

Advanced Applications of Volume Visualization Methods in Medicine

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Abstract

Tomographic medical imaging techniques have become more popular in recent years. The wide availability of CT, MRI and Ultrasound in most large hospitals results in a rapidly increasing number of examinations with these devices. The State of The Art Report summarises the application of techniques developed over the recent years for visualising volumetric medical data common in modern medical imaging modalities such as CT, MRA, MRI, Nuclear Medicine, 3D-Ultrasound, Laser Confocal Microscopy etc. Although all of the modalities listed above provide "slices of the body", significant differences exist between the image content of each modality. The focus of the Report is be less in explaining algorithms and rendering techniques, but rather to point out their applicability, benefits, and potential in the medical environment.

In the first part, methods for all steps of the volume visualization pipeline from data preprocessing to object display are reviewed, with special emphasis on data structures, segmentation, and surface- and volume-based rendering. Furthermore, multimodality matching, intervention rehearsal, and aspects of image quality are discussed.

In the second part applications are illustrated from the areas of craniofacial surgery, traumatology, neurosurgery, radiotherapy, and medical education. Furtherly, some new applications of volumetric methods are presented: 3D ultrasound, laser confocal datasets, and 3D-reconstruction of cardiological datasets, i.e. vessels as well as ventricles. These new volumetric methods are currently under development but due to their enormous application potential they are expected to be clinically accepted within the next years.

PART ONE

Volume Visualization Principles

1. Introduction

Medical imaging technology has experienced a dramatic change over the past two decades. Previously, only X-ray radiographs were available which showed the depicted organs as superimposed shadows on photographic film. With the advent of modern computers, new *tomographic* imaging modalities like computed tomography (CT), magnetic resonance imaging (MRI), and positron emission tomography (PET) could be developed which deliver cross-sectional images of a patient's anatomy and physiology. These images show different organs free from overlays with unprecedented precision. Even the three-dimensional (3D) structure of or-

gans can be recorded if a sequence of parallel cross-sections is taken.

For many clinical tasks like surgical planning, it is necessary to understand and communicate complex and often malformed 3D structures. Experience has shown that the "mental reconstruction" of objects from cross-sectional images is extremely difficult and strongly depends on the observer's training and imagination. For these cases, it is certainly desirable to present the human body as a surgeon or anatomist would see it.

The aim of *volume visualization* (also known as *3D imaging*) in medicine is to create precise and realistic views of objects from medical volume data. The resulting images, even though they are of course two-dimensional, are often called *3D images* or *3D reconstructions* to distinguish them from 2D cross-sections or conventional radiographs. The first attempts date back to the late 1970s, with the first clinical ap-

plications reported on the visualization of bone from CT in craniofacial surgery and orthopedics. Methods and applications have since been extended to other subjects and imaging modalities. The same principles are also applied to sampled and simulated data from other domains, such as fluid dynamics, geology, and meteorology⁵³.

2. Methods

An overview of the volume visualization pipeline as presented here is shown in fig. 1. After the acquisition of a series of tomographic images of a patient, the data usually undergoes some preprocessing for data conversion and possibly image filtering. From this point, one of several paths may be followed.

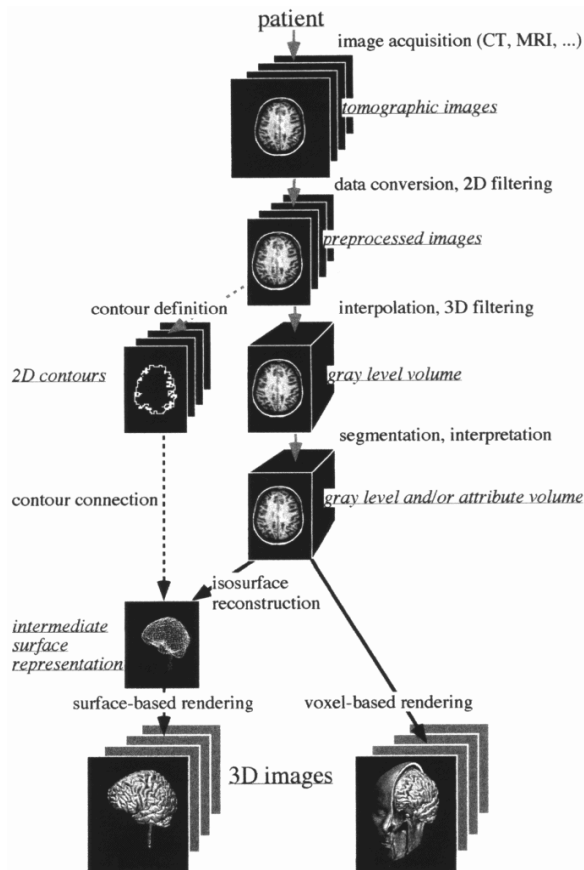


Figure 1: Overview of the volume visualization pipeline. Individual processing steps may be left out, combined, or reversed in order by a particular method.

The dotted line in fig. 1 represents an early approach where an object is reconstructed from its contours on the cross-sectional images. All other methods, represented by the solid line, start from a contiguous *data volume*. If required, equal spacing in all three directions can be achieved by interpolation. Like a 2D image, a 3D volume can be filtered to improve

image quality. Corresponding to the *pixels* (picture elements) of a 2D image, volume elements are called *voxels* (volume elements).

The next step is to identify the different objects represented in the data volume so that they can be removed or selected for visualization. The simplest way is to binarize the data with an intensity threshold, e.g. to distinguish bone from other tissues in CT. Especially for MRI data, however, more sophisticated *segmentation* methods are required.

After segmentation, there is a choice which *rendering* technique is to be used. The more traditional surface-based methods first create an intermediate surface representation of the object to be shown. It may then be rendered with any standard computer graphics method. More recently, volume-based methods have been developed which create a 3D view directly from the volume data. These methods use the full gray level information to render surfaces, cuts, or transparent and semi-transparent volumes. As a third way, transform-based rendering methods may be used.

Extensions to the volume visualization pipeline not shown in fig. 1 but also covered here include multimodality matching and intervention rehearsal.

2.1. Preprocessing

The data we consider usually comes as a spatial sequence of 2D cross-sectional images. If they are put on top of each other, a contiguous *gray level volume* is obtained. The resulting data structure is an orthogonal 3D array of voxels, each representing an intensity value. This is called the *voxel-model*.

Many algorithms for volume visualization work on *isotropic* volumes where the sampling density is equal in all three dimensions. In practice, however, only very few data sets have this property, especially for CT. In these cases, the missing information has to be reconstructed in an *interpolation* step. A quite simple method is linear interpolation of the intensities between adjacent images. Higher order functions such as splines usually give better results for fine details⁶⁸. Shape-based methods are claimed to be superior in certain situations⁷; however these are depending on the results of a previous segmentation step.

With respect to later processing steps such as segmentation, it is often desirable to improve the signal-to-noise ratio of the data, using image or volume filtering. Well-known *noise filters* are average, median and Gaussian filters⁹⁰. These methods, however, tend to smooth out small details as well; better results are obtained with *anisotropic diffusion* filters which largely preserve object boundaries³⁴.

2.1.1. Data Structures for Volume Data

There are a number of different data structures for volume data. The most important are

- *binary voxel-model*: voxel values are either 1 (object) or 0 (no object). This very simple model is not much in use any more. In order to reduce storage requirements, binary volumes may be subdivided recursively into subvolumes of equal value; the resulting data structure is called an *octree*.
- *gray level voxel-model*: each voxel holds an intensity information. Octree representations have also been developed for gray level volumes⁵⁹.
- *generalized voxel-model*: in addition to an intensity information, each voxel contains *attributes*, describing its membership to various objects, and/or data from other sources (e.g. MRI and PET)⁴⁷.
- *intelligent volumes*: as an extension of the generalized voxel model, properties of objects (such as color, names in various languages, pointers to related information) and their relationships are modeled on a symbolic level^{49, 84, 104}. This data structure is the basis for advanced applications such as medical atlases (see below).

2.2. Segmentation

A gray level volume usually represents a large number of different structures obscuring each other. To display a particular one, we thus have to decide which parts of the data we want to use or ignore. Ideally, selection would be done with a command like “show only the brain”. This, however, requires that the computer knows which parts of the volume (or, more precisely, which voxels) constitute the brain and which do not.

A first step towards object recognition is to partition the gray level volume into different regions which are homogeneous with respect to some formal criteria and corresponding to real (anatomical) objects. This process is called *segmentation*. The generalized voxel-model is a suitable data structure for representing the results. In a further *interpretation* step, the regions may be identified and labeled with meaningful terms such as “white matter” or “ventricle”.

All segmentation methods can be characterized as being either “binary” or “fuzzy”, corresponding to the principles of binary and fuzzy logic, respectively¹²⁴. In *binary segmentation*, the question whether a voxel belongs to a certain region is always answered yes or no. This information is a prerequisite e.g. for creating surface representations from volume data. As a drawback, however, uncertainty or cases where an object takes up only a fraction of a voxel (*partial volume effect*) cannot be handled properly. For example, a very thin bone would appear with false holes on a 3D image. Strict yes-no decisions are avoided in *fuzzy segmentation*, where a set of probabilities is assigned to every voxel, indicating the evidence for different materials. Fuzzy segmentation is closely related to the so-called volume rendering methods discussed later.

Currently, a large number of segmentation methods for 3D medical images are being developed, which may be roughly

divided into three classes: point-, edge-, and region-based methods. The methods described often have been tested successfully on a number of cases; experience has shown, however, that the results should always be used with care.

2.2.1. Point-Based Segmentation

In point-based segmentation, a voxel is *classified* only depending on its intensity, no matter where it is located. A very simple but nevertheless important example which is very much used in practice is *thresholding*: a certain intensity range is specified with lower and upper threshold values. A voxel belongs to the selected class if and only if its intensity level is within the specified range. Thresholding is the method of choice for selecting bone or soft tissue in CT. In volume-based rendering, it is often performed during the rendering process itself so that no explicit segmentation step is required.

In order to avoid the problems of binary segmentation, Drebin et al. use a fuzzy maximum likelihood classifier which estimates the percentages of the different materials represented in a voxel, according to Bayes’ rule²⁴. This method requires that the gray level distributions of different materials are different from each other and known a-priori. This is approximately the case in musculoskeletal CT.

Unfortunately, these simple segmentation methods are not suitable if different structures have mostly overlapping or even identical gray level ranges. This situation frequently occurs e.g. in the case of soft tissues from CT or MRI. The situation is somewhat simplified if multiple-parameter data are available, such as T₁- and T₂-weighted images in MRI, emphasizing fat and water, respectively. In this case, individual threshold values can be specified for every parameter. To somewhat generalize this concept, voxels in an n-parameter data set can be considered as n-dimensional vectors in an n-dimensional *feature space*. In *pattern recognition*, this feature space is partitioned into subspaces, representing different tissue classes or organs. This is called the *training phase*: in supervised training, the partition is derived from feature vectors which are known to represent particular tissues^{19, 35}. In unsupervised training, the partition is automatically generated³⁵. In the subsequent *test phase*, a voxel is classified, according to the position of its feature vector in the partitioned feature space.

With especially adapted image acquisition procedures, pattern recognition methods have successfully been applied to considerable numbers of two- or three-parametric MRI data volumes^{19, 35}. Quite frequently, however, isolated voxels or small regions are incorrectly classified (e.g. subcutaneous fat in the same class as white matter). To eliminate these errors, a connected component analysis (see below) is often applied.

A closely related method is based on *neural network* methodology, as developed by Kohonen⁵⁷. Instead of an n-dimensional feature space, a so-called *topological map* of

$m \times m$ n -dimensional vectors is used. During the training phase, the map iteratively adapts itself to a set of training vectors which may either represent selected tissues (supervised learning) or the whole data volume (unsupervised learning)^{40, 115}. Finally, the map develops several relatively homogeneous regions, which correspond to different tissues or organs in the original data. The practical value of the topological map for 3D MRI data seems to be generally equivalent to that of pattern recognition methods.

2.2.2. Edge-Based Segmentation

The aim of edge-based segmentation methods is to detect intensity discontinuities in a gray level volume. These edges (in 3D, they are actually surfaces; it is however common to speak about edges) are assumed to represent the borders between different organs or tissues. Regions are subsequently defined as the enclosed areas.

A common strategy for edge detection is to locate the maxima of the first derivative of the 3D intensity function. A method which very accurately locates the edges was developed by Canny¹⁷. All algorithms using the first derivative, however, share the drawback that the detected contours are usually not closed, i.e. they do not separate different regions properly.

An alternative approach is to detect zero-crossings of the second derivative. The Marr-Hildreth operator convolves the input data with the Laplacian of a Gaussian; the resulting contour volume describes the locations of the edges⁶⁷. With a 3D extension of this operator, Bomans et al. segmented and visualized the complete human brain from MRI for the first time¹². Occasionally, however, this operator creates erroneous “bridges” between different materials which have to be removed interactively. Also, location of the surfaces is not always satisfactory.

Snakes⁵² are 2D image curves, which are adjusted from an initial approximation to image features by a movement of the curve caused by simulated forces. The so called external force is produced by image features. An internal tension of the curve resists against highly angled curvatures, which makes the Snakes movement robust against noise. After a starting position is given it is adapted to an image by relaxation to an equilibrium of the external force and internal tension. To calculate the forces an external energy has to be defined. The gradient of this energy is proportional to the external force. Defining the external energy as the distance to the next maximum of the opacity function⁶¹ produced good results.

The segmentation by Snakes is due to its 2D definition performed in a slice-by-slice manner. I. e. the resulting curves for a slice are copied into the neighboring slice and the minimization is started again. The segmentation process may be controlled by the user, by stopping the automatic tracking, if the curves run out of the contours and define a new initial curve.

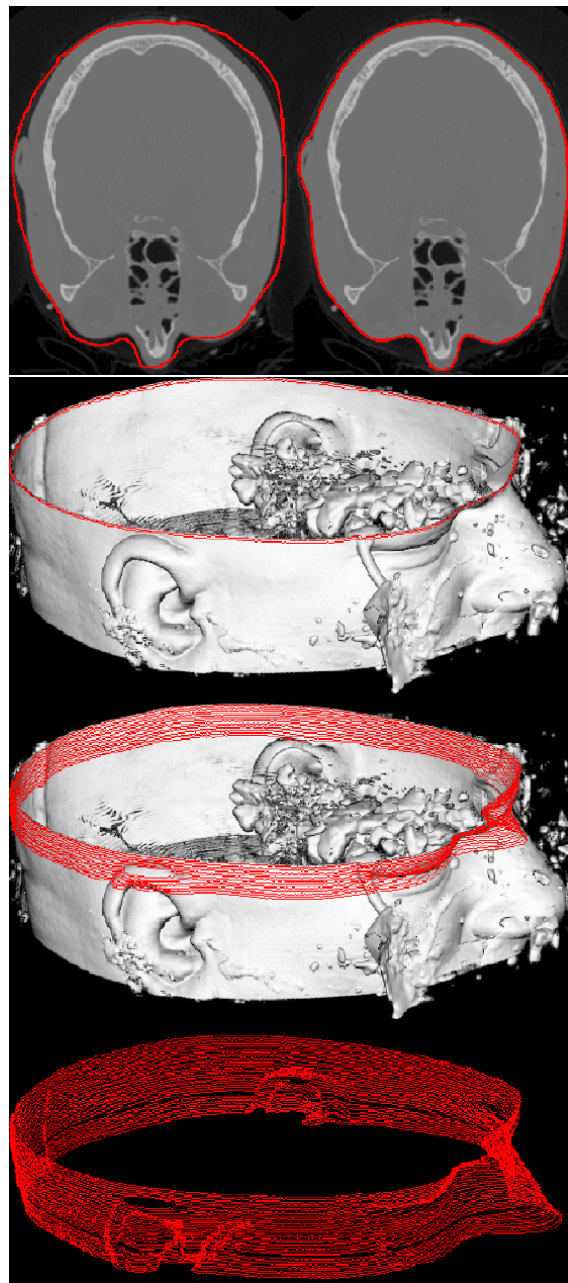


Figure 2: *The principle of Segmentation by Snakes*

For this reason two methods have been applied to enter an initial curve for the Snake. The first is the interactive input of a polygon. Since the Snake contracts due to its internal energy, the contour to be segmented has to be surrounded by this polygon. The second one is a contour tracing method, using an A^* search tree to find the path with minimal costs between two interactively marked points^{116, 76}.

The quality of the result depends on the similarity of two

adjacent slices. Normally, this is varying within a dataset. Therefore, in regions with low similarity, the slices to be segmented by the interactive method must be selected rather tightly.

2.2.3. Region-Based Segmentation

Region-based segmentation methods consider whole regions instead of individual voxels or edges. Since we are actually interested in regions, this approach appears to be the most natural. Properties of a region are e.g. its size, shape, location, variance of gray levels, and its spatial relation to other regions.

A typical application of region-based methods is to post-process the results of a previous point-based segmentation step. For example, a *connected component analysis* may be used to determine whether the voxels which have been classified as belonging to the same class are part of the same (connected) region. If not, there are likely errors in classifying the voxels in the smaller regions.

A practical interactive segmentation system based on the methods of *mathematical morphology* was developed by Höhne and Hanson^{48,98}. Regions are initially defined with thresholds; the user can subsequently apply simple but fast operations such as *erosion* (to remove small “bridges” between erroneously connected parts), *dilation* (to close small gaps), connected components analysis, region fill, or Boolean set operations. Segmentation results are immediately visualized on orthogonal cross-sections and 3D images, such that they may be corrected or further refined in the next step (fig. 3). With this system, segmentation of gross structures is usually a matter of minutes.

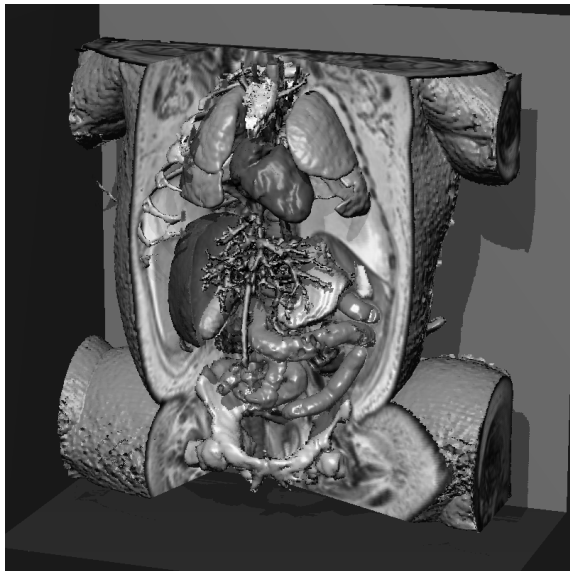


Figure 3: 3D image of a still-born fetus from MRI. About 50 objects were defined using an interactive segmentation system.

For automatic segmentation, the required knowledge about data and anatomy needs to be represented in a suitable model. A comparatively simple approach is presented by Brummer et al., who use a fixed sequence of morphological operations for the segmentation of brain from MRI¹⁶. For the same application, Raya and Udupa developed a rule-based system which successively generates a set of threshold values⁸⁶. Rules are applied depending on measured properties of the resulting regions. Bomans generates a set of object hypotheses for every voxel, depending on its gray level¹¹. Location, surface-volume ratio etc. of the resulting regions are compared to some predefined values, and the regions are modified accordingly. Menhardt uses a rule-based system which models the anatomy with relations such as “brain is inside skull”⁷⁰. Regions are defined as fuzzy subsets of the volume, and the segmentation process is based on fuzzy logic and fuzzy topology.

One of the problems of these and similar methods for automatic segmentation is that the required anatomical knowledge is often represented in more or less ad-hoc algorithms, rules, and parameters. A more promising approach is to use an explicit three-dimensional organ model. For the brain, Arata et al. developed an atlas of the “normal” anatomy and its variation in terms of a probabilistic spatial distribution, obtained from 22 MRI data sets of living persons³. The model was reported suitable for the automatic segmentation of various brain structures, including white matter lesions. A similar approach is described in⁵⁶.

Another interesting idea is to investigate object features in *scale-space*, i.e. at different levels of image resolution. This approach allows to ignore irrelevant image detail. One such method developed by Pizer et al. considers the symmetry of previously determined shapes, described by medial axes⁷³. The resulting ridge function in scale-space is called the *core* of an object. It may be used e.g. for interactive segmentation, where the user can select, add or subtract regions, or move to larger “parent” or smaller “child” regions in the hierarchy. Other applications like automatic segmentation or registration are currently being investigated.

In conclusion, automatic segmentation systems are not yet robust enough to be generally applicable to medical volume data. Interactive segmentation which combines fast operations with the unsurpassed human recognition capabilities is still the most practical approach.

2.3. Surface-Based Rendering

The key idea of *surface-based* rendering methods is to extract an intermediate surface description of the relevant objects from the volume data. Only this information is then used for rendering. If triangles are used as surface elements, this process is called *triangulation*.

A clear advantage of surface-based methods is the possibly very high data reduction from volume to surface repre-

sentations. Resulting computing times can be further reduced if standard data structures such as triangle meshes are used which are supported by common rendering hard- and software.

On the other hand, the *surface reconstruction* step throws away most of the valuable information on the cross-sectional images. Even simple cuts are meaningless because there is no information about the interior of an object. Furthermore, every change of surface definition criteria such as thresholds requires a recalculation of the whole data structure.

The first method to be widely used in clinical practice, known as the *cuberille model*, was developed by Herman et al.¹⁸. The gray level volume is first binarized with an intensity threshold. Then, a list of square voxel faces is created which denote the border between voxels in- and outside the object.

A more recent method by Lorensen and Cline called *marching cubes* creates an *isosurface*, representing the locations of a certain intensity value in the data⁶⁵. This algorithm basically considers a cube of $2 \times 2 \times 2$ contiguous voxels. Depending on whether one or more of these voxels are inside the object (i.e. above a threshold value), a surface representation of up to four triangles is placed within the cube. The exact location of the triangles is found by linear interpolation of the intensities at the voxel vertices. The result is a highly detailed surface representation with subvoxel resolution (fig. 4). Surface orientations are calculated from gray level gradients. Meanwhile, a whole family of similar algorithms has been developed^{80, 118, 122}.

Applied to clinical data, the marching cubes algorithm typically creates hundreds of thousands of triangles. As has been shown, these numbers can be reduced considerably by a subsequent simplification of the triangle meshes, without much loss of information^{103, 123}.

An even simpler approach to surface reconstruction developed by the same group uses points instead of triangles²⁰. This method, called *dividing cubes*, subdivides a group of $2 \times 2 \times 2$ contiguous voxels into smaller subcubes, whereby the intensities are interpolated. The surface description is made from those subcubes that approximate the threshold value. Similar to the marching cubes algorithm, every subcube contains a surface normal, calculated from gray level gradients.

A different method for the reconstruction of the polygonal mesh from the segmentation results is based on the Delaunay interpolation developed and published by Boissonnat¹⁰. Using this method the volume of the contours is computed by a three-dimensional triangulation which allows an extraction of the surface of the object. An extreme complexity of the surface model can be avoided and, at the same time, a high approximation quality can be achieved, but to guarantee a real-time interaction with the anatomic model an additional reduction step is necessary. Fig. 5 shows the result of the tri-

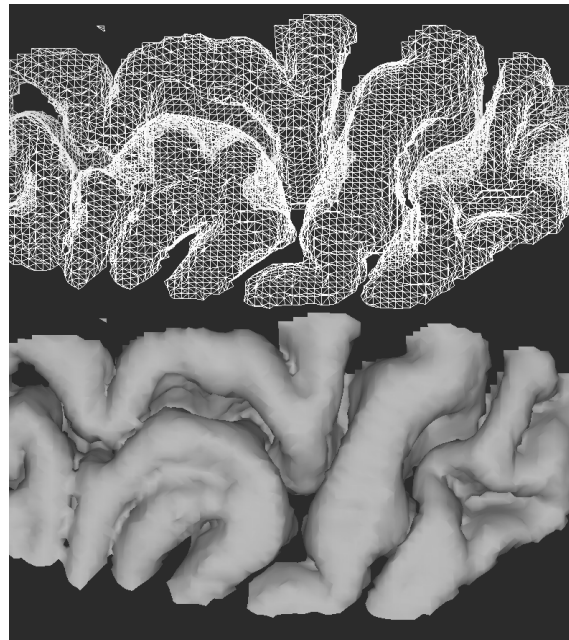


Figure 4: *Triangulated (top) and shaded (bottom) portion of the brain from MRI, created with the marching cubes algorithm.*

angle reduced surface of the Virtual Human Project patient. The reduction method can be parameterized and thus allows to derive models of different levels of detail. Even high reduction rates do not imply loss of structure of the anatomic object.

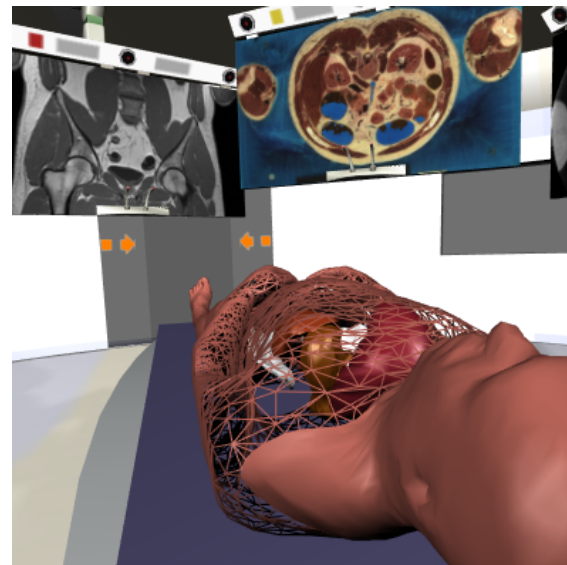


Figure 5: *The virtual patient*

2.3.1. Shading

In general, *shading* is the realistic display of an object, based on the position, orientation and characteristics of its surface and the light sources illuminating it³². The reflective properties of a surface are described with an *illumination model* such as the *Phong* model, which uses a combination of ambient light, diffuse (like paper) and specular (like polished metal) reflections. A key input into these models is the local surface orientation, described by a *normal vector* perpendicular to the surface.

The original marching cubes algorithm calculates the surface normal vectors from the gray level gradients in the data volume⁴⁵, described later. Alternatively, the surface normal vectors of the triangles can be used directly. Images produced with these two methods are compared in^{85, 109}.

2.4. Volume-Based Rendering

In *volume-based rendering*, images are created directly from the volume data. Compared to surface-based methods, the major advantage is that all gray level information which has originally been acquired is kept during the rendering process. As shown by Höhne et al.⁴⁷, this makes it an ideal technique for interactive data exploration. Threshold values and other parameters which are not clear from the beginning can be changed interactively. Furthermore, volume-based rendering allows a combined display of different aspects such as opaque and semi-transparent surfaces, cuts, and maximum intensity projections. A current drawback of volume-based techniques is that the large amount of data which has to be handled does not allow real-time applications on present day computers.

2.4.1. Scanning the Volume

In volume-based rendering, we basically have the choice between two scanning strategies: pixel by pixel (image order) or voxel by voxel (volume order). These strategies correspond to the image and object order rasterization algorithms used in computer graphics³².

In *image order* scanning, the data volume is sampled on rays along the view direction. This method is commonly known as *ray casting*:

```
FOR each pixel on image plane DO
  FOR each sampling point on
    associated viewing ray DO
    compute contribution to pixel
```

The principle is illustrated in fig. 6. Along the ray, visibility of surfaces and objects is easily determined. The ray can stop when it meets an opaque surface. Yagel et al. extended this approach to a full *ray tracing* system which follows the viewing rays as they are reflected on various surfaces¹²⁵. Multiple light reflections between specular objects can thus be handled.

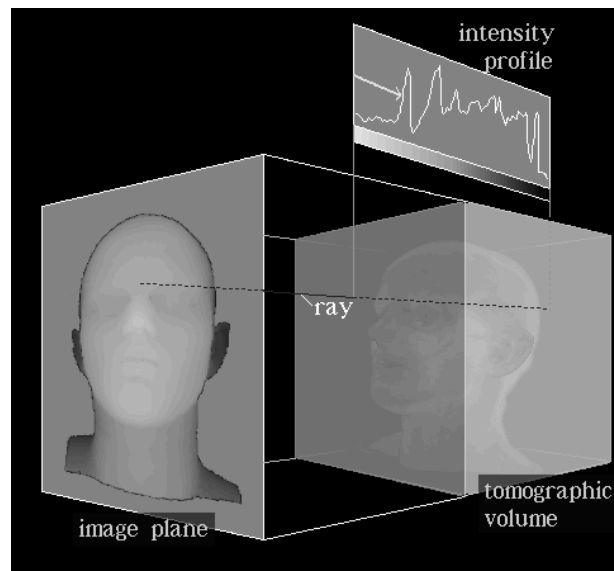


Figure 6: Principle of ray casting for volume visualization. In this case, the object surface is found using an intensity threshold.

Image order scanning can be used to render both voxel and polygon data at the same time⁶³. Image quality can be adjusted by choosing smaller (oversampling) or wider (undersampling) sampling intervals^{62, 82}. Unless stated otherwise, all 3D images shown here were rendered with a ray casting algorithm.

As a drawback, the whole input volume must be available for random access to allow arbitrary view directions. Furthermore, interpolation of the intensities at the sampling points is required. A strategy to reduce computation times is based on the observation that most of the time is spent traversing empty space, far away from the objects to be shown. If the rays are limited to scan the data only within a pre-defined *bounding volume* around these objects, scanning times are greatly reduced⁴.

In *volume order* scanning, the input volume is sampled along the lines and columns of the 3D array, projecting a chosen aspect onto the image plane in the direction of view:

```
FOR each sampling point in volume DO
  FOR each pixel projected onto DO
  compute contribution to pixel
```

The volume can either be traversed in back-to-front (BTF) order from the voxel with maximal to the voxel with minimal distance to the image plane, or vice versa in front-to-back (FTB) order. Scanning the input data as they are stored, these techniques are reasonably fast even on computers with small main memories, and especially suitable for parallel processing. So far, ray casting algorithms still offer a higher flexibility in combining different display techniques. However, vol-

ume rendering techniques working in volume order are available ¹²¹.

2.4.2. Shaded Surfaces

Using one of the described scanning techniques, the visible surface of an object can be determined with a threshold or an object label. For shading, any of the methods developed for the cuberille model, such as distance or distance gradient shading, can be applied.

As shown by Höhne and Bernstein ⁴⁵, a very realistic and detailed presentation is obtained if the gray level information present in the data is taken into account. Due to the partial volume effect, the gray levels in the 3D neighborhood of a surface voxel represent the relative proportions of different materials inside these voxels. The resulting *gray level gradients* can thus be used to calculate surface inclinations. Unless stated otherwise, this method was used for all 3D images shown here. The simplest variant is to calculate the components of a gradient G for a surface voxel at (i, j, k) from the gray levels g of its six neighbors along the main axes as

$$G_x = g(i+1, j, k) - g(i-1, j, k)$$

$$G_y = g(i, j+1, k) - g(i, j-1, k)$$

$$G_z = g(i, j, k+1) - g(i, j, k-1)$$

Scaling G to unit length yields the surface normal ¹⁰⁹. The gray level gradient may also be calculated from all 26 neighbors in a $3 \times 3 \times 3$ neighborhood, weighted according to their distance from the surface voxel ¹⁰⁹. Aliasing patterns are thus almost eliminated.

In the case of very small objects like thin bones, the gray level gradient does not correspond to the actual surface inclination any more. Pommert et al. proposed an *adaptive gray level gradient method* which chooses only 3–6 meaningful neighbors, maximizing the gradient magnitude ^{85, 109}. This algorithm yields smooth images even for thin objects.

2.4.3. Cut Planes

Once a surface view is available, a very simple and effective method to visualize interior structures is cutting. When the original intensity values are mapped onto the cut plane, they can be better understood in their anatomical context ⁴⁷. A special case is selective cutting, where certain objects are excluded (fig. 7).

2.4.4. Integral and Maximum Intensity Projection

A different way to look into an object is to integrate the intensity values along the viewing ray. If applied to the whole data volume, this is a step back to the old X-ray projection technique. If applied in a selective way, this *integral projection* is nevertheless helpful in certain cases ^{47, 109}.

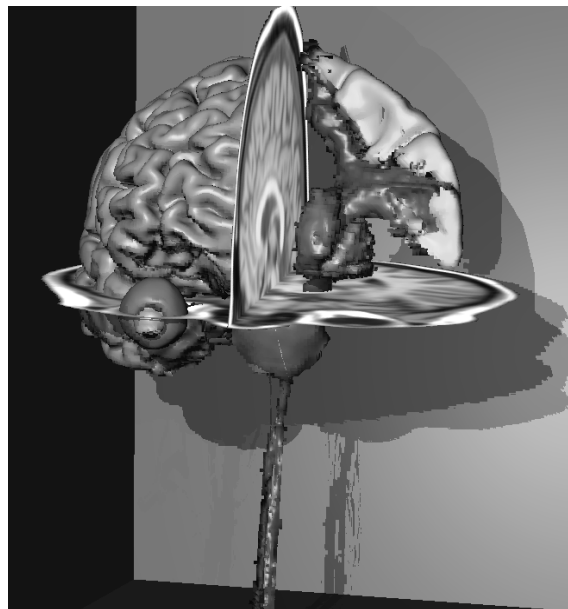


Figure 7: 3D image of a brain from MRI. Original intensity values are mapped onto the cut planes.

For small bright objects such as vessels from magnetic resonance angiography (MRA), *maximum intensity projection* (MIP) is a suitable display technique (fig. 8). Along each ray through the data volume, the maximum gray level is determined and projected onto the image plane ²⁹. The advantage of this method is that neither segmentation nor shading are needed, which may fail for very small vessels. But there are also some drawbacks: as light reflection is totally ignored, maximum intensity projection does not give a realistic 3D impression. Spatial perception can be improved by rotating the object or by a combined presentation with other surfaces or cut planes ⁴⁷.

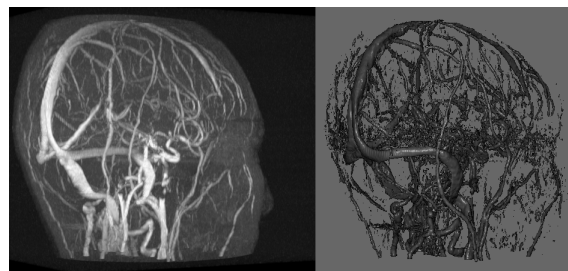


Figure 8: 3D images of head vessels from magnetic resonance angiography (MRA). While the maximum intensity projection (left) shows some more details, spatial perception is much better for thresholding segmentation and gradient-based surface shading (right).

2.4.5. Volume Rendering

Volume rendering is the visualization equivalent to fuzzy segmentation. For medical applications, these methods were first described by Drebin et al. ²⁴ and Levoy ⁶². A commonly assumed underlying model is that of a colored, semi-transparent gel with suspended low-albedo (low reflectivity) particles ⁹. Illumination rays are partly reflected and change color while traveling through the volume.

Each voxel is assigned a color and an opacity. This opacity is the product of an “object weighting function” and a “gradient weighting function”. The object weighting function is usually dependent of the gray level, but it can also be the result of a more sophisticated fuzzy segmentation algorithm. The gradient weighting function emphasizes surfaces for 3D display. All voxels are shaded, using the gray level gradient method. The shaded values along a viewing ray are weighted and summed up. A somewhat simplified basic equation modeling frontal illumination with a ray casting system is given as follows:

<i>intensity</i>	intensity of reflected light	
<i>p</i>	index of sampling point on ray	(0 ... max. depth)
<i>l</i>	fraction of incoming light	(0.0 ... 1.0)
α	local opacity	(0.0 ... 1.0)
<i>s</i>	local shading component	

$$intensity(p, l) = \alpha(p) \cdot l \cdot s(p) + (1.0 - \alpha(p)) \cdot intensity(p + 1, (1.0 - \alpha(p)) \cdot l)$$

The total reflected intensity as displayed on a pixel of the 3D image is given as $intensity(0, 1.0)$.

Since binary decisions are avoided in volume rendering, the resulting images are very smooth and show a lot of fine details (fig. 9). Another important advantage is that even coarsely defined objects can be rendered ¹⁰⁹.

On the other hand, the more or less transparent images produced with volume rendering methods are often hard to understand so that their clinical use may be limited ¹⁰⁹. Spatial perception can however be improved by rotating the object. Another problem is the large number of parameters which have to be specified to define the weighting functions. Furthermore, volume rendering is comparably slow because weighting and shading operations are performed for many voxels on each ray.

2.5. Transform-Based Rendering

While both surface- and volume-based rendering are operating in a 3D space, 3D images may also be created from other data representations. One such method is *frequency domain rendering*, which creates 3D images in Fourier space, based



Figure 9: Volume rendered image of a child with a craniosynostosis (trigonocephalon) from CT. Semi-transparent visualization shows a lot of different aspects such as skin and bone surface, but spatial perception is quite difficult.

on the projection-slice theorem ¹¹². This method is very fast, but the resulting images are limited to rather simple integral projections (see above).

A more promising approach are *wavelet transforms*. These methods provide a multi-scale representation of 3D objects, with the size of represented detail locally adjustable. Amount of data and rendering times may thus dramatically be reduced. Application to volume visualization is shown in ^{74, 75}.

2.6. Multimodality Matching

For many clinical applications, it is desirable to combine information from different imaging modalities. For example, for the interpretation of PET images which show only physiological aspects, it is important to know the patient's morphology, as shown in MRI. In general, different data sets do not match geometrically. It is therefore required to transform one volume with respect to the other. This process is also known as *registration*.

The transformation may be defined using corresponding *landmarks* in both data sets ¹¹³. In a simple case, external markers attached to the patient are available which are visible on different modalities. Otherwise, arbitrary pairs of matching points may be defined. A more robust approach is to interactively match larger features such as surfaces, or selected internal features such as the AC-PC line (anterior / posterior commissure) in brain imaging ¹⁰⁰. All these techniques may

also be applied in scale-space at different levels of resolution⁷³.

In a fundamentally different approach, the results of a registration step are evaluated at every point of the combined volume using *voxel similarity measures*, based on intensity values^{107, 120}. Starting from a coarse match, registration is achieved by adjusting position and orientation until the mutual information between both data sets is maximized. Since these methods are fully automatic and do not rely on a possibly erroneous definition of landmarks, they are increasingly considered superior.

2.7. Intervention Rehearsal

So far, we have focused on merely visualizing the data. A special case is to move the camera inside the patient for simulated endoscopy³³. Besides in education, potential applications are in non-invasive procedures, such as gastrointestinal diagnosis.

A step further is to manipulate the data at the computer screen for surgery simulation. These techniques are most advanced for craniofacial surgery where a skull is dissected into small pieces, and then rearranged to achieve a desirable shape. Several systems have been designed which allow the user to interactively draw closed curves onto the screen, which are interpreted as cuts into the volume^{78, 126}. The resulting segments can be inspected from other view directions, and individually moved and rearranged in 3D space.

While these systems are based on binary data, Pflesser et al. developed an algorithm which handles full gray level volumes⁸¹. Thus, all features of volume-based rendering, including cuts and semi-transparent rendering of objects obscuring or penetrating each other, are available.

2.8. Image Quality

For applications in the medical field, it is mandatory to assure that the 3D images show the true anatomical situation, or at least to know about their limitations.

A common approach for investigating *image fidelity* is to compare 3D images rendered by means of different algorithms. This method, however, is of limited value since the truth usually is not known. A more suitable approach is to apply volume visualization techniques to simulated data^{66, 85, 109}, and to data acquired from corpses^{25, 41, 79, 83, 92}. In both cases, the actual situation is available for comparison. Using the first technique, the accuracy e.g. of different shading algorithms has been shown. Results of the latter studies include visibility of sutures or fracture gaps as a function of acquisition parameters and object size.

Another aspect of image quality is *image utility*, which describes whether an image is really useful for a viewer with respect to a certain task. Investigations of 3D image utility in craniofacial surgery may be found in^{2, 105, 114}.

PART TWO

Applications to Medical Data

3. Radiological Data

At first glance, one might expect diagnostic radiology to be the major field of application for volume visualization. This is however not always the case. One of the reasons is clearly that radiologists are especially skilled in reading cross-sectional images. Another reason is that many diagnostic tasks such as tumor detection and classification can well be done from tomographic images. Furthermore, 3D visualization of these objects from MRI requires robust segmentation algorithms which are not yet available. In ⁹⁴ one can find a selection of advanced applications and "success stories" from the application of methods reported here.

The situation is generally different in all fields where therapeutical decisions have to be made by non-radiologists on the basis of radiological images ^{46, 127}. A major field of application for volume visualization methods is *craniofacial surgery* ^{2, 22, 64, 128}. Volume visualization not only facilitates understanding of pathological situations, but is also a helpful tool for planning optimal surgical access and cosmetic results of an intervention. A typical case is shown in fig. 9. Dedicated procedures for specific disorders have been developed, which are now in routine application ¹⁰⁵.

Another important field of application is *traumatology* (fig. 10). Due to the emergency situation, planning times are usually very short. With new faster imaging modalities available and computing power ever increasing, volume visualization techniques are being introduced for difficult cases ³¹.

An application that is becoming more and more attractive with the increasing resolution and specificity of MRI is *neurosurgery planning*. Here the problem is to choose a proper access path to a lesion. 3D visualization of brain tissue from MRI and blood vessels from MRA before surgical intervention allows the surgeon to find a path with minimal risk in advance ^{21, 82}. In combination with a 3D coordinate digitizer, the acquired information can be used to guide the surgeon during the intervention ¹. In conjunction with functional information from PET images, localization of a lesion is facilitated. The state of the art in *computer-integrated surgery* is presented in ¹⁰⁸.

Another important application that reduces the risk of a therapeutical intervention is *radiotherapy planning*. Here, the objective is to focus the radiation as closely as possible to the target volume, while avoiding side effects in healthy tissue and radiosensitive organs at risk. 3D visualization of target volume, organs at risk and simulated radiation dose allows an iterative optimization of treatment plans ^{50, 55, 99, 102}.

Applications apart from clinical work include *medical research and education*. In the current Decade of Brain Re-

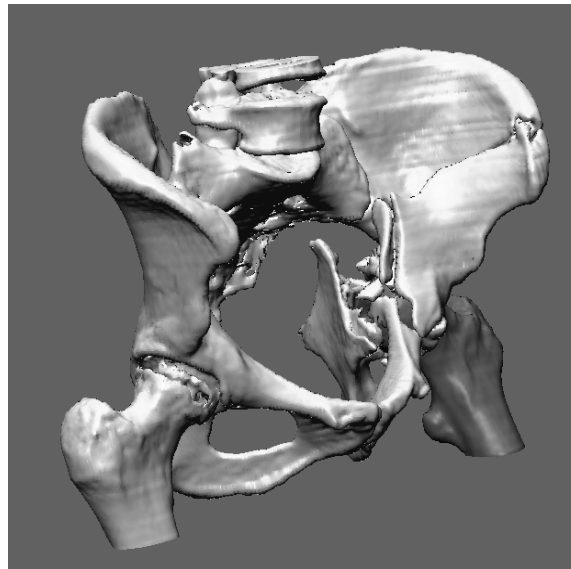


Figure 10: 3D image of a pelvis with multiple fractures from CT in a semi-frontal view.

search, exploring and mapping brain functions is a major issue. Volume visualization methods provide a framework to integrate information obtained from such diverse sources as dissection, functional MRI, or magnetoencephalography ¹¹¹.

The knowledge for the interpretation of the 3D pictures described so far has still to come from the viewer. In contrast, the 3D brain atlas VOXEL-MAN/brain shown in figs. 7 and 12 is based on an *intelligent volume*, which has been prepared from an MRI data set ^{49, 84, 104}. It contains spatial and semantic descriptions of morphology, function, and blood supply. The brain may be explored on the computer screen in a style close to a real dissection, and queried at any point. Other atlases are currently in preparation (fig. 3). Beyond education, such atlases are also a powerful aid for the interpretation of clinical images ¹⁰⁰.

If high resolution cryosections such as those created in the *Visible Human Project* of the National Library of Medicine ¹⁰⁶ are used, even more detailed and realistic atlases can be prepared ^{101, 110}. An example is shown in fig. 13.

4. 3D Ultrasound

4.1. Introduction

3D ultrasound is a very new and most interesting application in the area of 'tomographic' medical imaging, able to become a fast, non-radiative, non-invasive, and inexpensive volumetric data acquisition technique with unique advantages for the localisation of vessels and tumours in soft tissue (spleen, kidneys, liver, breast etc.). In general, tomographic techniques (CT, MR, PET etc.) allow for a high anatomical clarity when

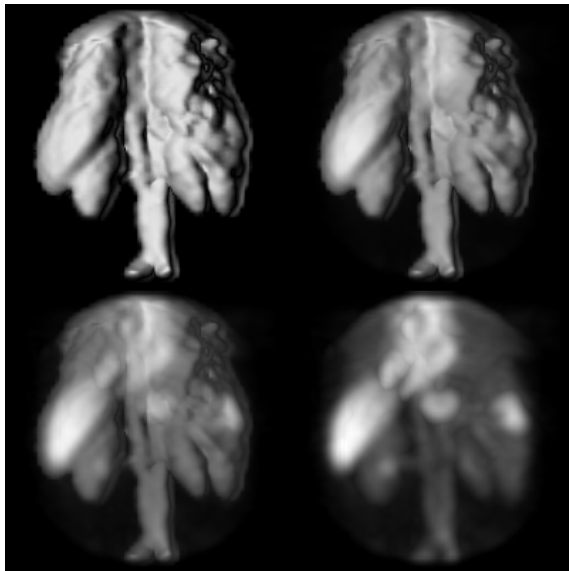


Figure 11: Gradual transition between surface and MIP visualization of a gamma camera dataset of the pelvis. Heart, kidneys, liver and spleen are visible. Three haemangiomas can be seen in the MIP mode

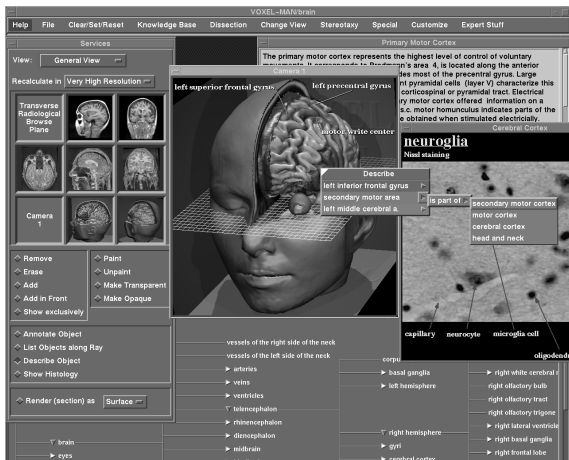


Figure 12: Anatomy teaching by dissection at the computer screen: user interface of VOXEL-MAN/brain. The volume may be arbitrarily rotated and cut. By pointing to a visible surface, the selected region can be highlighted and annotated. Objects to be displayed may also be selected from a knowledge base.

inspecting the interior of the human body. In addition, they enable a 3D reconstruction and examination of regions of interest, offering obvious benefits (reviewing from any desired angle, isolation of crucial locations, visualization of internal structures, 'fly-by', accurate measurements of distances, angles, volumes etc.).

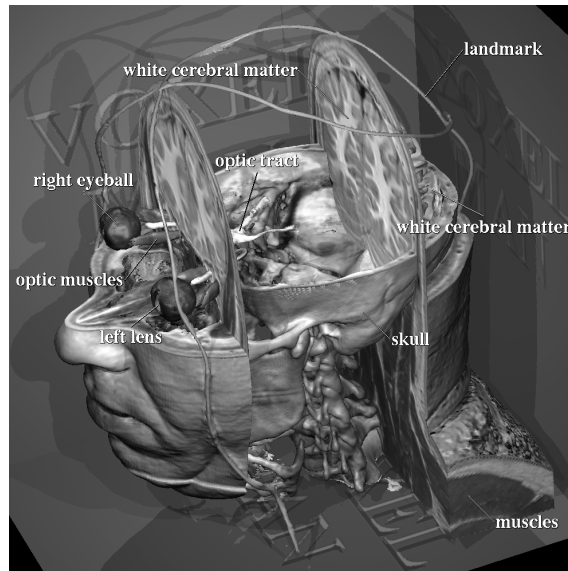


Figure 13: Dissection of the Visible Human. Used in a state of the art visualization environment, this data represents a new quality of anatomical imaging.

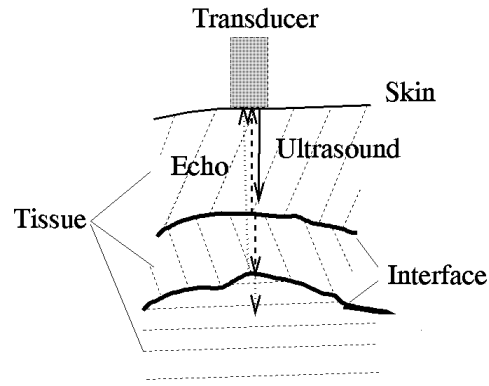


Figure 14: The principal function of ultrasound

The physical principle of ultrasound is as following ⁷²: sound waves of high frequency (1–15 MHz) emanate from a row of sources that are located on the surface of a transducer which is in direct contact with the skin. The sound waves penetrate the human tissue travelling with a speed of 1450–1580 m/s, depending upon the type of tissue. The sound waves are reflected partially if they hit an interface between two different types of tissue (e.g. muscle and bone). The reflected wavefronts are detected by sensors (microphones) located next to the sources on the transducer. The intensity of reflected energy is proportional to the sound impedance difference of the two corresponding types of tissue and depends

on the difference of the sound impedances Z_1 and Z_2 :

$$I_r = I_e \cdot \frac{\left(1 - \frac{Z_2}{Z_1}\right)}{\left(1 + \frac{Z_2}{Z_1}\right)} \quad (1)$$

An image of the interior structure can be reconstructed based upon the total travelling time, the (average) speed, and the energy intensity of the reflected waves. The resulting 3D images essentially represent hidden internal “surfaces”. The principle is similar to radar with the difference being that it uses mechanical instead of electromagnetic waves.

4.2. Collecting 3D-Ultrasound Data

In contrast to the common 2D case where a single image slice is acquired, 3D ultrasonic techniques cover a volume within the body with a series of subsequent image slices. The easiest way to collect 3D-ultrasound data is to employ a Kretz Voluson 530 device. This is a commercially available device allowing for a direct acquisition of a hole volume area instead of a single slice. The principle of the Kretz device is based on a mechanical movement of the transducer during acquisition along a rotational or sweep path (see figure 15)

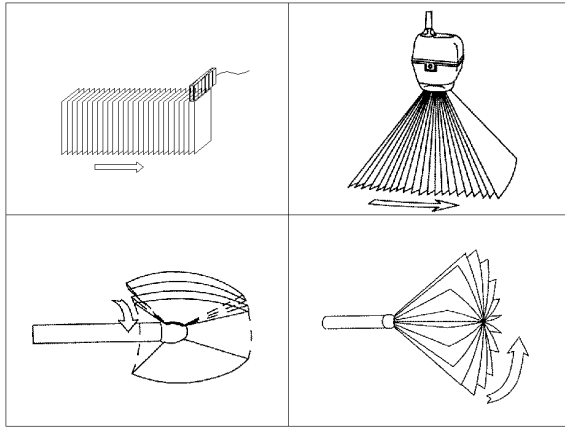
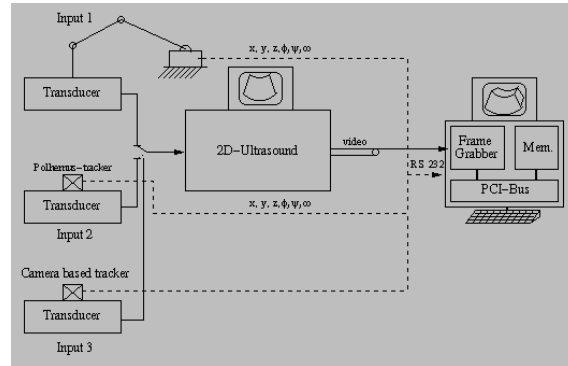


Figure 15: Different mechanical scanning methods

The advantage of the Kretz system lies in its high precision and commercial availability. Its disadvantage is that the rather high system price makes it somehow difficult to purchase for physicians. The alternative is a free-hand scanning system allowing the upgrade of virtually any existing conventional (2D) ultrasound system to full 3D-capabilities. Such an update can be done by exclusively external components and hence does not require any manipulation of the existing hardware and software configuration. After the upgrade the ultrasound equipment can be operated in both, 2D as well as 3D mode almost simultaneously. Switching from the 2D to the 3D mode requires only a mouse-click. As a

result, the familiar 2D examination procedure remains unchanged, and the physician can switch on the 3D mode only there when this is necessary. The system architecture is illustrated in the figure below. The upgrade requires the employment of two external components:



1. A 6-degrees-of-freedom (6DOF) tracking system for the transducer. Such a tracking system is mounted on the transducer and follows very precisely its position and orientation in 3D space. Thus, each 2D image is associated with a corresponding position and orientation coordinates. The physician can now move the transducer free-hand over the region under examination. In the market there exist several different types of 6DOF tracking systems: mechanical arms, electromagnetic trackers, camera-based trackers (infrared or visible light).
2. A image digitalisation and volume rendering system. This component consist from a frame grabber, a workstation or PC with sufficient memory and processor power, a serial interface and the usual peripheral devices (monitor, mouse, printer etc.). The video output of the 2D ultrasound machine is connected to the frame grabber and the 6DOF tracker to the serial input. Every 2D image presented on the ultrasound screen is digitised in real-time and stored together with its corresponding tracker coordinates in the memory. After finishing the scanning procedure, all acquired 2D slices are combined into a 3D volume sample of the examined area. This volume dataset is then further processed.

4.3. Visualization of 3D Ultrasound

One of the major reasons for the limited acceptance of 3D ultrasound to date is the complete lack of an appropriate visualisation technique, able to display clear surfaces out of the acquired data. The very first approach was to use well known techniques, used for MRI and CT data to extract surfaces. Such techniques, reported in more detail in the first part of this Report, include binarization, iso-surfacing, contour connecting, marching cubes, and volume rendering either as

semi-transparent cloud, or as fuzzy gradient shading⁶¹. Manual contouring is too slow and impractical for real-life applications. Unfortunately, ultrasound images possess several features causing all these techniques to fail totally. The general appearance of a volume rendered 3D ultrasound dataset is that of a solid block covered with 'noise snow' (fig. 16 right). The most important of these features as reported in⁹⁵ and⁹⁷ are:

1. significant amount of noise and speckle
2. much lower dynamic range as compared to CT or MR
3. high variations in the intensity of neighbouring voxels, even within homogeneous tissue areas
4. boundaries with varying grey level caused by the variation of surface curvature and orientation to the sound source
5. partially or completely shadowed surfaces from objects closer and within the direction of the sound source (e.g. a hand shadows the face)
6. the regions representing boundaries are not sharp but show a width of several pixels
7. poor alignment between subsequent images (parallel-scan devices only)
8. pixels representing varying geometric resolutions depending on the distance from the sound source (fan-scanning devices only)

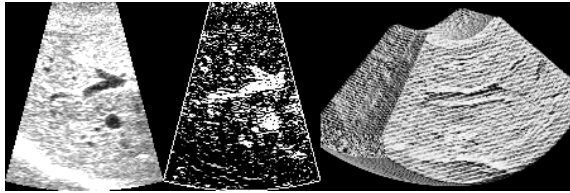


Figure 16: Left a grey image of the liver, middle the corresponding opacity values, right a volume rendered dataset. Note the high opacity values along the interface between data and empty space (middle) causing a solid 'curtain' obscuring the volume interior (right)

The next idea in dealing with ultrasound data was to improve the quality of the data during a pre-processing step, i.e. prior to reconstruction, segmentation and volume rendering. When filtering medical images, a trade-off between image quality and information loss must always be taken into account. Several different filters have been tested: 3D-Gaussian for noise reduction, 2D-speckle removal for contour smoothing, 3D-median for both noise reduction and closing of small gaps caused by differences in the average luminosity between subsequent images⁹⁵; other filters such as mathematical topology and extended threshold-based segmentation have been tested as well. The best results have been achieved by combining Gaussian and median filters (see image 17).

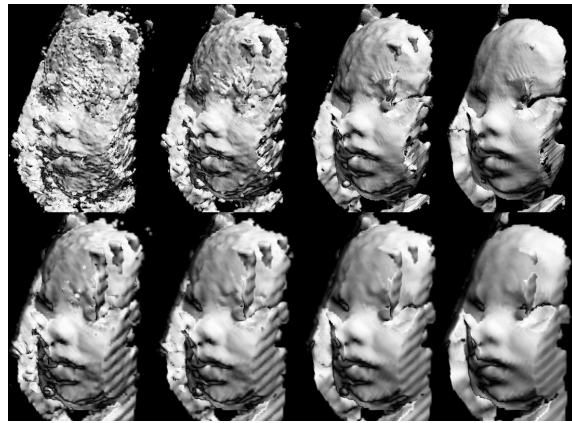


Figure 17: Volume rendering after off-line 3D-median and 3D-Gaussian filtering. From left to right: unfiltered and median with a width of 3^3 , 5^3 and 7^3 . In the lower row the same data after additional Gaussian filtering with a width of 3^3

However, pre-processing of large datasets (a typical 3D-volume has a resolution of 256^3 voxels) requires several minutes of computing, reduces the flexibility to interactively adjust visualization parameters, and aliases the original data. For solving these problems interactive filtering techniques based on multi-resolution analysis and feature extraction have been developed, allowing a user-adjustable, on-line filtering within a few seconds and provide an image quality comparable to the off-line methods⁹⁷, see image 18).

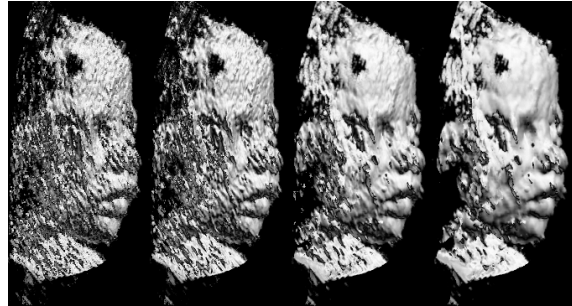


Figure 18: On-line filtering of the face of a fetus. This filtering is completed within less than 5 seconds

In order to remove artifacts remaining in the image after filtering, semi-automatic segmentation has been applied because of the general lack of a reliable automatic technique. A segmentation can be provided by using the mouse to draw a few crude contours (see⁹⁵ for more details).

The diagnostic value of surface reconstruction in prenatal diagnosis so far has to be seen in the routine detection

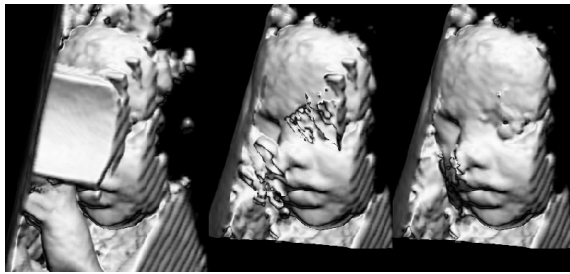


Figure 19: Fetal face before (left) and after (middle) removing the right hand and the remaining artefacts (right)

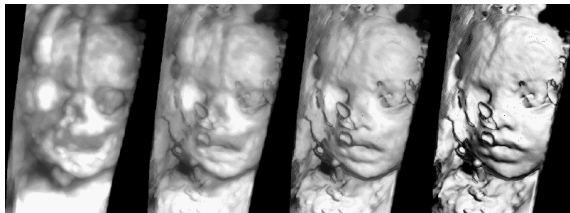


Figure 20: On-line mixing between surface and MIP models. This operation is performed in real-time

of small irregularities of the fetal surface, such as cheilognathopalatoschisis or small (covered) vertebral defects as well as in a better spatial impression of the fetus as compared to the 2D-imaging. A useful side-effect is a psychological one, as the pregnant woman gets a more plastic impression of the unborn (44, 5). Image 21 compares an image reconstructed from data acquired in the 25th week of pregnancy with a photo of the baby 24 hours after birth. The resolution of the data was $256 \times 256 \times 128$ (8 Mbytes); the time for volume rendering one image with a resolution of 300^2 pixels is about 1 second on a Pentium Pro 200 doppelprocessor PC.

Image 22 shows several other examples of fetal faces acquired in the Mannheim Clinic. It is important to note that these datasets have been acquired under routine clinical conditions and therefore they can be regarded as to be representative. In average 80% of the acquired volumes can be reconstructed within ca. 10 minutes with an image quality comparable to that shown here. All cases where the fetus was facing the abdominal wall could be reconstructed successfully.

Under clinical aspects further work should be aimed towards a better distinction and automatic separation of surfaces lying close together and showing relatively small grey-scale differences. The reconstruction of surfaces within the fetus, e.g. organs, is highly desired. Surface properties of organs, but also of pathological structures (ovarian tumors etc.) might give further information for the assessment of the dignity of tumors.

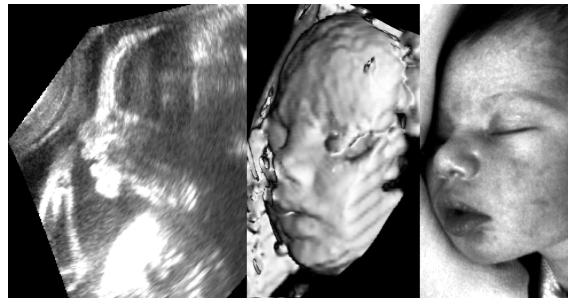


Figure 21: Comparison of a volume reconstructed from 3D-ultrasound data acquired during the 25th pregnancy week (3 1/2 months before birth) with a photograph of the same baby taken 24 hours after birth

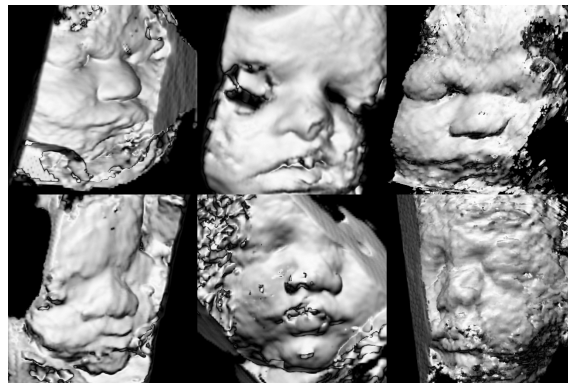


Figure 22: Six different examples of fetal faces acquired under daily clinical routine conditions

5. 3D Cardiac Reconstruction from 2D Projections

Different imaging modalities are applied in order to acquire medical data. In terms of the human heart 3D tomographic imaging techniques are not yet suitable for resolving neither moving coronary arteries nor the changing volume of the heart-ventricles.

The golden standard for diagnosis of coronary artery disease or volumetry is x-ray angiography, recently combined with intra-vascular ultrasound (IVUS) ⁶⁰. The main benefit of this technique is the high spatial and temporal resolution as well as high image contrast.

For treatment planning of angioplasty or bypass surgery or for volumetry sequences of x-ray images are traditionally acquired and evaluated. Despite the high quality of angiograms an exact judgment of pathological changes (eg. stenosis) requires a large amount of experience on the part of the cardiologist.

In order to improve the diagnostic accuracy, 3D reconstruction from 2D coronary angiograms appears desirable¹¹⁷. In general two different approaches can be distinguished. The stereoscopic or multiscopic determination of ray intersections is a method which makes it necessary to identify correspondent features within different images. If this correspondence is not possible to be established, back-projection techniques³⁰ are more suitable.

The choice of using either the stereoscopic or the back-projection approach mainly depends on the following criteria:

Number of images For stereoscopic approach at least two images are necessary to perform the reconstruction. In order to achieve good results by using back-projection techniques more than twenty images are necessary.

Relative orientation A small relative orientation results in a low accuracy for both stereoscopic and back-projection techniques. Nevertheless the necessity of a large parallax angle is higher for back-projection techniques.

Morphology In order to reconstruct objects which are composed by a number of small structured parts stereoscopic techniques are more appropriated. On the other hand large objects with low structure are easier to reconstruct by back-projection techniques.

Occluding objects Occluding objects cause problems when using stereoscopic methods. In contrast, back-projection techniques are able to separate different objects which are laying on the same projection ray.

Since the choice of the right technique strongly depends on the current application both approaches will be described briefly within the following sections.

5.1. Model-based Restoration of Teeth

5.1.1. Introduction

An important goal in image processing of medical images is in addition to the analysis of the images the reconstruction and visualization of the scanned objects. The results assist the physician in making a diagnosis and can so contribute to an improved treatment. By means of the reconstruction it is possible to produce implants made-to-measure and adjusted to the individual patient's anatomy. Therefore, the object is scanned and afterwards reconstructed. Now, a high quality implant is produced using the information of the 3D-model.

One field of application where this method gained wide currency is the restoration of teeth by range images¹³.

5.1.2. Related Work

The CEREC-system, one of the most popular methods for the restoration of teeth, was introduced by Mörmann and Brandestini^{13,14}. It was developed at the University of Zürich in cooperation with the Brains company (Brandestini Instruments of Switzerland). Today, the CEREC-system is developed by Sirona and in the latest version, it is possible to make crown restorations. Due to the complicated interactive construction process the surface of the occlusal part of the inlay has often to be modeled manually after the inlay is inserted into the cavity of the prepared tooth.

The Minnesota-system, developed from Rekow et al.^{87,88,89} at the University of Minnesota, use affine transformations of a 3D model tooth to adapt it to the scanned tooth. Instead of covering all kinds of tooth restorations they consider mainly the production of crowns. The system of Duret^{26,27,28}, developed in cooperation with the french company Hennson, works similar to the Minnesota-system. They use affine transformations to produce crowns respectively small bridges.⁶⁹ use the range image of an intact tooth to determine the occlusal surface of the inlay by 2D free form deformations based on extracted feature points.

5.1.3. Occlusal Surface Restoration

Automatic occlusal surface reconstruction for all kinds of tooth restorations is an important ongoing research topic. It is undisputed that an automation of a restoration system is only possible if the typical geometry of teeth is known by the system. One realizable approach is the restoration of the occlusal surface by adapting an appropriate tooth model. Therefore, the starting point for automatic restorations is the theoretical tooth³⁷. After positioning and scaling the tooth model, adjustments to the individual patient's anatomy are necessary to get a smooth join of the inlay with the undamaged parts of the real occlusal surface. A description of the method is given in^{37,38}. The processing pipeline is shown in figure 23.

5.1.4. Data Acquisition

For the three-dimensional surface measurements of teeth we use a new optical 3D laser scanner based on the principle

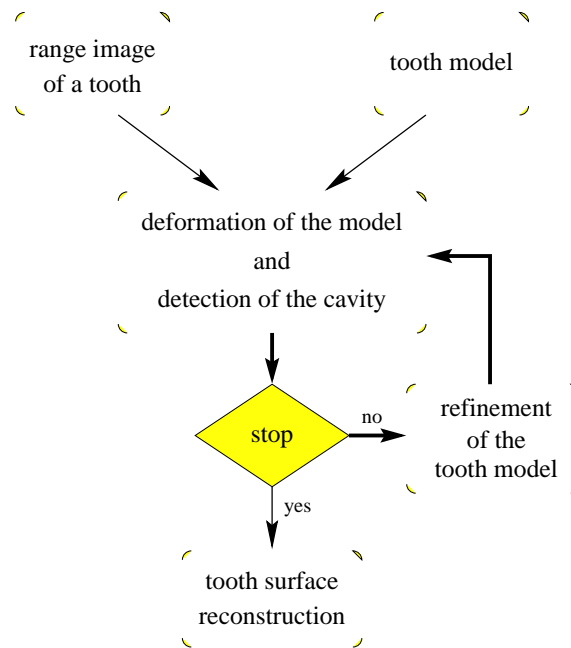


Figure 23: Occlusal surface restoration using a tooth model (heavy arrows indicate design loop)

of triangulation. Shaded areas due to steep inclines can be avoided by combining two scans from different directions⁷⁷. The measurement time for 250000 surface points is about 30 seconds. The distance between two scanned points is 27 m and the scanner has a vertical resolution less than 1 m.

5.1.5. The Geometrically Deformable Model

For the purpose of shape modification a three-dimensional adaptation of a geometrically deformable model (GDM) is employed.

⁷¹ for the segmentation and visualization of two- and three-dimensional objects. D. Rückert⁹¹ uses geometrically deformable models for the segmentation of two-dimensional medical images. Gürke³⁹ extends the model by introducing free function assignment in the control points in order to integrate a priori knowledge about the object.

5.1.6. Optimization Methods

It has been shown that the segmentation process of Miller¹⁵ is very susceptible to noise and artifacts. The reason lies in the usage of the Hillclimbing process. Rückert provided an improvement the simulated annealing optimization. In theory this method always finds the global minimum of a function. Due to the enormous complexity of our functions we decided the usage of simulated annealing as optimization method.

5.1.7. Cavity Detection

In order to avoid a slip of the GDM into the cavity during the adaptation process there has to be a mechanism to detect the control points of the GDM lying above a cavity. Later we use this information to calculate the surface of the inlay. We decided to a criterion based on a distance measurement and an adaptive threshold. The threshold depends on the actual mean error calculated in the control points of the GDM.

The detected control points are labeled and removed from the deformation process. In the non-labeled control points we store the actual deformation vectors. After we passed all control points in the deformation step, we calculate the deformation vectors for the labeled control points by a weighted Shepard interpolation.

5.1.8. Results

The images we used in our experiments were captured with a 3D laser scanner at the dental school of Munich and registered with the Sculptor system⁷⁷ at the Fraunhofer Institute for Computer Graphics.

In figure 24 you can see on the left side the range image of a prepared first upper molar. For a better visibility of details the rendered image is shown on the right side.

Figure 25 shows the results of the deformations in the different resolutions starting with the initial model and terminating after four refinement steps.

The adaptation process terminates since a sufficient degree of refinement is reached. In our case, we finished after four refinement steps respectively five deformation steps. Figure 26 shows a 3D-view of the reconstructed chewing surface.

Finally, we are able to calculate the inlay by using the information of the range image and the 3D-model of the reconstructed occlusal surface. In figure 27 you can see a volume representation of the inlay.

Figure 28 shows the result of a dental restoration of a first lower molar. Following the arrows, you can see on the left side the original prepared tooth, then different views of the reconstruction, and finally the corresponding views of the resulting inlay. The whole process took 21 seconds on a SPARC 10 workstation, and we obtained an average error of 25 μm .

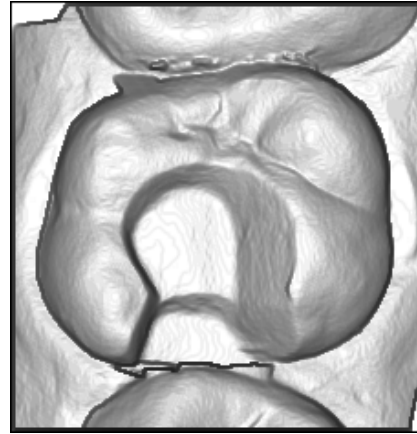
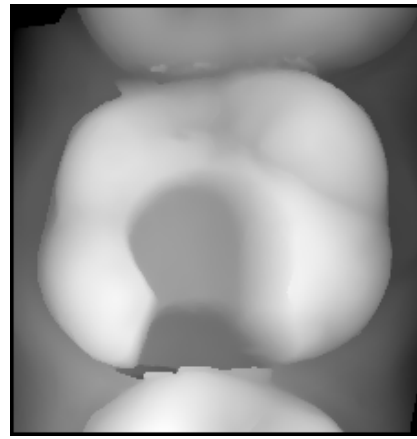


Figure 24: Range image and corresponding rendered image of a prepared tooth with a cavity.

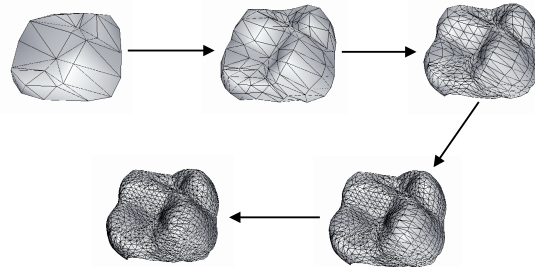


Figure 25: Result of the adaptation process in form of triangular meshes.

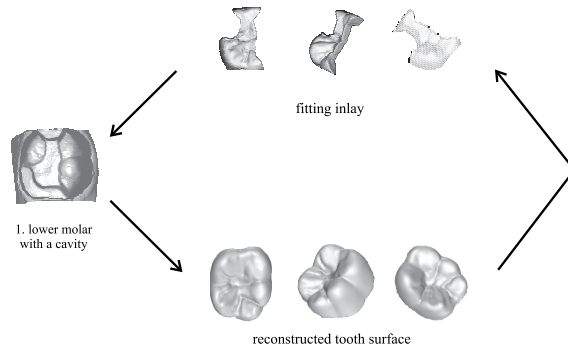
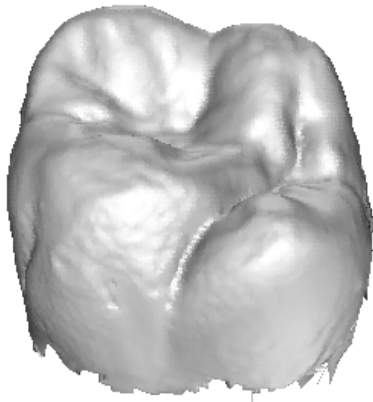


Figure 28: *Dental restoration of a first lower molar.*

Figure 26: *3D-view of the reconstructed occlusal surface.*

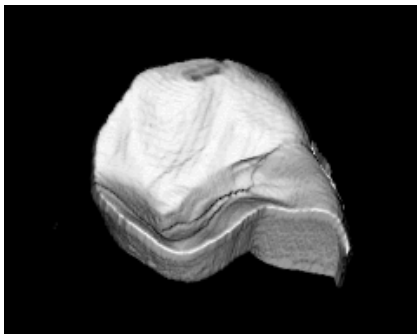
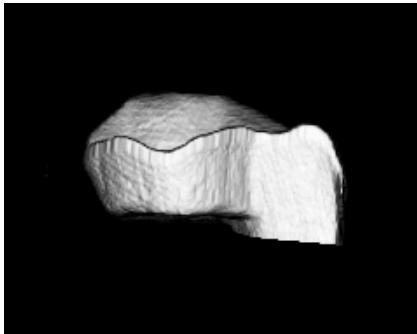


Figure 27: *Volume representation of the calculated inlay.*

5.2. Reconstruction of Coronary Vessels

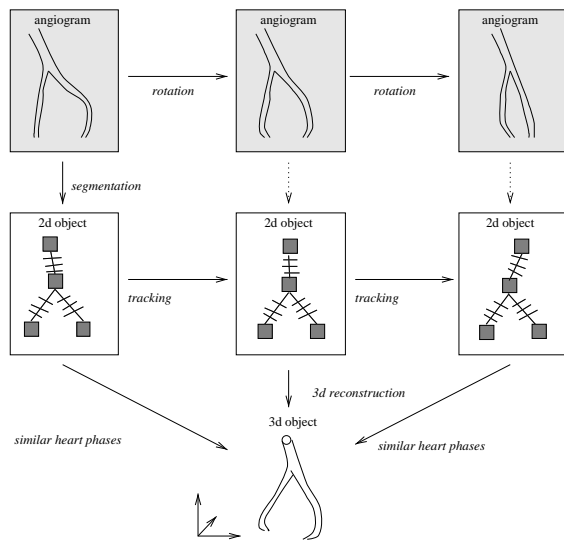


Figure 29: Processing steps used to reconstruct the 3D geometry of the coronary vessels

In this section a method of reconstructing the 3D appearance of the coronary arteries, based on a sequence of angiograms,

^{116, 76}, which proved to be robust against noise and may be fully controlled by the user. The obtained structure is tracked over the angiographic sequence by Snakes.

Reconstruction is based on the extracted vessel tree structures, the known relative orientation (i.e. the angle) of the projections, and the imaging parameters of the x-ray system. The 3D reconstruction is performed from images of identical heart phases. It begins with the two projections of the same phase, defining the largest angle. The obtained result is afterwards improved by introducing additional views. Applying a 3D optimization techniques the shape of a 3D Snake is adapted according to multiple 2D projections ⁴².

The obtained 3D structure can be either visualized by performing a volume rendering, or in order to be presented within a VR-systems it can be transferred into a polygonal representation.

Besides the 3D geometry of the coronary vessels, the trajectories of distinct points of the vessels are determined during the tracking process. As a result these trajectories can be used to simulate the movement of the vessel, caused during the heart beat (Figure 30 bottom row).

5.3. Reconstruction of Ventricles

Beside the stereoscopic or multiscopic feature based approach, the 3D structure can also be obtained using densitometric information. This technique, also known as back-projection method, does not need any a priori knowledge or image segmentation.

Similar to CT, the 3D information is obtained by determining the intensity of a volume element according to the density of the imaged structure. The intensity of each pixel within the angiogram correlates to the amount of x-ray energy which is received at the image amplifier. This energy depends on the density and the absorption capabilities of the traversed material. As a result a pixel represents the sum of the transmission coefficients of the different materials which are pierced by the x-ray. For homogeneous material and parallel monochromatic x-rays the image intensity can be described by the rule of *Lambert-Beer* ⁸:

$$I = I_0 e^{-\mu v d} \quad (2)$$

- I : Image intensity
- I_0 : Initial intensity
- μ : Absorption-coefficient of the structure
- v : Density of the structure
- d : Thickness of the structure

If the x-ray travels through a material with varying densities, this equation has to be split into parts with constant density. The total amount of transmitted intensity is the sum of

these different parts.

$$I = I_0 e^{-\sum \mu_i v_i d_i} \quad (3)$$

To improve the image quality contrast agent is injected during the acquisition process. For this purpose a catheter is positioned in front of the ventricles (see figure 31).

Applying the back-projection technique the distribution of the coefficients can be determined. During the acquisition process the x-ray system is rotated around the center of the heart (see figure 32).

In order to reconstruct the appropriate intensities of the heart, all the images are translated into the center of rotation (see figure 33), thus according to the amount of images a cylinder is defined by a number of sampling planes. The complete volume of the cylinder can now be determined. Therefore all the rays starting from the x-ray source and intersecting a distinct voxel are accumulated and weighted according to the intensity of the different planes. Continuing this process for all the voxels of the cylinder, by taking the projection geometry into account by introducing a cone-filter⁵¹, the intensity of each cylinder voxel can be determined. The obtained volume data can be visualized using a volume rendering technique and segmented by Snakes.

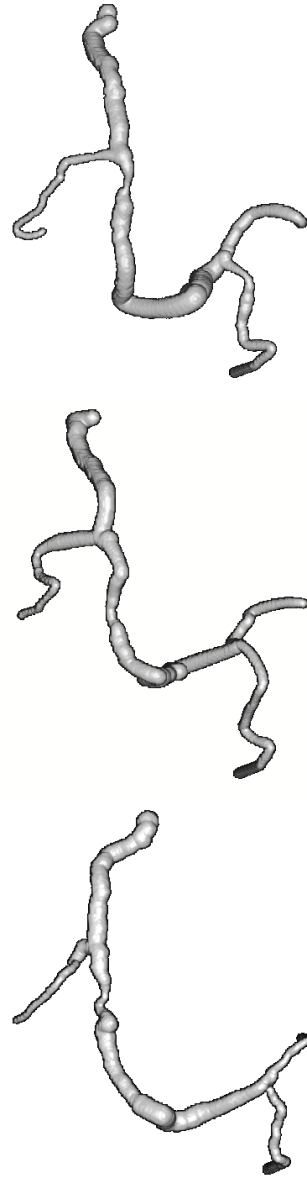
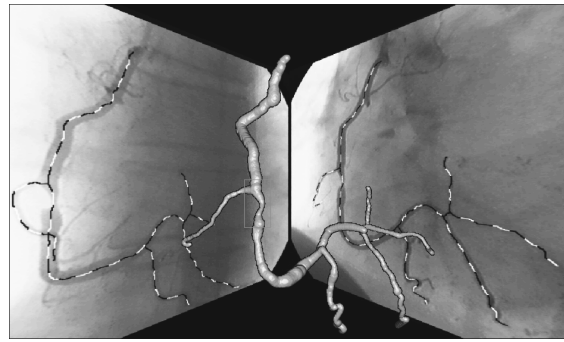


Figure 30: Reconstructed vessels rendered by InViVo (top: combined presentation of the volume rendered reconstruction result and angiograms bottom: some frames of the 3D movement simulation)

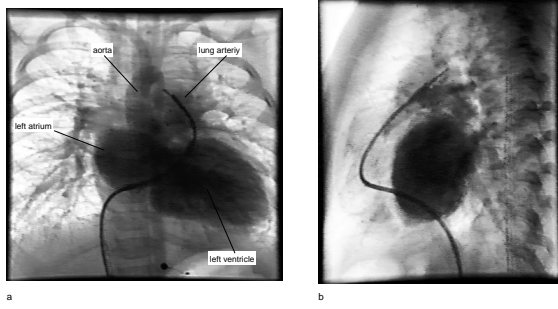


Figure 31: Angiograms acquired by the bi-plane x-ray system

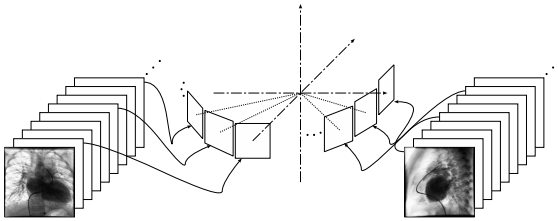


Figure 32: Acquisition of different angiograms by rotating a bi-plane x-ray system around the center of the heart

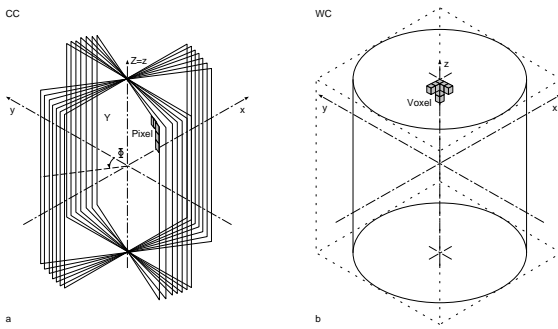


Figure 33: Translation of the angiograms in order to determine the voxel intensities

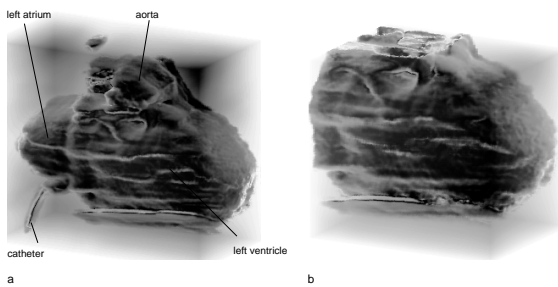


Figure 34: Volume rendering of the intensities obtained by the back-projection technique

6. Visualization of Laser Confocal Microscopy Datasets

Structures in the microscopic scale nerve cells, tissue and muscles, blood vessels etc. show beautiful, complex, and mostly still unexplored patterns usually with higher complexity than those of organs. In order to understand the spatial relationship and internal structure of such microscopic probes, tomographic series of slices are required in analogy to the tomographies used for organs and other macroscopic structures.

Laser confocal microscopy is a relatively new method allowing for a true tomographic inspection of microscopic probes. The method operates according to a simple, basic principle²³.

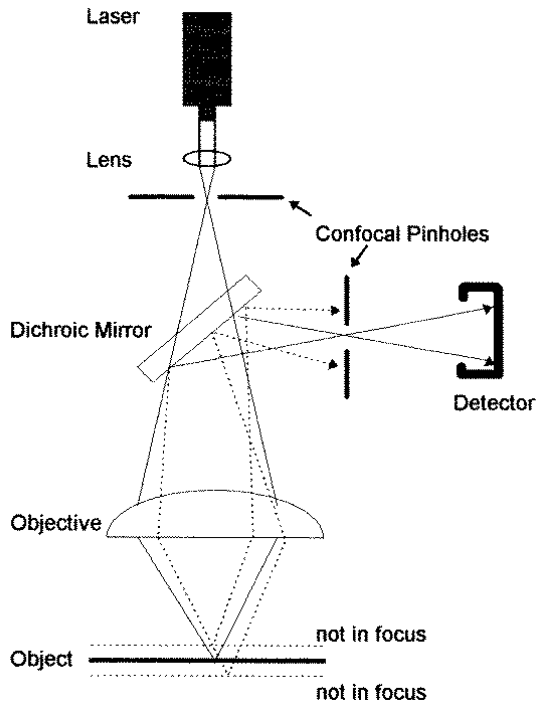


Figure 35: Principle of Laser Confocal Microscopy

Visible or ultra violet laser emission is focused on the first confocal pinhole and then onto the specimen as a diffraction-limited light spot, see fig. 35. The primary incident light is then reflected from particular voxel elements or emitted from fluorescent molecules excited within it. Emissions from the object return along the primary laser light pathway and depart from it by lateral reflection from (or passage through, depending on the instrument) a dichroic mirror onto the second confocal pinhole. This aperture is confocal with the in-focus voxel elements in the specimen. The virtual elimination by defocusing of all distal and proximal flanking emissions at this physical point assures that the light passing onto the de-

tor, a sensitive photodetector or camera, is specifically derived from in-focus object voxels with a resolution, e.g. in the Leica instrument, approaching 200 to 400 nm in the x/y and z directions, respectively. In order to image the entire object, the light spot is scanned by a second mirror in the x/y plane in successive z sections by means of a precision stage motor. Rapid scanning preserves fluorescent intensity but must be reconciled with image quality. The storage, retrieval and manipulation of light intensity information from the object makes static and dynamic 3-D imaging possible.

Although not perfect, the new method shows several significant benefits as compared to the traditional procedures. The most important of them are: true tomographic method, significant freedom in choosing slice thickness and size, trivial registration of slices, very fast and easy in operation, capable of acquiring in-vivo cells as well as static or dynamic structures, non-destructive. Lastly, by using different types of laser and fluorophore materials, different spatially overlapping structures can be visualized and superimposed within the same probe.

The data acquired with Laser Confocal Microscopy (LCM) show several characteristics requiring specialized treatment in order to make the method applicable:

1. Large data size. Typical datasets have a resolution of $512^2 \times 64$ pixels. These pixels are colored, thus a typical RGB dataset requires some 50 Mbytes of memory. Obviously, datasets of this size require efficient processing methods.
2. Low contrast, low intensity gradients, bad signal to noise ratio. These characteristics make a straight-forward segmentation between the structures of interest and the background (e.g. by using thresholding, region growing, homogeneity, color differences etc.) impossible. All the methods listed above apply more or less binary decision criteria if a pixel/voxel belongs to the structure or not. Such criteria typically fail when used with signals showing the characteristics listed above.
3. Unequal resolutions in the plane and the depth directions. Thus, a visualization method has to be able to perform with "blocks" or unequal size lengths instead with cubic voxels. Re-sampling of the raw data to a regular cubic field will further reduce the signal quality, introduce interpolation artifacts and generate an even larger dataset, probably too large to be handled with conventional computers.
4. Regarding the quality, artifacts have to be avoided as far as possible. Introducing artifacts in an unknown structure will often have fatal effects on their interpretation, since the human observer does not always have the experience for judging the correctness or the fidelity of the presented structures. As an example, an obvious artifact caused by bad parameter settings of the software during the visualization of human anatomy (e.g. of a head) is immediately detected by the observer, since the human anatomy is well

known and such artifacts are trivially detected. This is not the case when inspecting an unknown dataset.

5. Choosing the "correct" illumination model (e.g. MIP, semi-transparent, surface etc.) has a significant impact on the clarity and information content of the visualization. Again, due to the lack of experience such a decision is typically much more difficult than in the case of anatomic tomographic data.
6. The speed of visualization becomes the most crucial issue. The visualization parameters have to be adjusted in an interactive, trial-and-error procedure. This can take a very long time if, e.g., after an adjustment the user has to wait for several minutes to see the new result. Furthermore, inspection of new, unknown structures require rapid changing of directions, illumination conditions, visualization models, etc.. Looping and stereo images are of enormous importance for understanding unknown, complicated spatial structures.

The main requirement here is to employ a fast volumetric method allowing interactive and intuitive parameter settings during the visualization session. Detailed results of the employed volume visualization are reported in ⁹⁶. Figures 37 and 36 present a microscopic preparation of the tubular structure of a cat retina. The dataset consist of $335 \times 306 \times 67$ voxels, each with a dimension of $0.16^2 \times 0.2 \mu m$. The first image presents the extra-cellular component of the blood vessel. The vessel diameter before the branch point is $19 \mu m$. The second image shows the wire-like structure of the astrocyte cytoskeleton. Both datasets originate from the same probe. In all subsequent images the difference of the visualization between slicing, MIP, surface and semi-transparent methods is shown.

The next dataset 38 shows the complicated structure of nerve cells networks. The resolution of the dataset is with 25 Mbytes ($512^2 \times 100$ voxels) large. As one can see on image 38 upper left, single slices are not able to provide full understanding of the complicated topology. The three other images show in much better detail the internal structure of the cell network.

Laser confocal microscopy plays a fundamental role for gathering in-vivo data about not only static, but also dynamic structures, i.e. structures existing typically only within living cells and for a very short period of time (e.g. for a few seconds). Such structures are common in several biological applications. In the case referred here we present temporary structures formed by polymerized actin, a structure necessary for cell movements.

Figures 39 and 40 demonstrate the importance of LCM data visualization for detecting unknown structures. In this case, we studied actin filaments in *Dictyostelium* amoebae with time periods ranging from 10 to 100 seconds. The data resolution is $512 \times 484 \times 43$ voxels = 10 Mbytes. Note the structure of the surface visible in the "surface volume render-

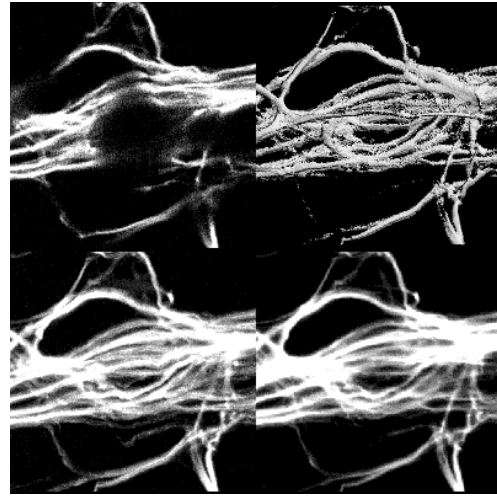


Figure 36: *The wire-like structure of the astrocyte cytoskeleton of the same probe. Resolution of $335 \times 306 \times 67$ voxels with a size of $0.16^2 \times 0.2 \mu m$. Upper left a single slice, right surface reconstruction; lower left MIP, right transmission illumination models*

ing" image. These structures are hardly visible and therefore difficult to detect when regarding individual slices.

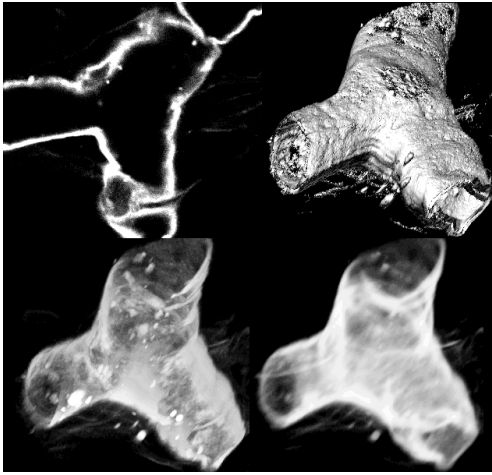


Figure 37: The extra-cellular component of a retina blood vessel of a cat. Resolution of $335 \times 306 \times 67$ voxels with a size of $0.16^2 \times 0.2 \mu\text{m}$. Upper left a single slice, right surface reconstruction; lower left MIP, right transmission illumination models

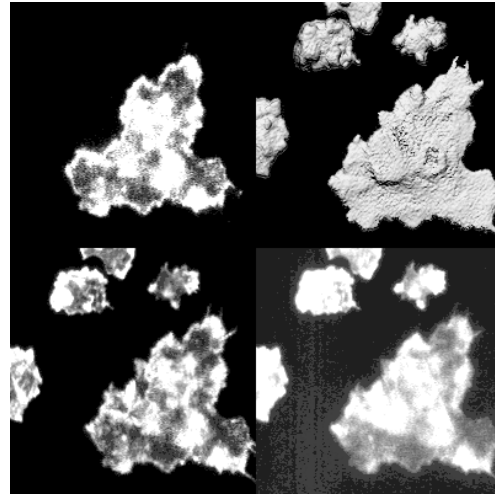


Figure 39: F-actin structures in Dictyostelium amoebae, Resolution $512 \times 484 \times 43$ voxels = 10 Mbytes. Upper left a single slice, right surface reconstruction; lower left MIP, right transmission illumination models

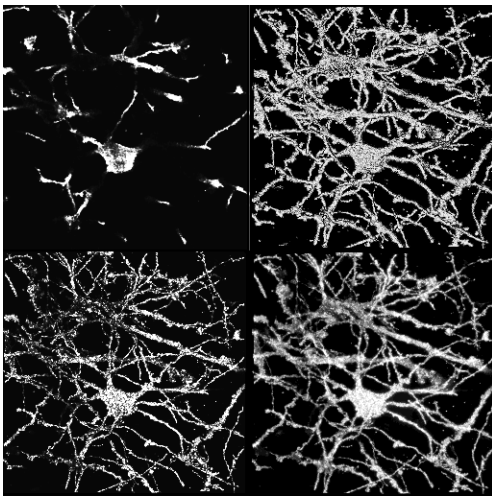


Figure 38: The complicated topology of nerve cells networks. Resolution $512^2 \times 100$ voxels = 25 MBytes. Upper left a single slice, right surface reconstruction; lower left MIP, right transmission illumination models

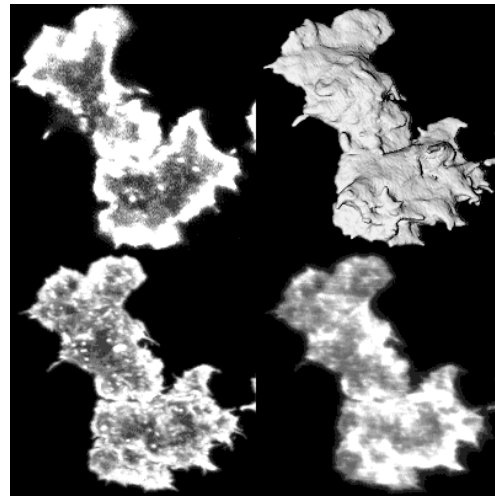


Figure 40: F-actin structures. Resolution $512 \times 484 \times 70$ voxels = 16.5 Mbytes. Upper left a single slice, right surface reconstruction; lower left MIP, right transmission illumination models

7. Virtual Simulation of Radiotherapy Treatment

Planning

Radiation therapy (RT) is one of the most important techniques in cancer treatment. RT involves several steps which mainly take place into three equipment units: the Computed Tomography (CT) unit, the Simulator unit and the treatment unit.

Before the patient goes to the treatment unit and the actual RT takes place, the treatment plan of the RT must be prepared (RTP). The RT plan is performed on the Simulator. The Simulator machine can perform exactly the same movements and achieve the same position for the patient's RT as the treatment machine does, but uses conventional, diagnostic, X-rays instead of high energy treatment rays.

The conventional simulation of the RT process has several limitations, mainly due to physical movement of the components of the Simulator (e.g. gantry and table) and due to the long period of time the patient must remain in the Simulator unit.

7.1. Current Clinical Routine of Radiation Therapy

The general procedure of a radiation therapy (RT) treatment as by today is (see Figure 41)

1) Move patient on the Simulator. Physicians locate the region of interest (ROI, such as tumor) using the traditional X-ray fluoroscopy (diagnostic imaging).

2) Move patient on the CT scanner. In both rooms, Simulator unit and CT scanner, an identical laser system is installed defining the so-called "world coordinates". Physicians place the patient on the CT table in such a way, that the CT laser coordinate system matches with the skin markers on the patient. This will assure to recover the patient position from step 1.

3) Physicians analyse ROI and define the target volume(s) and the critical organ(s) on each of CT slices. Then treatment parameters are selected.

4) Move patient to the Simulator again. Physicians simulate the treatment plan to verify its effectiveness using X-rays instead of treatment rays. The treatment field is documented on X-ray films and skin markers are placed on the patient's body. If the treatment plan is successfully verified, then the patient goes to the treatment unit otherwise step 3 must be resumed.

5) Move patient to the treatment unit. Physicians carry out the actual RT treatment according to the RTP derived during the above steps.

7.2. Proposed "Virtual Radiation"

Therapy Routine The general procedure of the virtual radiation treatment planning is (see Figure 42) :

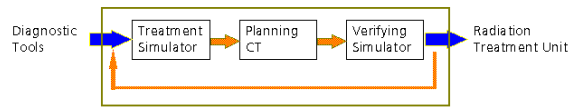


Figure 41: Clinical radiation therapy routine

1) Move patient on the CT scanner. Physicians digitalize the patient using spiral CT.

2) Transfer patient's CT data to the virtual Simulator (VS). Physicians create the therapy plan on the virtual Simulator using an interactive 3D planning and visualization interface. The system VS supports the aspects: virtual Simulator interaction digital reconstructed radiograph (DRR) display target volumes delineation beam shape design and orientation determination in 3D space investigating ROI in observer view

3) Move patient to the irradiation machine, where physicians carry out the real treatment on the patient.

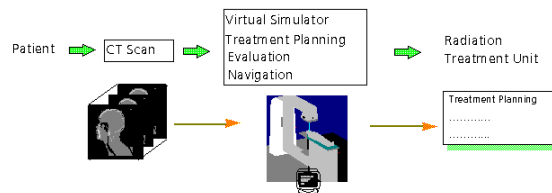


Figure 42: Virtual radiation therapy

One significant feature of RTP systems (compared with other graphics applications) is that they support two rendering views: "beam's eye view" (BEV) and "observer's eye view" (OEV). In BEV, the patient's image is reconstructed as if the observer eye is placed at the location of the radiation source looking out, along the axis of the radiation beam. The BEV window is used to detect the ROI and to define the target volume (tumor). However BEV alone is insufficient for defining the ROI. Therefore the OEV is also used as a second indicator to investigate the interaction among treatment beam, target volume (tumor) and its surrounding tissue (see Figure 43) .

7.3. Digital Reconstruction Radiograph

In a virtual Simulator, DRR (or X-rays) images, with which physicians are familiar to, are required. In EXOMIO two kinds of volume illumination methods are supported: DRR images and maximum intensity projection (MIP). A MIP projection is physically impossible on a real Simulator. In contrast to X-ray, the MIP projection makes the distinction between soft and hard tissues (e.g. bones) easier for the physicians. (see Figure 44)

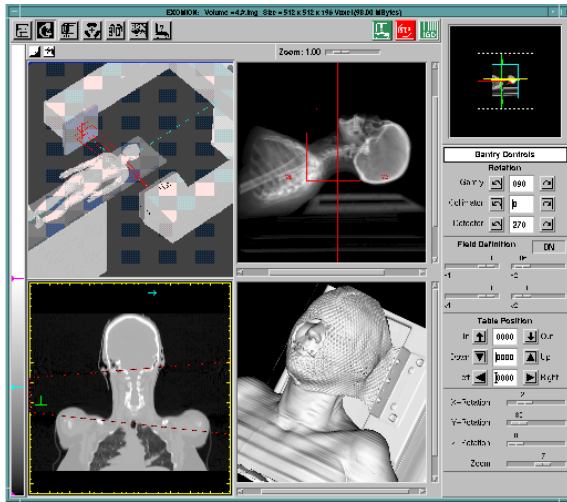


Figure 43: *EXOMIO user interface*

7.4. Registration

The patient's coordinates in different rooms, CT room and irradiation operation room, must be identical. The patient's position is labeled with several marks on his (or her) skin, at those points where the laser beams are projected on their skin. This marks define the reference points of radiation iso-center. In EXOMIO, these marks can be seen on the transaxial slices and on the surface reconstructed model of the patient. In Figure 45, marks are displayed in both OEV and slices, allowing to identically position the patient in the CT unit, the treatment unit and the virtual Simulator. The RTP parameters (gantry rotation, table position, beam size, etc.) are defined based on these initial positions.

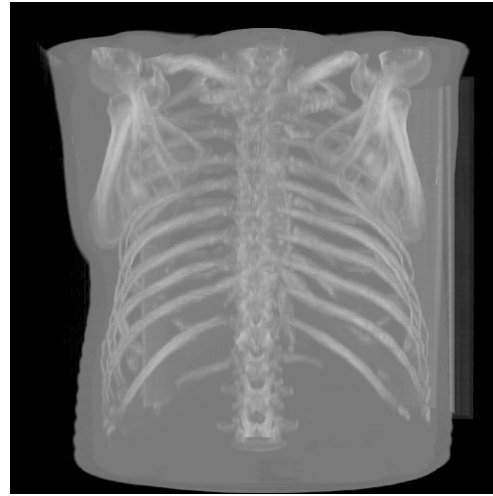


Figure 44: *DRR image (a) MIP image (b) X-ray image*

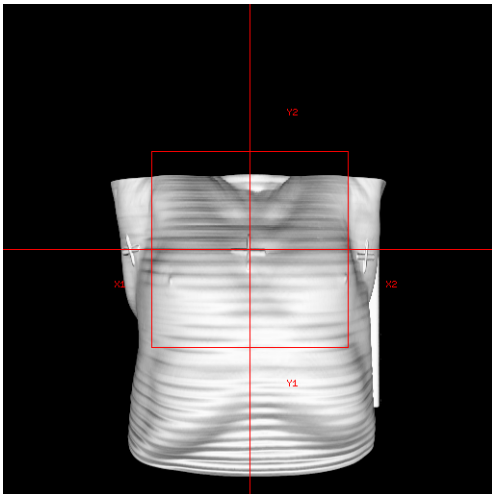
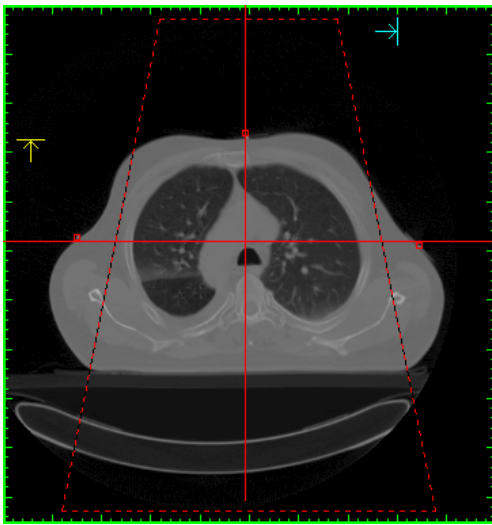
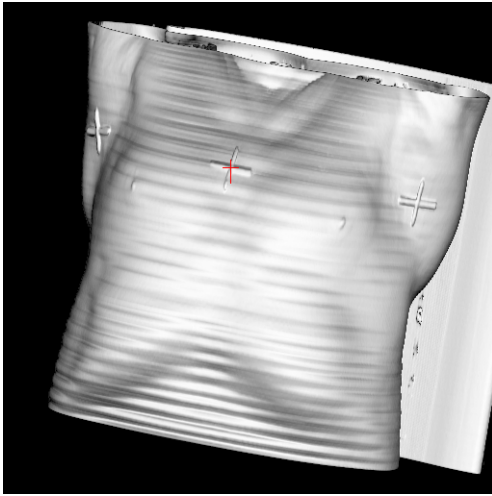


Figure 45: Marks and patient registration, (a) Skin marks (OEV) (b) Iso-center in slice (c) BEV after register

8. Conclusions

Medical volume visualization has come a long way from the first experiments to the current, highly detailed renderings. As the rendering algorithms are improved and the fidelity of the resulting images is investigated, 3D images are not just pretty pictures, but a powerful source of information for research, education, and patient care. In certain areas such as craniofacial surgery or traumatology, volume visualization is increasingly becoming part of the standard preoperative procedures. New applications such as 3D cardiology, 3D Ultrasound and laser confocal microscopy are becoming more and more popular. Further rapid development of volume visualization methods is widely expected⁵⁴.

A number of problems still hinder an even broader use of volume visualization in medicine. First, and most importantly, the segmentation problem is still unsolved. It is no coincidence that volume visualization is most accepted in all areas where clinicians are interested in bone from CT. Especially for MRI, however, automatic segmentation methods are still far from being generally applicable, while interactive procedures are much too time-consuming. As has been shown, there is research in different directions going on; in many cases, methods have already proven valuable for specific applications.

The second major problem is the design of a user interface which is suitable in a clinical environment. Currently, there is still a large number of rather technical parameters for controlling segmentation, matching, shading, and so on. Acceptance in the medical community will certainly depend heavily on progress in this field.

Third, current workstations are not yet able to deliver 3D images fast enough. For the future, it is certainly desirable to interact with the workstation in real time, instead of just looking at static images or pre-calculated movies. However, with computing power further increasing, this problem will be overcome in just a few years even on low-cost platforms.

As has been shown, a number of applications based on volume visualization are becoming operational, such as surgical simulation systems and three-dimensional atlases. Another intriguing idea is to combine volume visualization with *virtual reality* systems, which enable the clinician to walk around or fly through a virtual patient, see fig. 46⁹³,⁵⁸. In *augmented reality*, images from real and virtual world are merged to guide the surgeon during an intervention⁶.

Integration of volume visualization with virtual reality and robotics towards *computer-integrated surgery* will certainly be a major topic in the coming decade^{108, 119, 93}.

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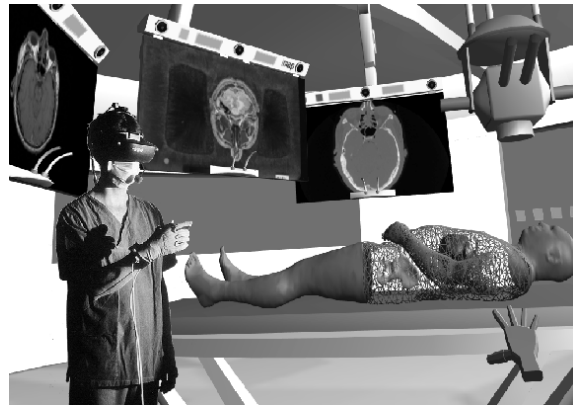


Figure 46: *OP 2000: The Operation Theatre of the Future*



Figure 47: *Virtual Arthroscopy: using VR and force feedback for training surgical procedures*

scribed above, and Peter Plath and Mike Vicker from the University of Bremen for the laser confocal microscopy data. Further, data have been provided by Kretztechnik, Visible Human Project, Deutsche Klinik fuer Diagnostik Wiesbaden, H.T.M. van der Voort, Department of Molecular Biology, University of Amsterdam, Herbert Stüttler and Martin Hoppe, Leica Lasertechnik GmbH, State Hospital of Darmstadt, Rolf Ziegler (fig. 47).

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cooperation with Wolf-Joachim Hölzje, Dept. of Craniofacial Surgery (fig. 9), Werner Lierse, late head of the Dept. of Anatomy (figs. 7, 12), Ernst Richter, Dept. of Pediatrics (fig. 3), and Jürgen Wening, Allgemeines Krankenhaus Altona (fig. 10).

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