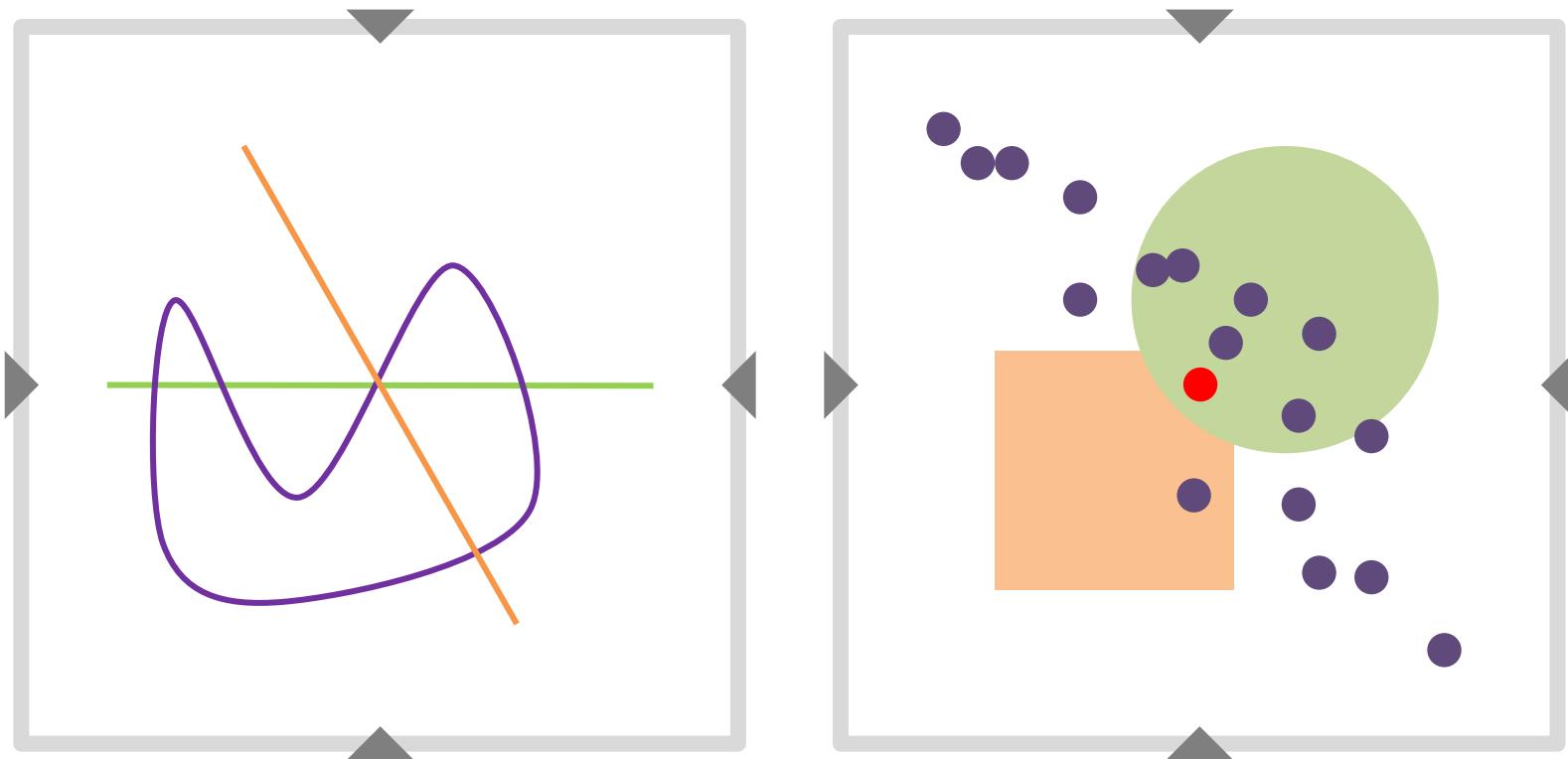
(k) Type J (continued): Acquired knowledge



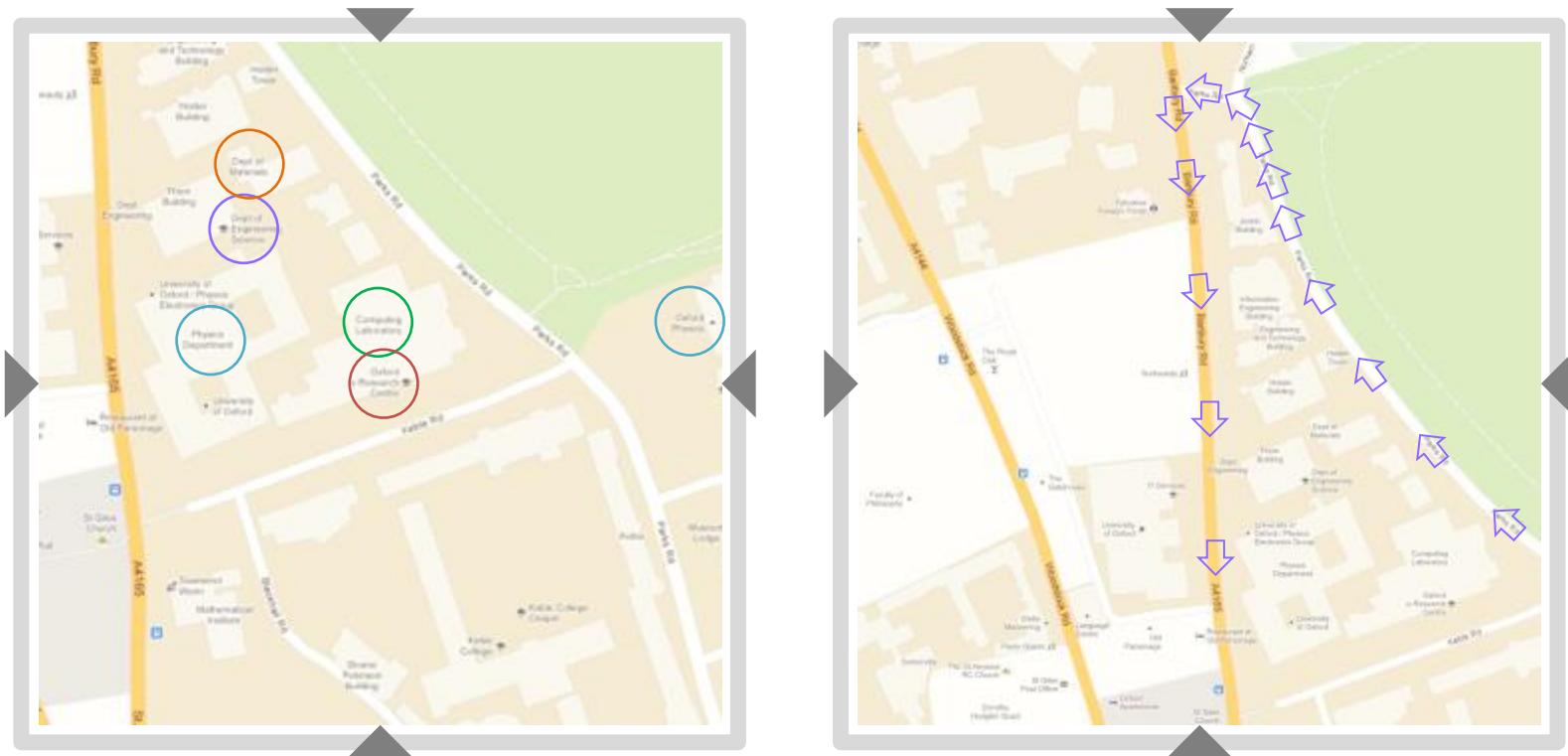
(l) Type J (continued): Visual language



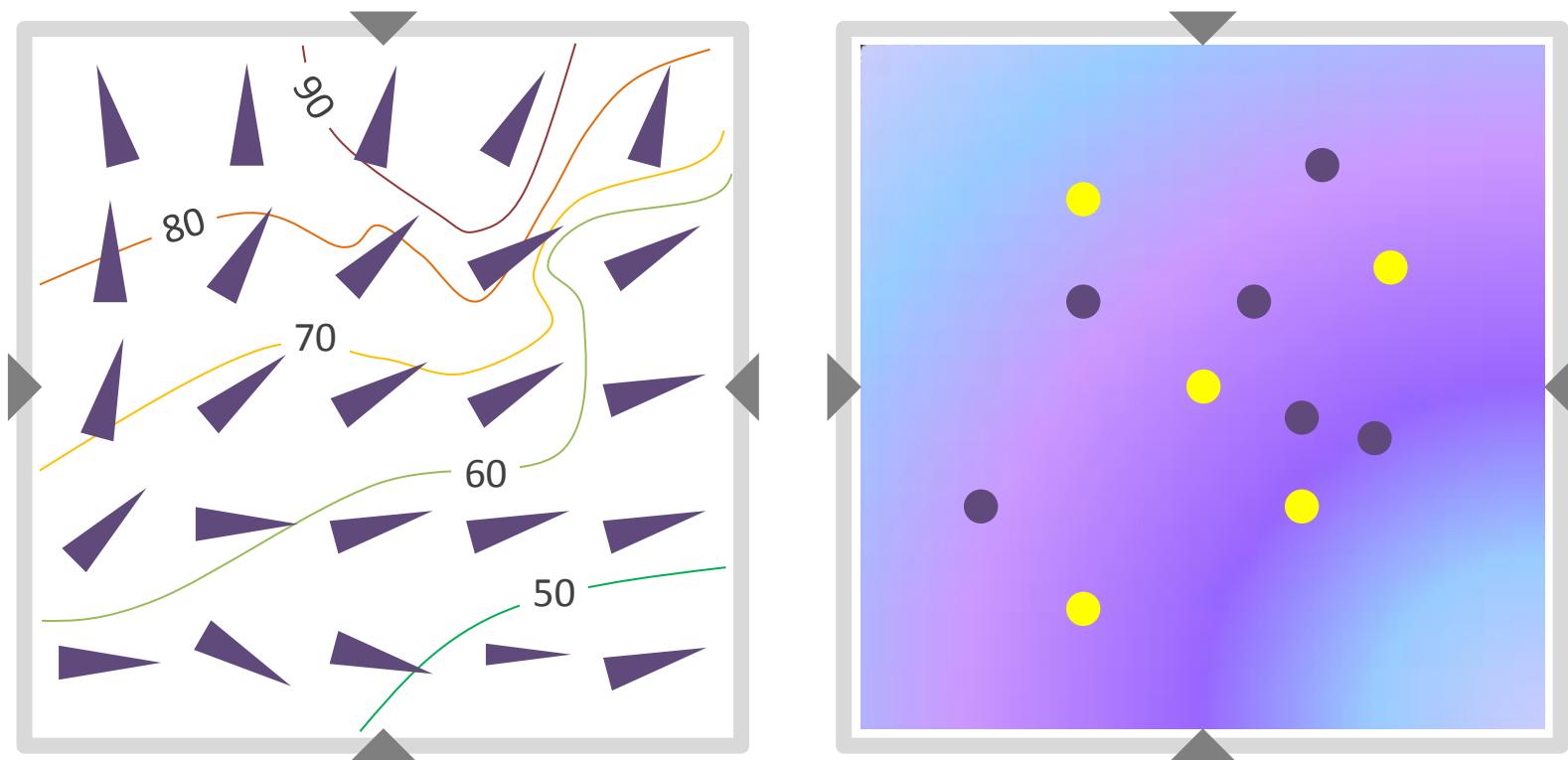
## Type C: Introduce Partial Occlusion



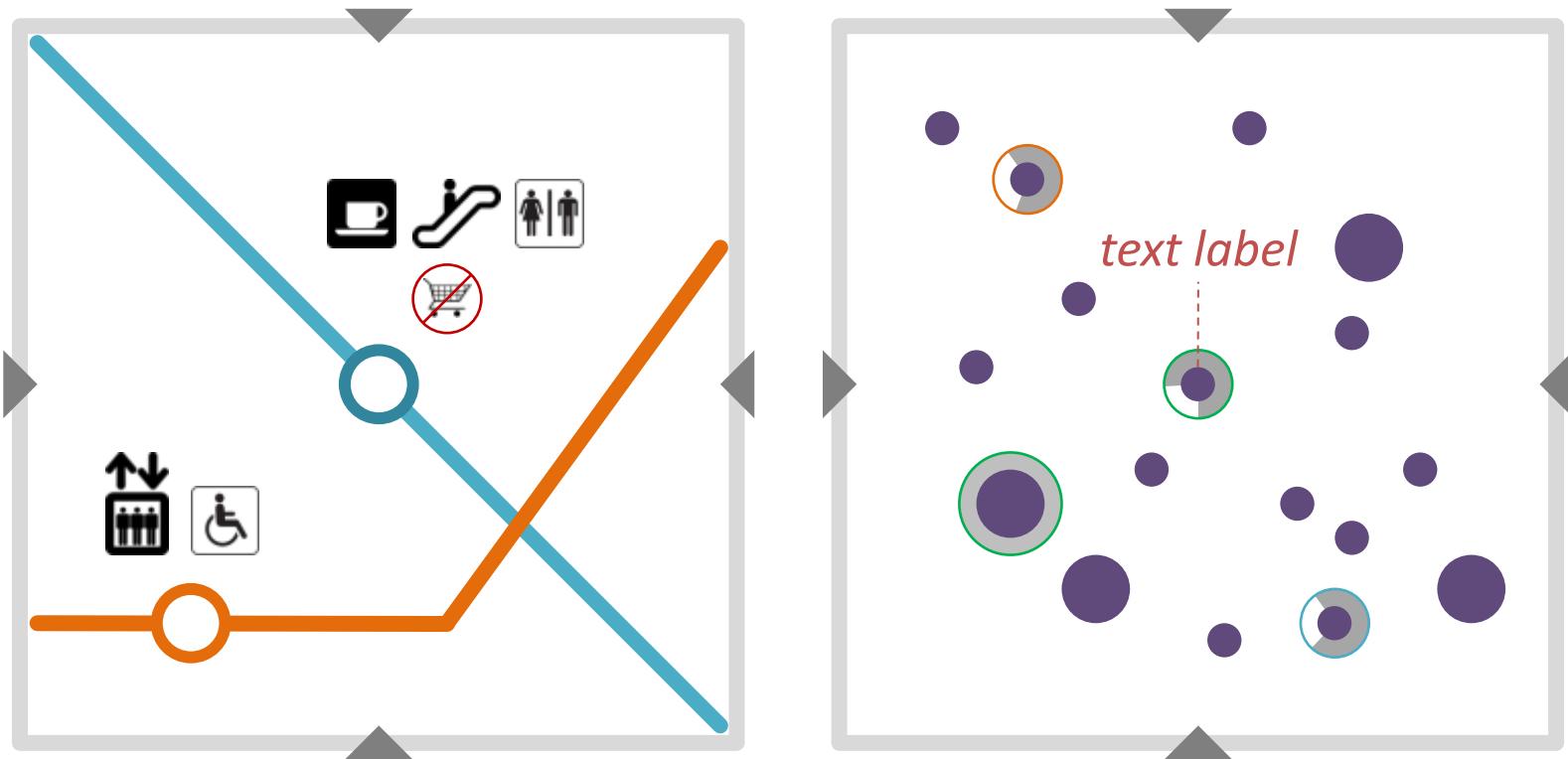
# Type D: Use a 'Hollow' Visual Channel



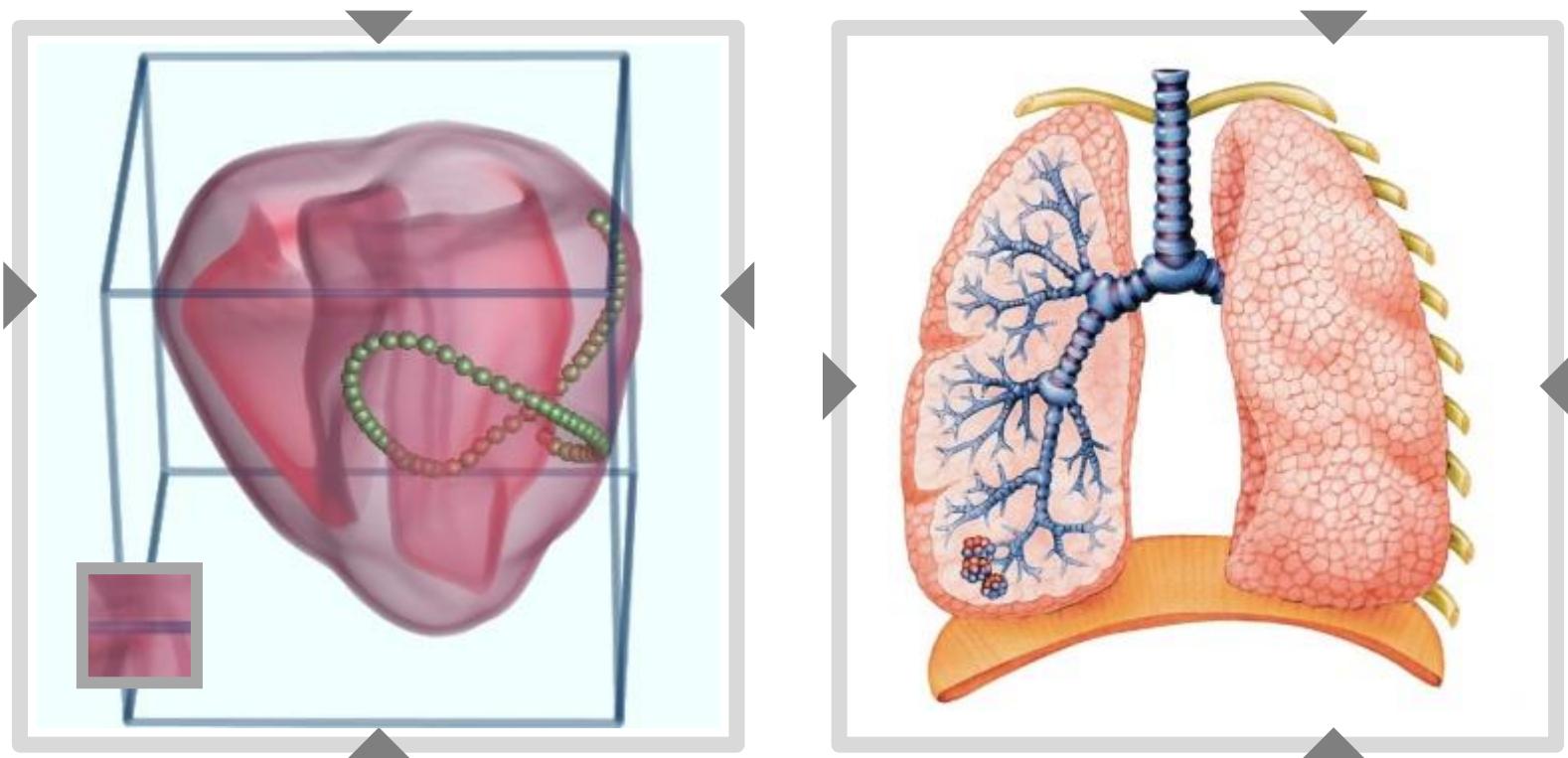
# Type G: Depict a Continuous Field



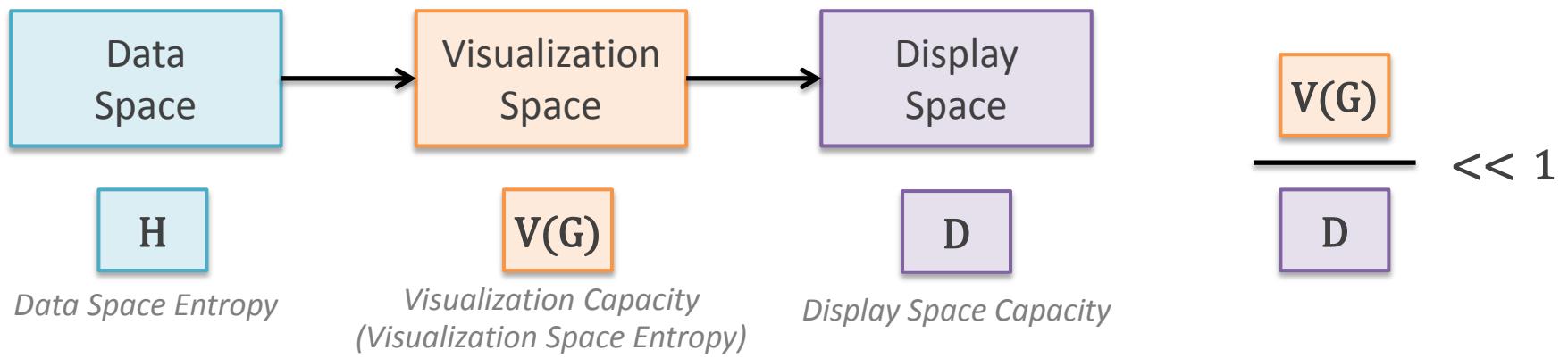
# Type H: Shift a Visual Channel



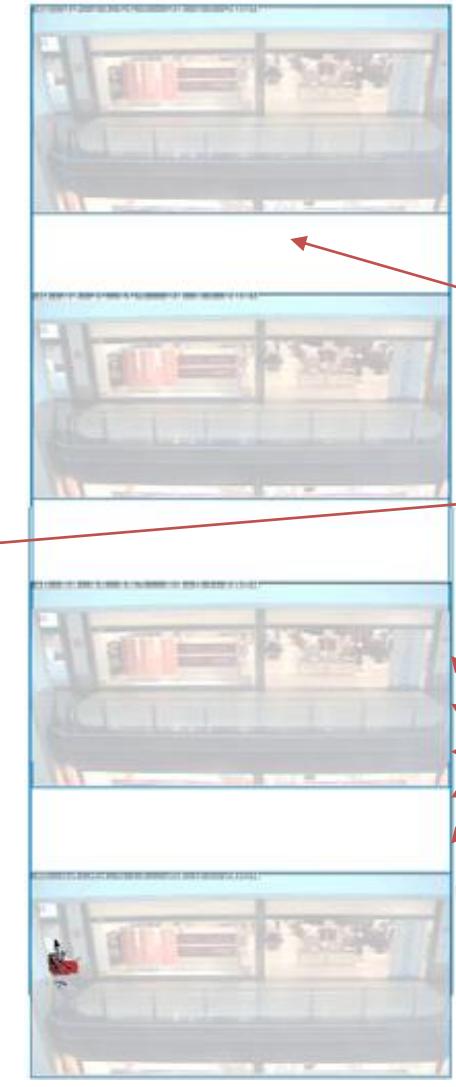
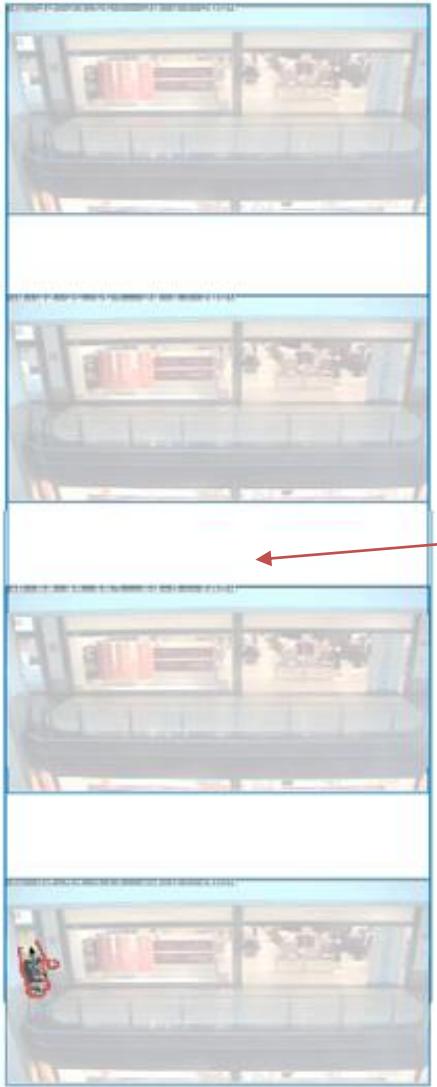
## Type J: Assume A Priori Knowledge



# How about When Every Pixel is Used?



# Systematics Application



■ C: Introduce Partial Occlusion

■ E: Introduce Translucent Occlusion

■ G: Depict a Continuous Field

■ J: Assume A Priori Knowledge



# Information Theory and Visualization

1. Data Intelligence — a big picture
2. Visualization — a small picture
3. Measurement, Explanation, and Prediction
4. Example: Visual Multiplexing
5. Example: Error Detection and Correction
6. Example: Process Optimization
7. Summary

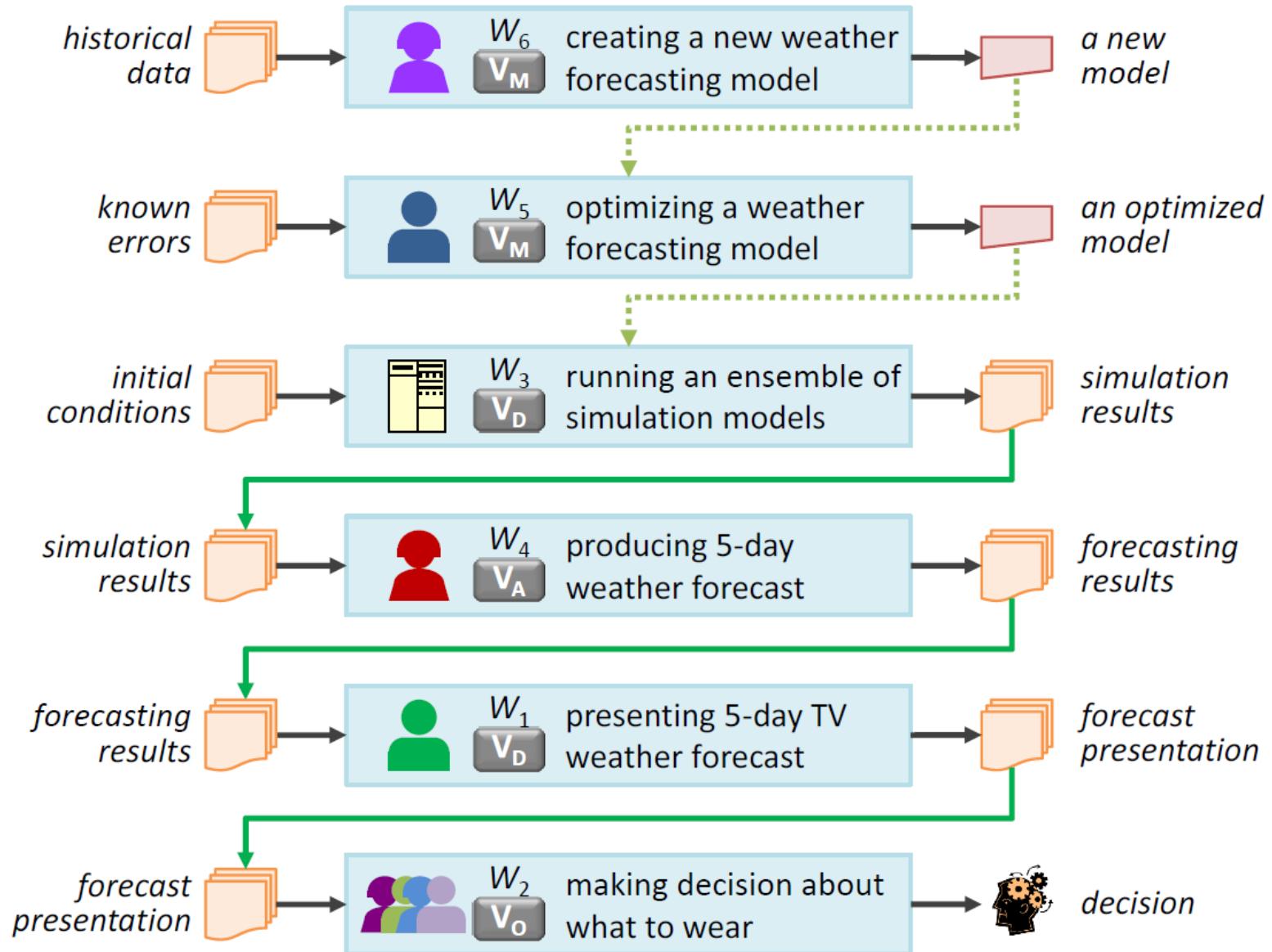
# Information Theory and Visualization

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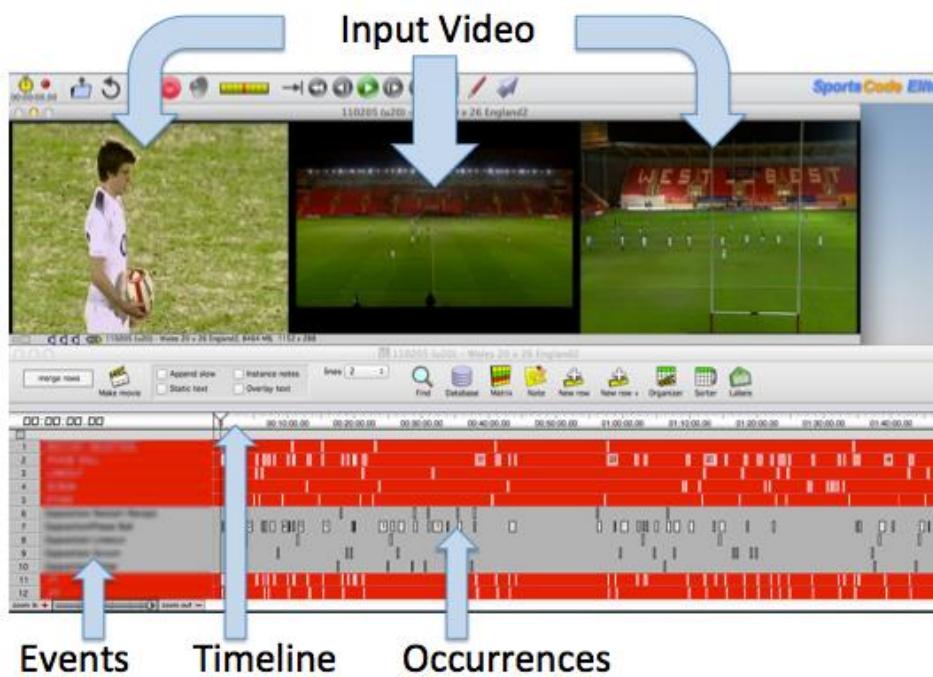
# Four Levels of Visualization

- |   |                |
|---|----------------|
| 1. Disseminative Level                  | $O(1)$         |
| • This is “a”!                          |                |
| 2. Observational Level                  | $O(n)$         |
| • “a”, “b”, “c”, ... what, when, where? |                |
| 3. Analytical Level                     | $O(n^k)$       |
| • Are “a”, “b”, “c” related? Why?       |                |
| 4. Model-developmental Level            | $O(kn), O(n!)$ |
| • How does “a” lead to “b”?             |                |

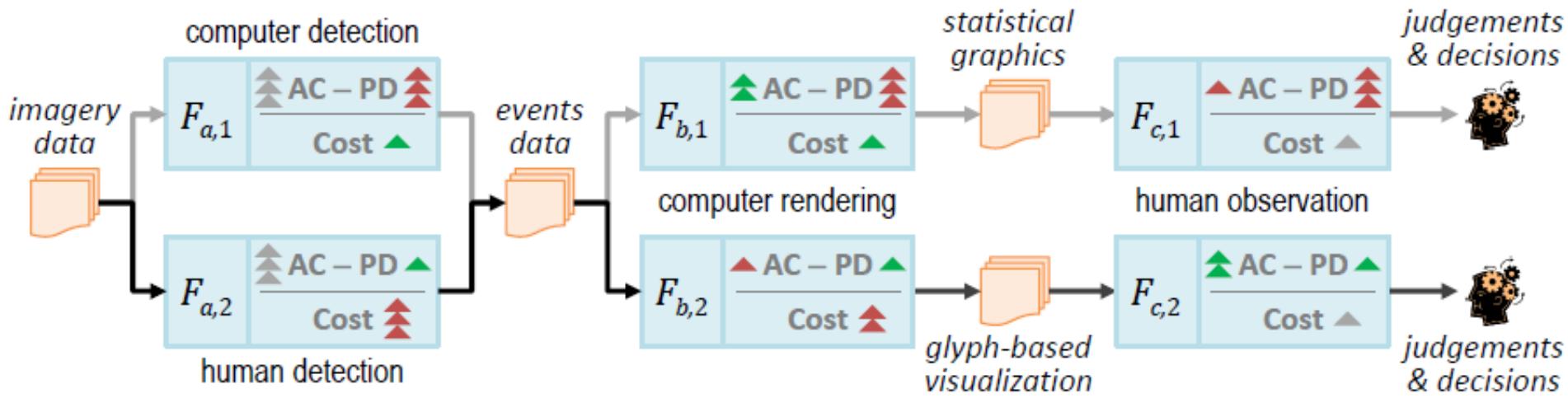
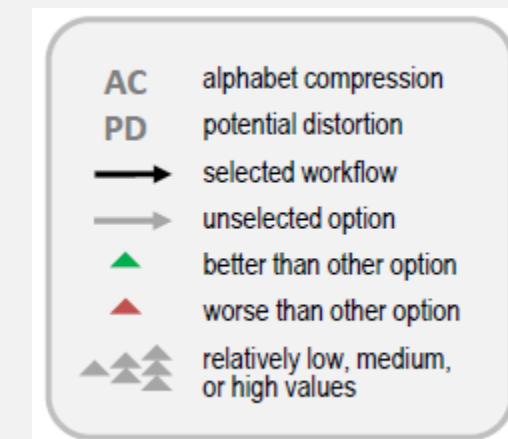
# A Composite Workflow

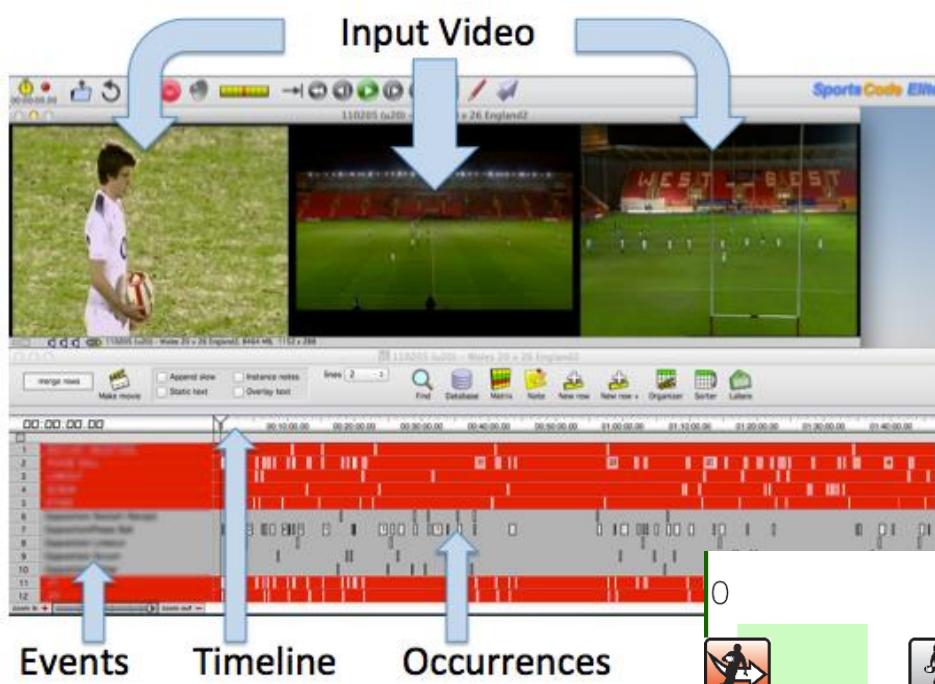


# Observational Visualization



- Real-time or offline annotation results in a huge spreadsheet of events





# Observational Visualization



# Information Theory and Visualization

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7. Summary

Fundamental Concepts	A possible mathematical framework that underpins the subject of visualization.
Major Quantities and Properties	Quantitative measurements about the data and visualization space, and the relationship between input and output of a process or subsystem at different stages of a visualization pipeline.
Entropy	Measuring information content (see Section 5.1); salience in visualization.
Mutual Information	Uncertainty reduction in visualization (see Section 5.3); information-assisted visualization.
Major Theorems	Many theorems can be used to explain visualization phenomena and events.
Information balance (conservation law)	Given two visualizations, A and B, the amount of information about A contained in B is the same as that about B in A; overview + detail visualization; multi-view visualization.
Data processing inequality	After visual mapping, the visualization cannot contain more information than the original data (see Section 6.2); Information cannot be recovered after being degraded by some processes or subsystems in a visualization pipeline.
Channel Types	Providing a theoretical basis for classifying visualization subsystems (see Section 6).
Noiseless channel	Not common in practical visualization pipelines (see Section 3).
Noisy channel	Most visualization processes and subsystems can be affected by noise (see Section 3).
Channel Capacity	It can be adapted to define the maximum amount of information that can be visualized or displayed (see Sections 5.1 and 6)
Redundancy	Efficiency of a visual mapping; Error detection and correction (see Section 8).
Source Coding (for Noiseless Channels)	Inspiration for developing new data abstraction and visual encoding techniques.
Coding Schemes	Applicable, for example, to the following visualization algorithms:
Entropy coding (e.g., Huffman, arithmetic coding)	Logarithmic plots (see Section 7.1); importance-based visualization; information-assisted visualization; magic lens; illustrative deformation.
Dictionary-based coding	Legend design; icon design; visualization literacy; knowledge-assisted visualization.
Run-length encoding	Spatial clustering; cluttering reduction.
Differential encoding	Video visualization; time-varying data visualization.
Subband coding	Multi-resolution modeling; transfer function design in volume rendering.
Transform coding	perceptually-based visual encoding, frequency-domain volume rendering.
Quantization	Color mapping; multi-resolution modeling.
Multiplexing	Comparative visualization; volume rendering; multi-field visualization.