



**Eurographics 2012**

Cagliari, Italy

May 13-18



33<sup>rd</sup> ANNUAL CONFERENCE OF THE EUROPEAN ASSOCIATION FOR COMPUTER GRAPHICS

# Dynamic Geometry Processing

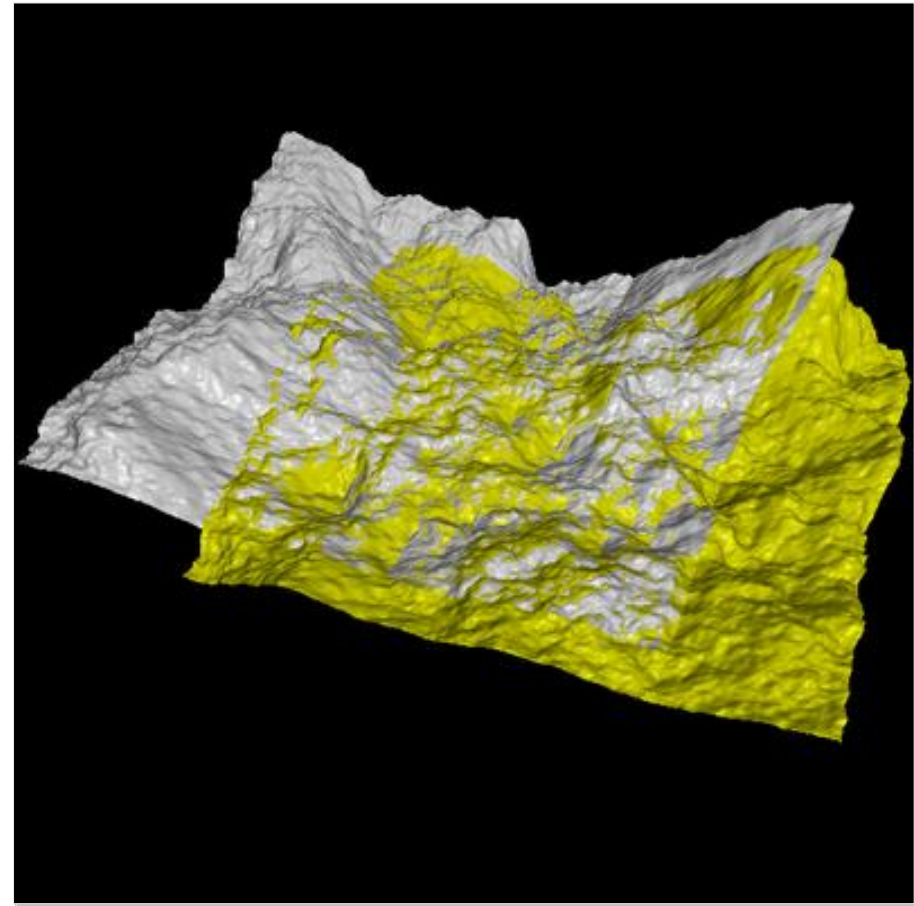
## EG 2012 Tutorial

### Local, Rigid, Pairwise

The ICP algorithm and its extensions

# Pairwise Rigid Registration Goal

**Align two partially-overlapping meshes given initial guess for relative transform**



# Outline

## **ICP: Iterative Closest Points**

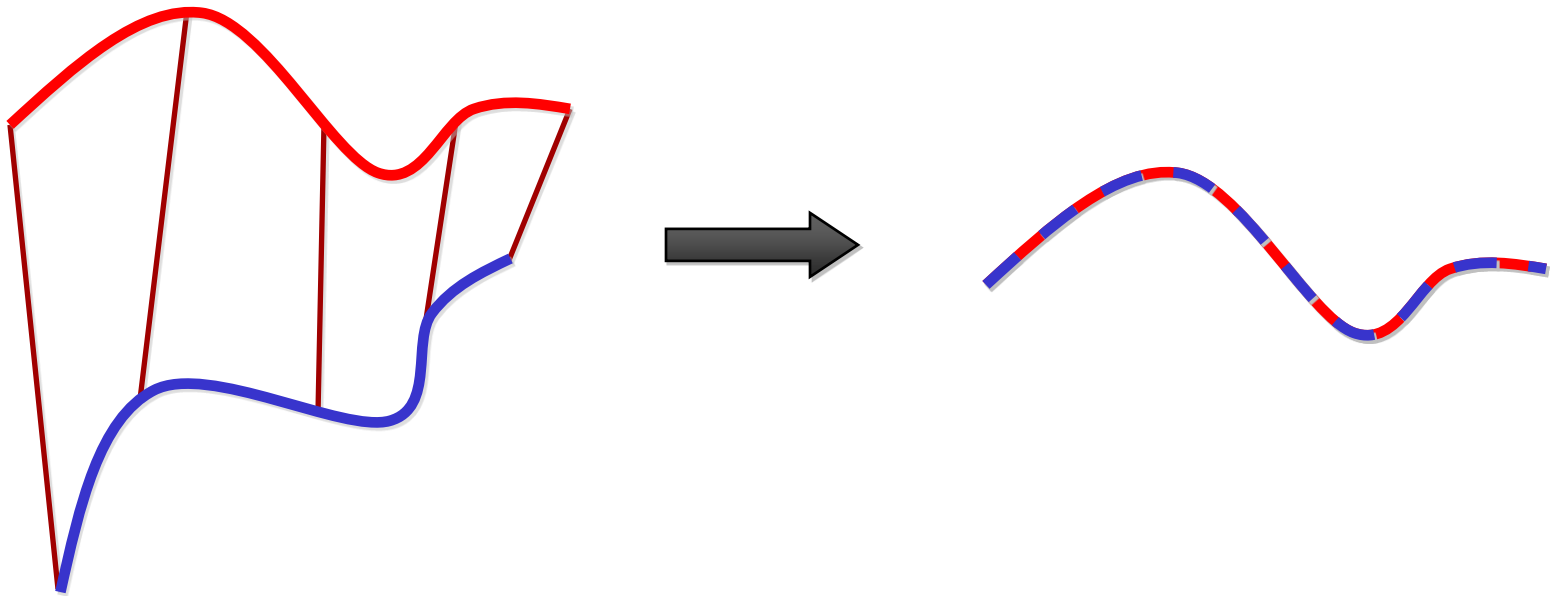
### **Classification of ICP variants**

- Faster alignment
- Better robustness

### **ICP as function minimization**

# Aligning 3D Data

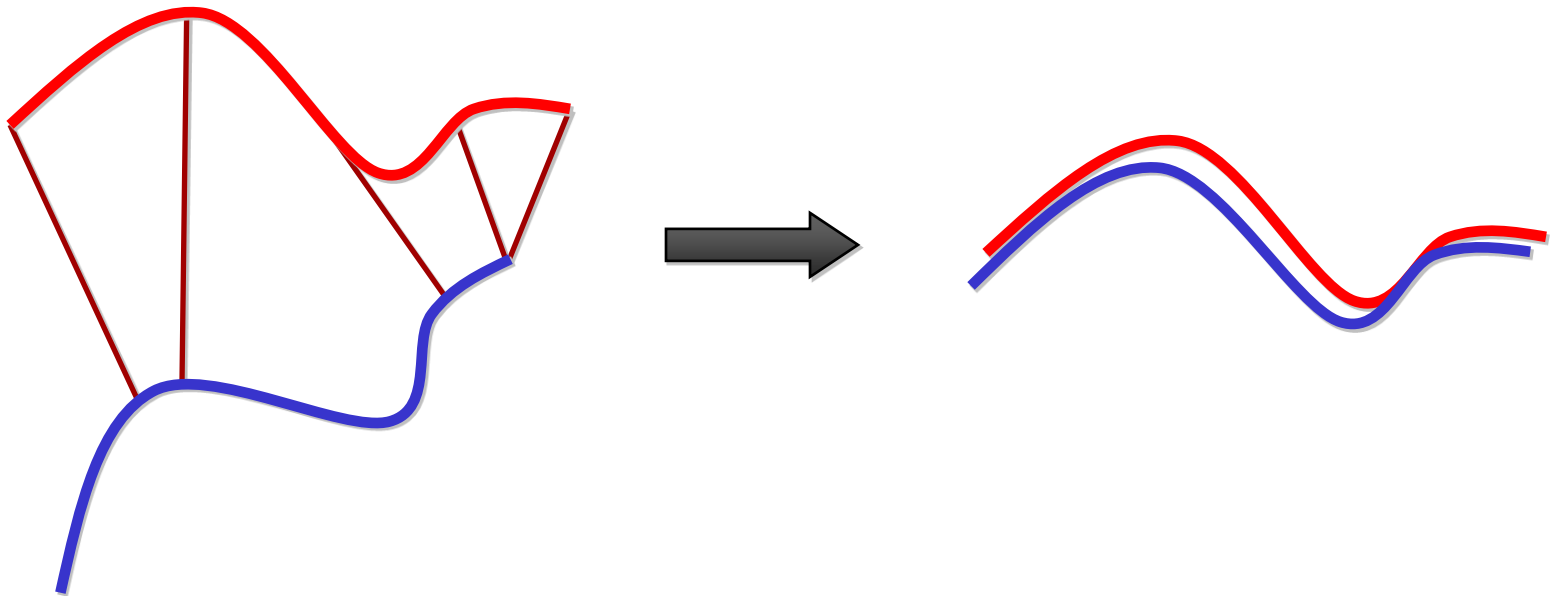
If correct correspondences are known, can find correct relative rotation/translation



# Aligning 3D Data

How to find correspondences: User input?  
Feature detection? Signatures?

Alternative: assume **closest** points correspond

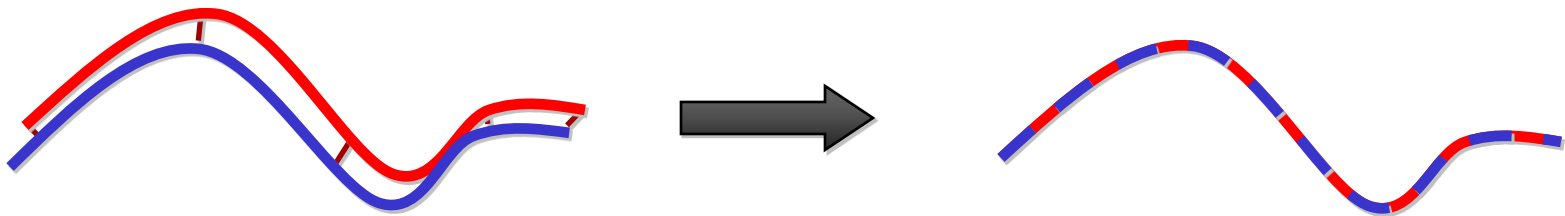


# Aligning 3D Data

... and iterate to find alignment

- Iterative Closest Points (ICP) [Besl & McKay 92]

**Converges if starting position “close enough”**



# Basic ICP

**Select** e.g. 1000 random points

**Match** each to closest point on other scan,  
using data structure such as *k*-d tree

**Reject** pairs with distance  $> k$  times median


**Construct error function:**

$$E = \sum |Rp_i + t - q_i|^2$$

**Minimize** (closed form solution in [Horn 87])

# ICP Variants

Variants on the following stages of ICP have been proposed:

- 
1. **Selecting** source points (from one or both meshes)
  2. **Matching** to points in the other mesh
  3. **Weighting** the correspondences
  4. **Rejecting** certain (outlier) point pairs
  5. Assigning an **error metric** to the current transform
  6. **Minimizing** the error metric w.r.t. transformation



# Performance of Variants

## Can analyze various aspects of performance:

- Speed
- Stability
- Tolerance of noise and/or outliers
- Maximum initial misalignment

## Comparisons of many variants in

[Rusinkiewicz & Levoy, 3DIM 2001]

# ICP Variants

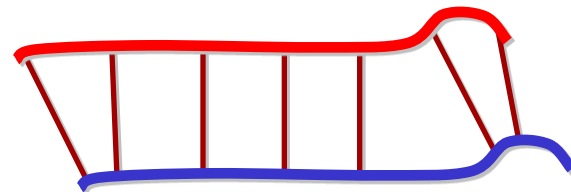
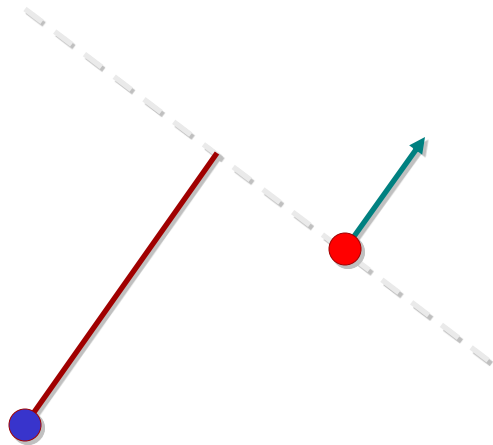
1. Selecting source points (from one or both meshes)
2. Matching to points in the other mesh
3. Weighting the correspondences
4. Rejecting certain (outlier) point pairs
5. Assigning an **error metric** to the current transform
6. Minimizing the error metric w.r.t. transformation



# Point-to-Plane Error Metric

Using point-to-plane distance instead of point-to-point lets flat regions slide along each other

[Chen & Medioni 91]



# Point-to-Plane Error Metric

**Error function:**

$$E = \sum ((Rp_i + t - q_i) \cdot n_i)^2$$

where  $R$  is a rotation matrix,  $t$  is translation vector

**Linearize (i.e. assume that  $\sin \theta \approx \theta$ ,  $\cos \theta \approx 1$ ):**

$$E \approx \sum ((p_i - q_i) \cdot n_i + r \cdot (p_i \times n_i) + t \cdot n_i)^2, \quad \text{where } r = \begin{pmatrix} r_x \\ r_y \\ r_z \end{pmatrix}$$

**Result: overconstrained linear system**

# Point-to-Plane Error Metric

## Overconstrained linear system

$$\mathbf{A}x = b,$$

$$\mathbf{A} = \begin{pmatrix} \leftarrow & p_1 \times n_1 & \rightarrow & \leftarrow & n_1 & \rightarrow \\ \leftarrow & p_2 \times n_2 & \rightarrow & \leftarrow & n_2 & \rightarrow \\ & \vdots & & & \vdots & \end{pmatrix}, \quad x = \begin{pmatrix} r_x \\ r_y \\ r_z \\ t_x \\ t_y \\ t_z \end{pmatrix}, \quad b = \begin{pmatrix} -(p_1 - q_1) \cdot n_1 \\ -(p_2 - q_2) \cdot n_2 \\ \vdots \end{pmatrix}$$

## Solve using least squares

$$\mathbf{A}^T \mathbf{A} x = \mathbf{A}^T b$$

$$x = (\mathbf{A}^T \mathbf{A})^{-1} \mathbf{A}^T b$$

# Improving ICP Stability

**Closest *compatible* point**

**Stable sampling**

# ICP Variants



1. Selecting source points (from one or both meshes)
2. **Matching** to points in the other mesh
3. Weighting the correspondences
4. Rejecting certain (outlier) point pairs
5. Assigning an error metric to the current transform
6. Minimizing the error metric w.r.t. transformation

# Closest Compatible Point

**Closest point often a bad approximation to corresponding point**

**Can improve matching effectiveness by restricting match to **compatible** points**

- Compatibility of colors [Godin et al. 94]
- Compatibility of normals [Pulli 99]
- Other possibilities: curvatures, higher-order derivatives, and other local features



# ICP Variants



1. **Selecting** source points (from one or both meshes)
2. Matching to points in the other mesh
3. Weighting the correspondences
4. Rejecting certain (outlier) point pairs
5. Assigning an error metric to the current transform
6. Minimizing the error metric w.r.t. transformation

# Selecting Source Points

**Use all points**

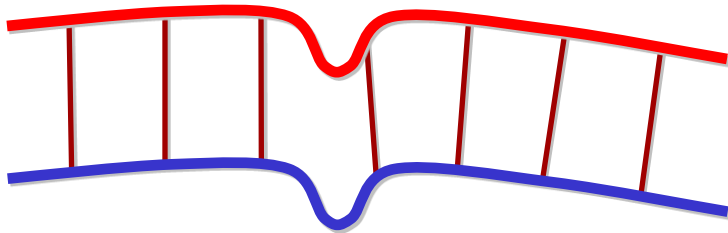
**Uniform subsampling**

**Random sampling**

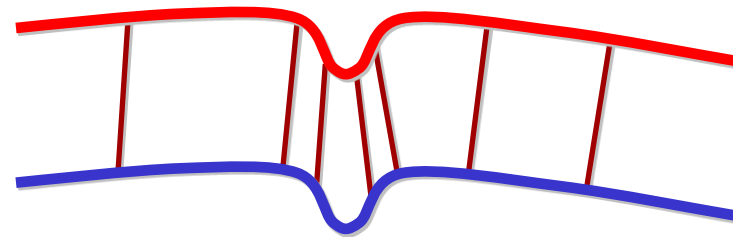
**Stable sampling** [Gelfand et al. 2003]

- Select samples that constrain all degrees of freedom of the rigid-body transformation

# Stable Sampling



Uniform Sampling



Stable Sampling

# Covariance Matrix

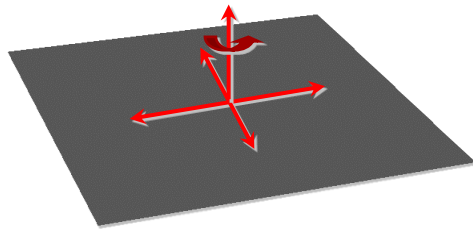
Aligning transform is given by  $A^T A x = A^T b$ , where

$$\mathbf{A} = \begin{pmatrix} \leftarrow & p_1 \times n_1 & \rightarrow & \leftarrow & n_1 & \rightarrow \\ \leftarrow & p_2 \times n_2 & \rightarrow & \leftarrow & n_2 & \rightarrow \\ & \vdots & & & \vdots & \end{pmatrix}, \quad \mathbf{x} = \begin{pmatrix} r_x \\ r_y \\ r_z \\ t_x \\ t_y \\ t_z \end{pmatrix}, \quad \mathbf{b} = \begin{pmatrix} -(p_1 - q_1) \cdot n_1 \\ -(p_2 - q_2) \cdot n_2 \\ \vdots \end{pmatrix}$$

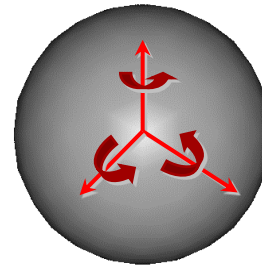
**Covariance matrix  $C = A^T A$  determines the change in error when surfaces are moved from optimal alignment**

# Sliding Directions

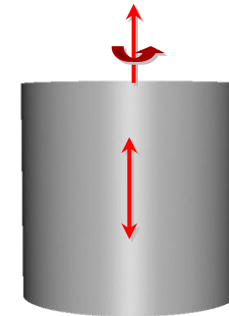
Eigenvectors of  $C$  with small eigenvalues correspond to sliding transformations



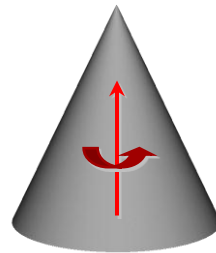
3 small eigenvalues  
2 translation  
1 rotation



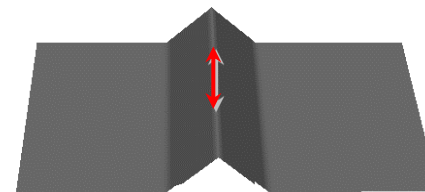
3 small eigenvalues  
3 rotation



2 small eigenvalues  
1 translation  
1 rotation

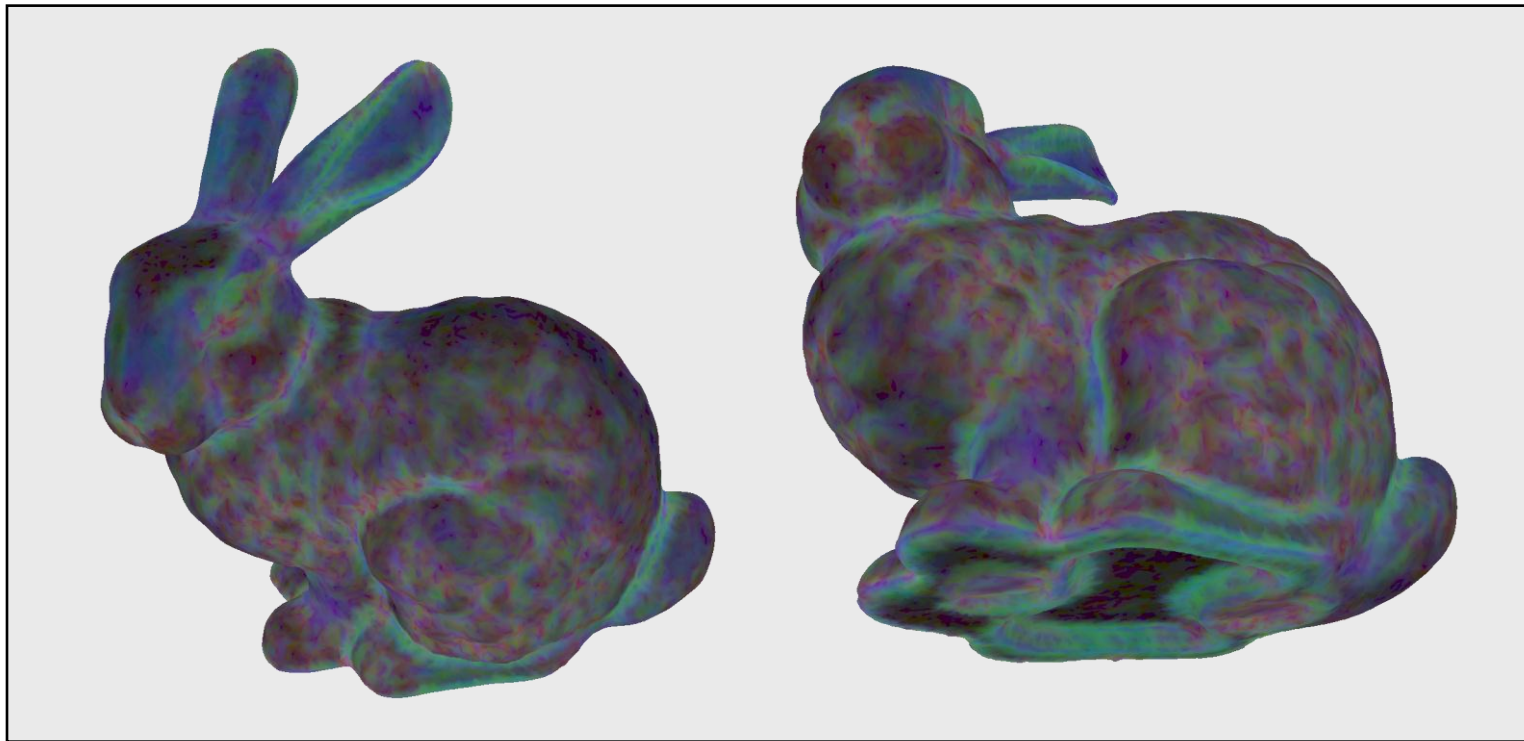


1 small eigenvalue  
1 rotation



1 small eigenvalue  
1 translation

# Stability Analysis



Key:



3 DOFs stable



5 DOFs stable



4 DOFs stable



6 DOFs stable

# Sample Selection

## Select points to prevent small eigenvalues

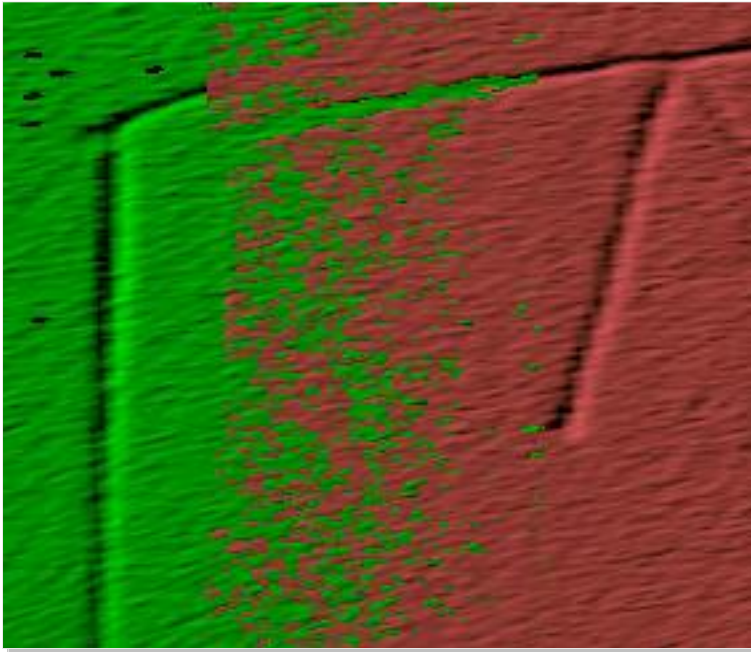
- Based on  $C$  obtained from sparse sampling

## Simpler variant: normal-space sampling

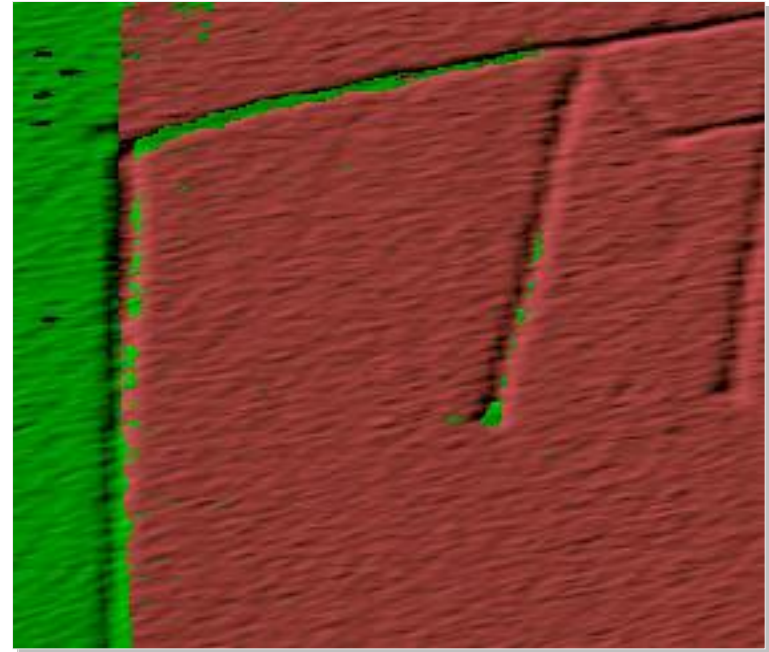
- Select points with uniform distribution of normals
- **Pro:** faster, does not require eigenanalysis
- **Con:** only constrains translation

# Result

**Stability-based or normal-space sampling important for smooth areas with small features**



Random sampling



Normal-space sampling



# Selection vs. Weighting

**Could achieve same effect with weighting**

**Hard to ensure enough samples in features except at high sampling rates**

**However, have to build special data structure**

**Preprocessing / run-time cost tradeoff**

# Improving ICP Speed

## Projection-based matching

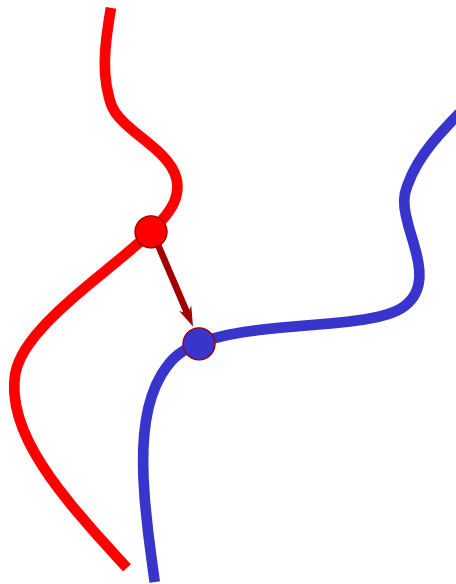


1. Selecting source points (from one or both meshes)
2. **Matching** to points in the other mesh
3. Weighting the correspondences
4. Rejecting certain (outlier) point pairs
5. Assigning an error metric to the current transform
6. Minimizing the error metric w.r.t. transformation

# Finding Corresponding Points

**Finding closest point is most expensive stage of the ICP algorithm**

- Brute force search –  $O(n)$
- Spatial data structure (e.g., k-d tree) –  $O(\log n)$

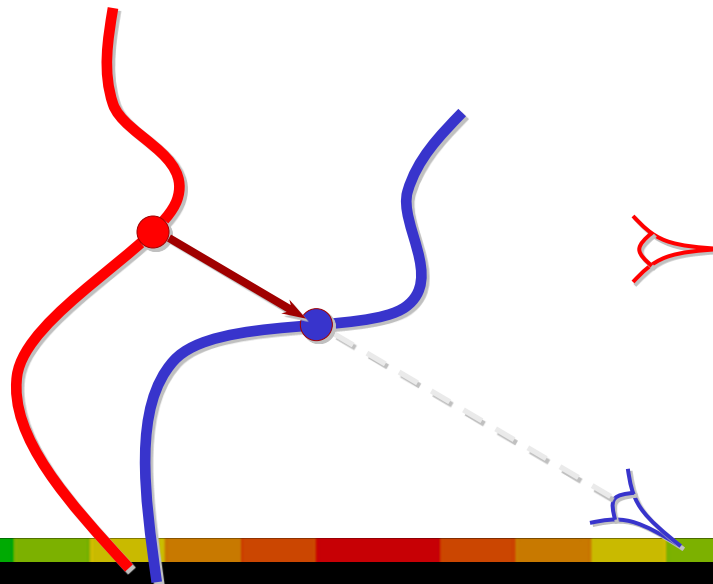


# Projection to Find Correspondences

**Idea: use a simpler algorithm to find correspondences**

**For range images, can simply project point [Blais 95]**

- Constant-time
- Does not require precomputing a spatial data structure

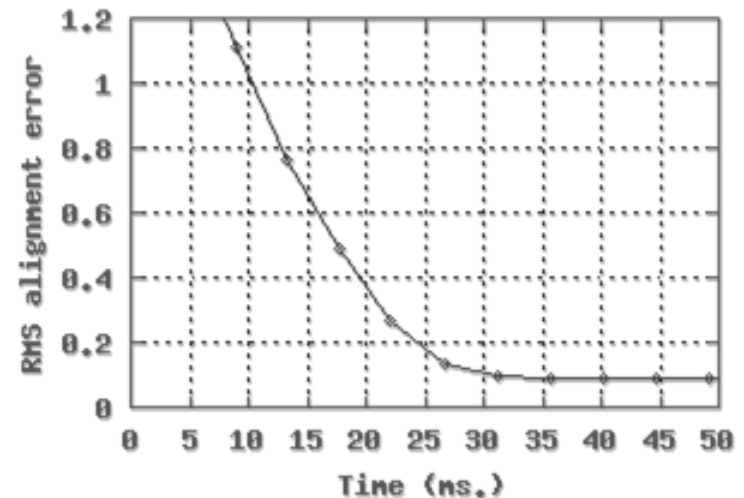


# Projection-Based Matching

**Slightly worse performance per iteration**

**Each iteration is one to two orders of magnitude faster than closest-point**

**Result: can align two range images in a few milliseconds, vs. a few seconds**



# Application

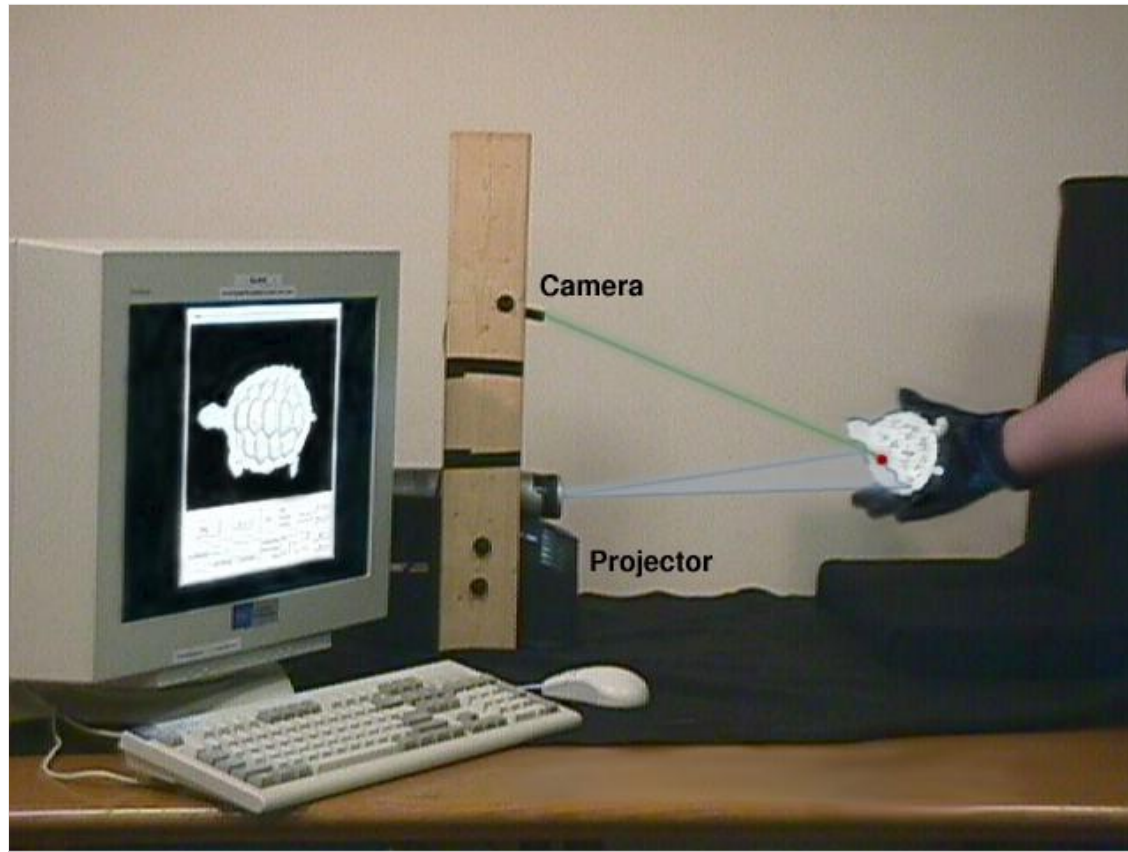
## Given:

- A scanner that returns range images in real time
- Fast ICP
- Real-time merging and rendering

## Result: 3D model acquisition

- Tight feedback loop with user
- Can see and fill holes while scanning

# Scanner Layout

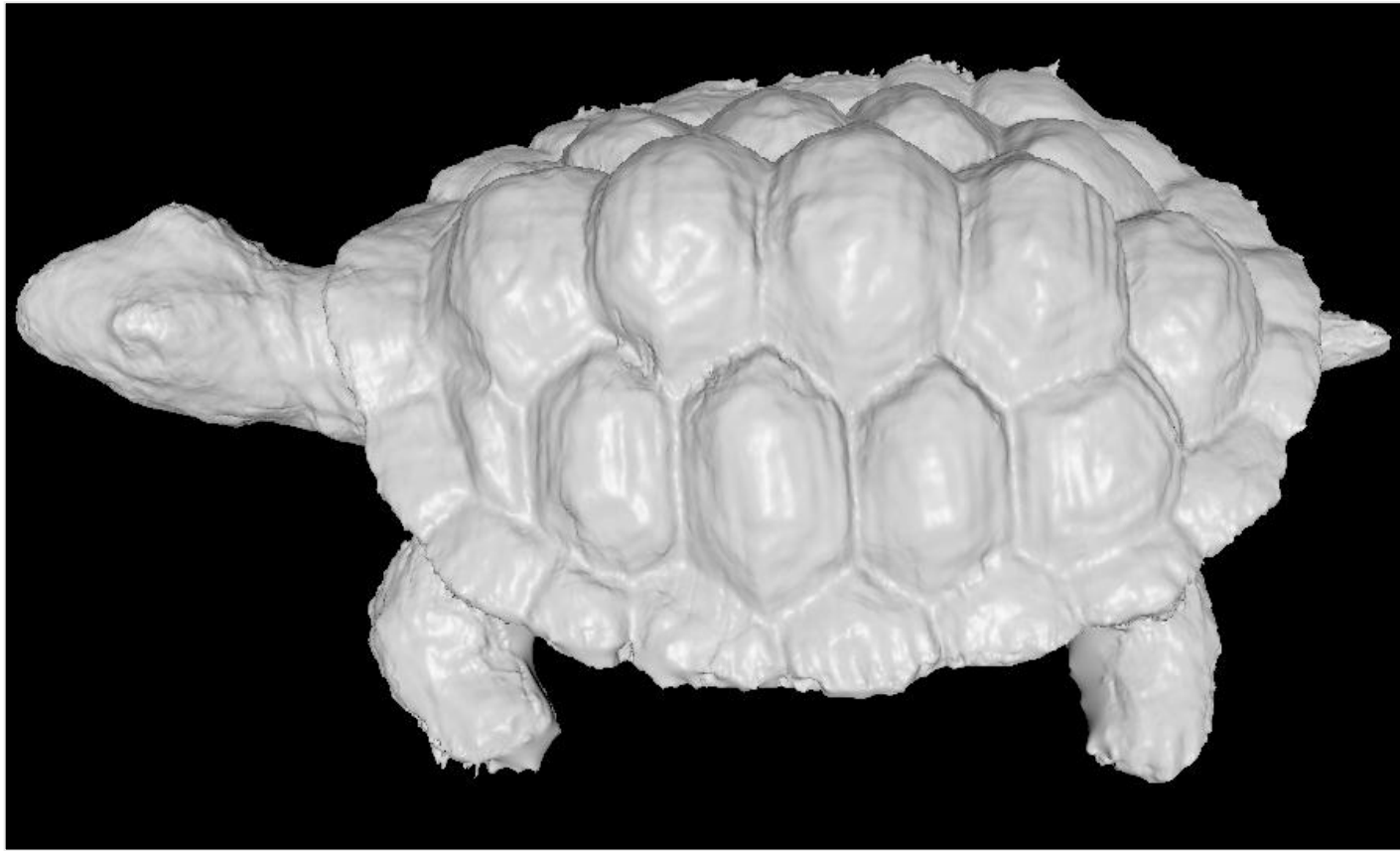


# Photograph





# Real-Time Result



# Theoretical Analysis of ICP Variants

**One way of studying performance is via empirical tests on various scenes**

**How to analyze performance analytically?**

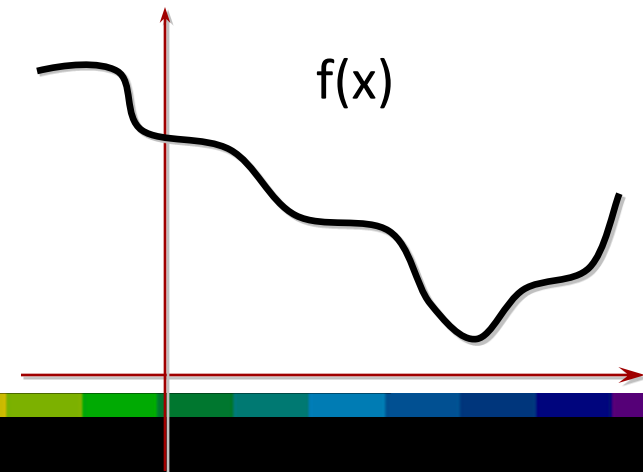
**For example, when does point-to-plane help? Under what conditions does projection-based matching work?**

# What Does ICP Do?

## Two ways of thinking about ICP:

- Solving the correspondence problem
- Minimizing point-to-surface squared distance

**ICP is like (Gauss-) Newton method on an approximation of the distance function**

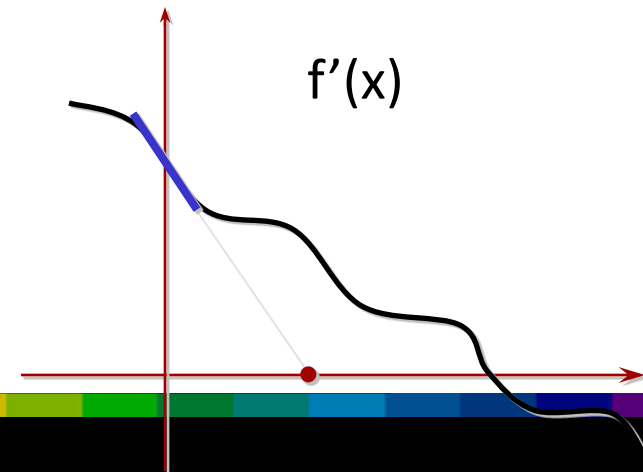


# What Does ICP Do?

## Two ways of thinking about ICP:

- Solving the correspondence problem
- Minimizing point-to-surface squared distance

**ICP is like Newton's method on an approximation of the distance function**



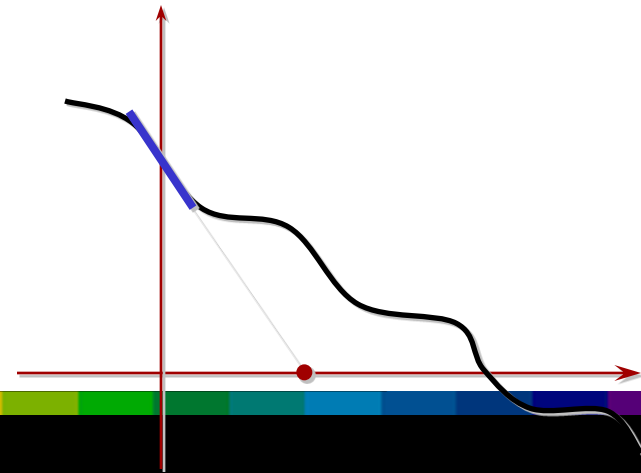
# What Does ICP Do?

## Two ways of thinking about ICP:

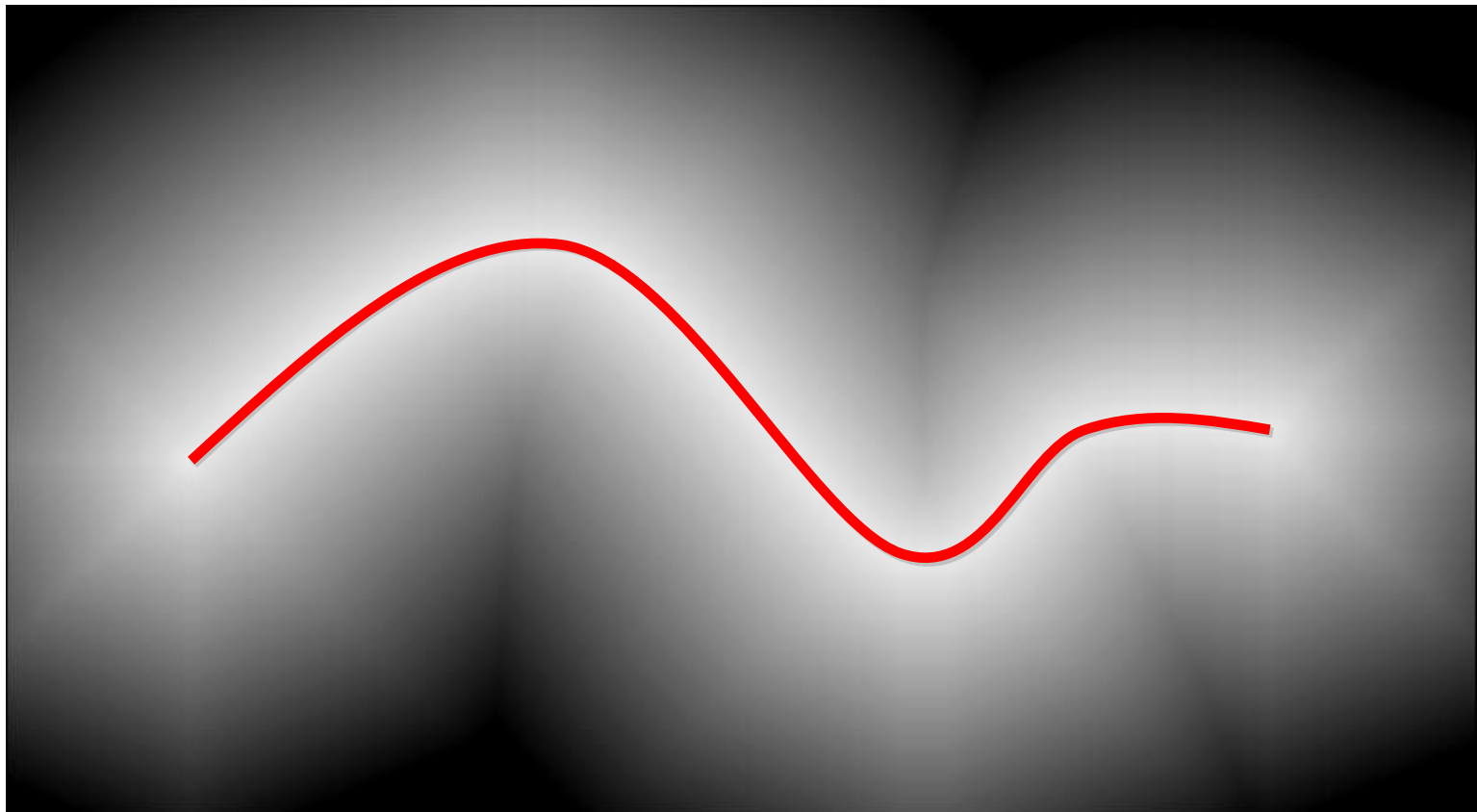
- Solving the correspondence problem
- Minimizing point-to-surface squared distance

## ICP is like Newton's method on an approximation of the distance function

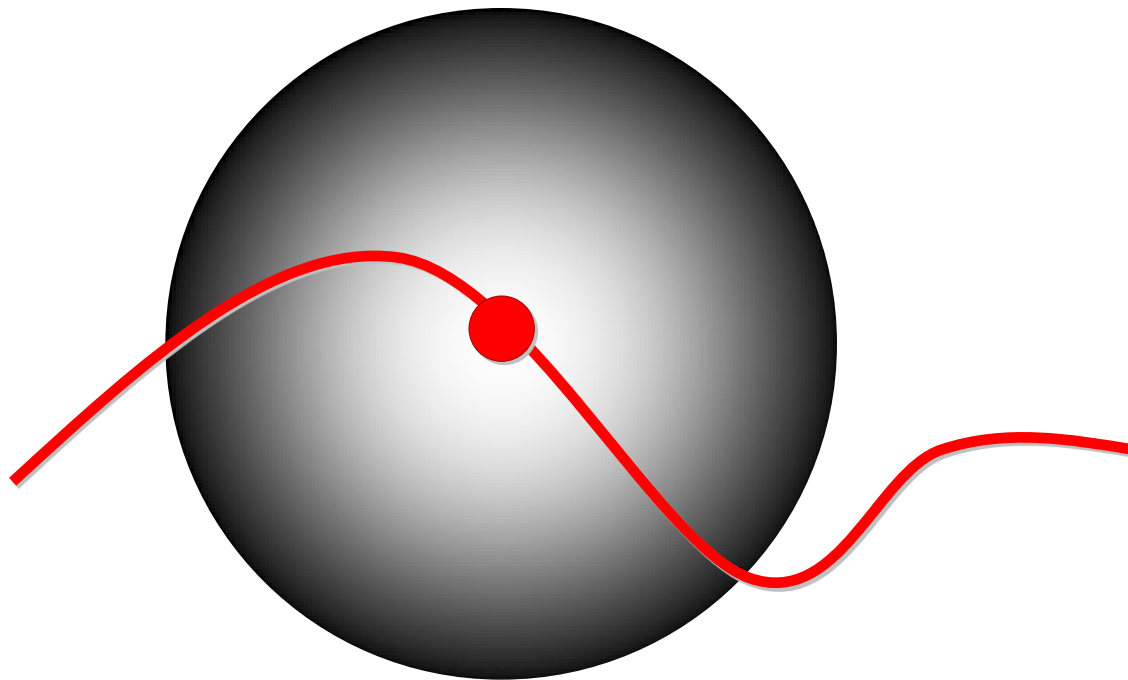
- ICP variants affect shape of global error function **or** local approximation



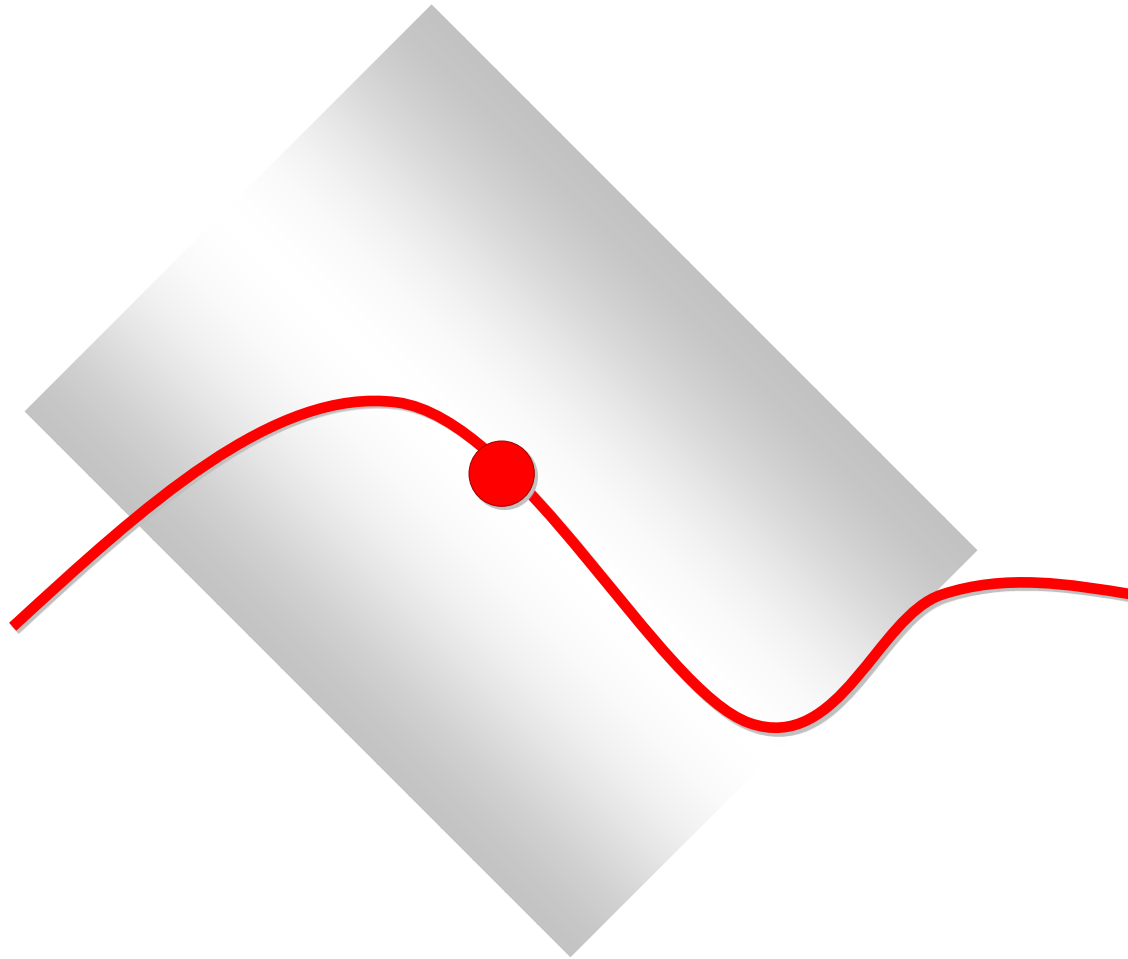
# Point-to-Surface Distance



# Point-to-Point Distance

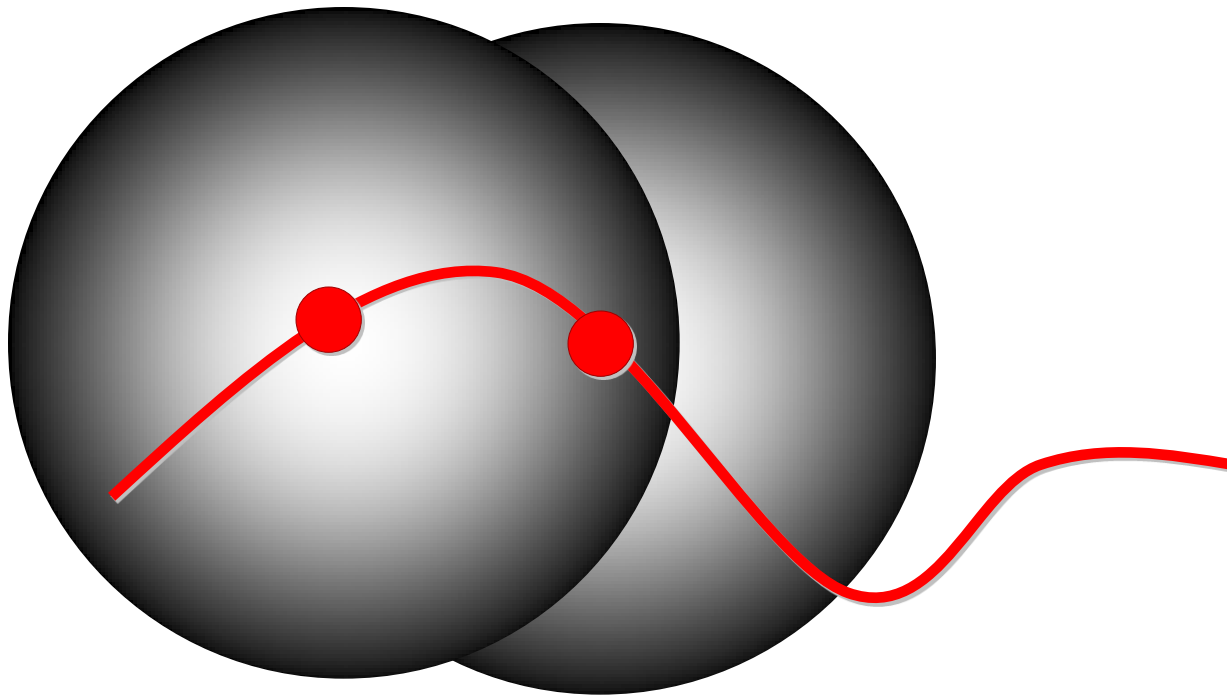


# Point-to-Plane Distance

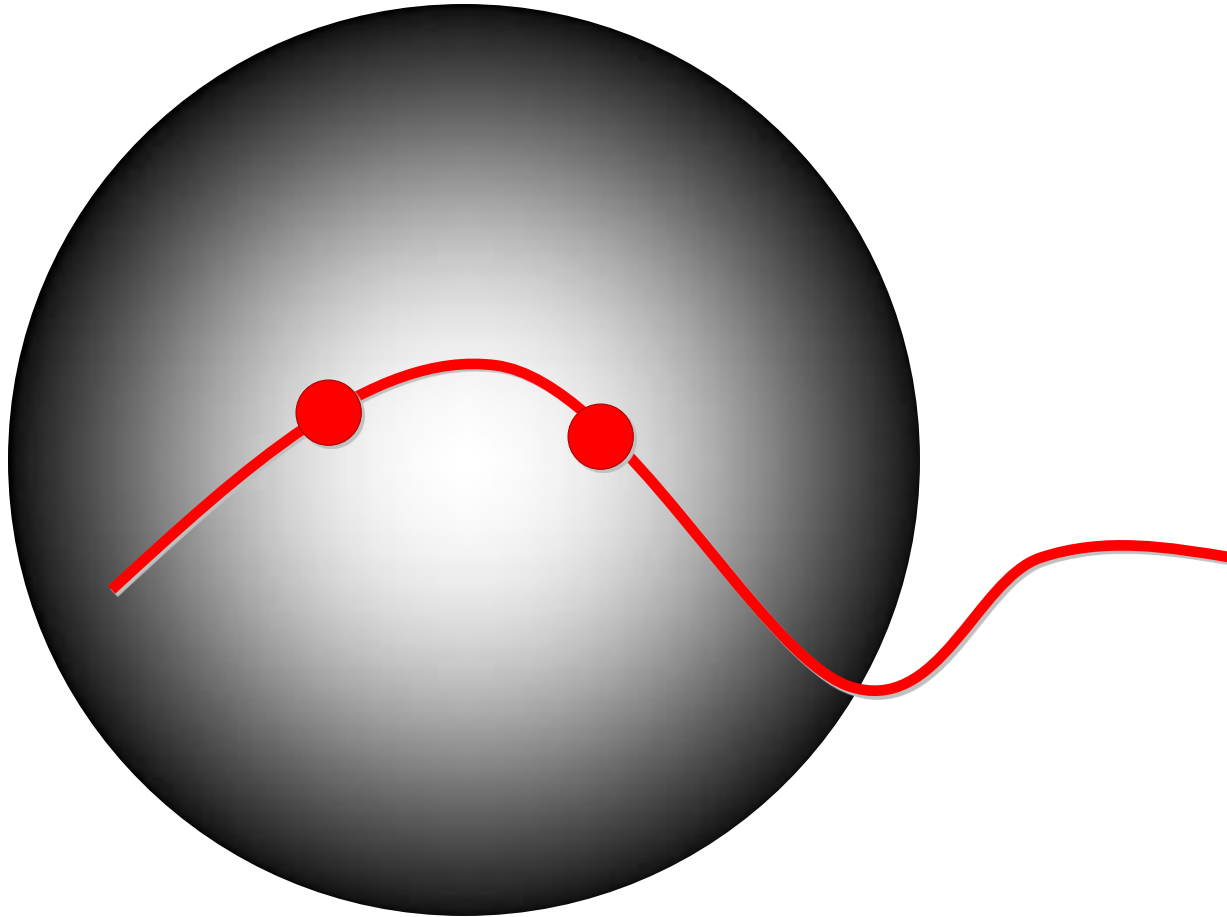




# Point-to-Multiple-Point Distance



# Point-to-Multiple-Point Distance



# Soft Matching and Distance Functions

**Soft matching equivalent to standard ICP on (some) filtered surface**

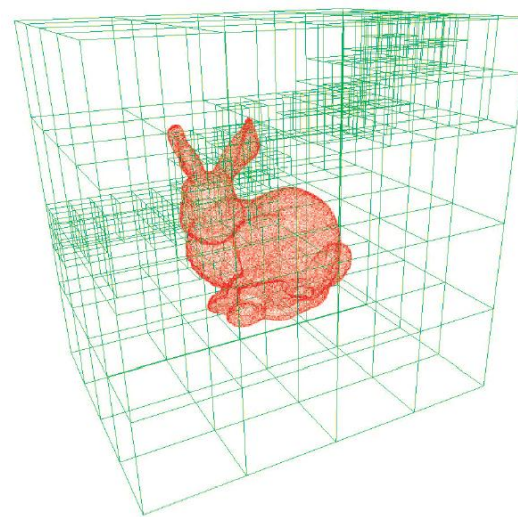
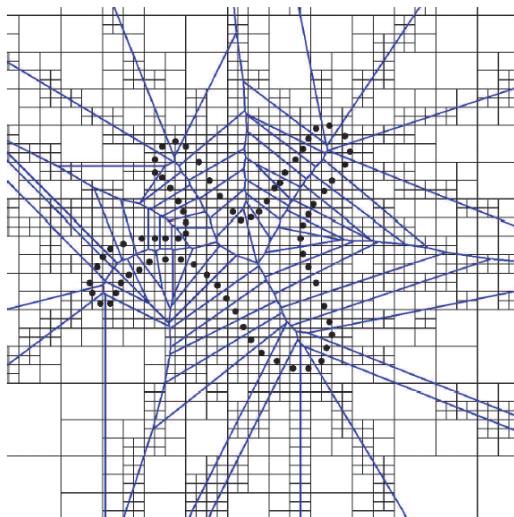
**Produces filtered version of distance function  
⇒ fewer local minima**

**Multiresolution minimization [Turk & Levoy 94]  
or softassign with simulated annealing  
(good description in [Chui 03])**

# Mitra et al.'s Optimization

**Precompute piecewise-quadratic approximation to distance field throughout space**

**Store in "d2tree" data structure**



# Mitra et al.'s Optimization

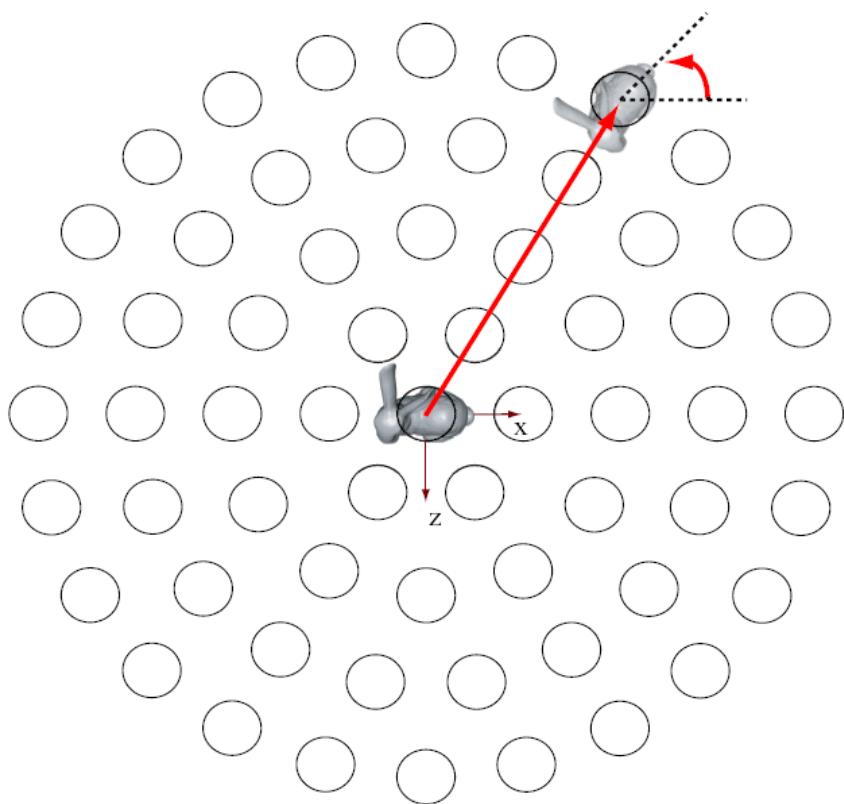
**Precompute piecewise-quadratic approximation to distance field throughout space**

**Store in “d2tree” data structure**

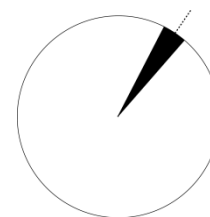
**At run time, look up quadratic approximants and optimize using Newton's method**

- More robust, wider basin of convergence
- Often fewer iterations, but more precomputation

# Convergence Funnel



Translation in x-z plane.  
Rotation about y-axis.

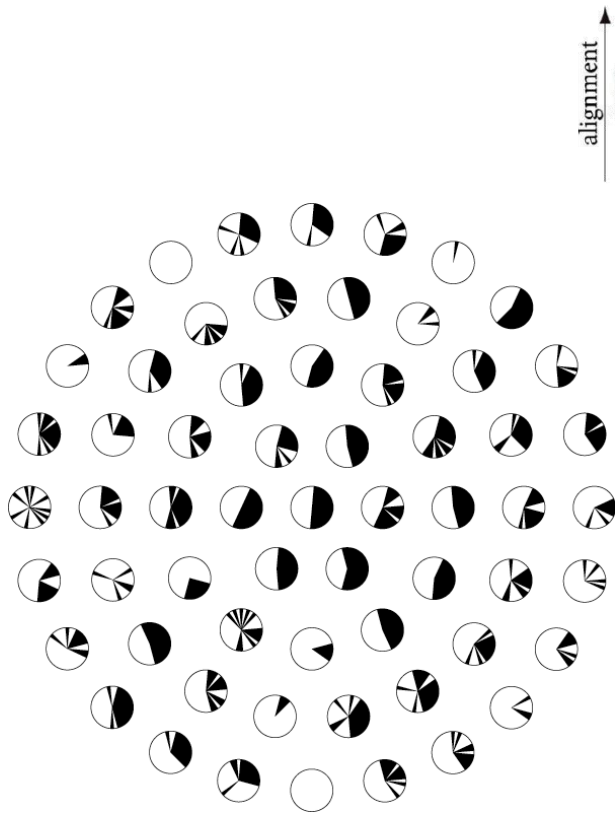


Converges

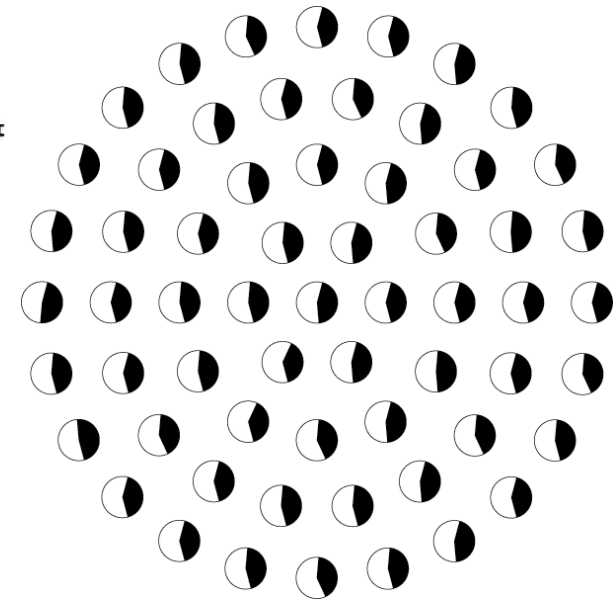
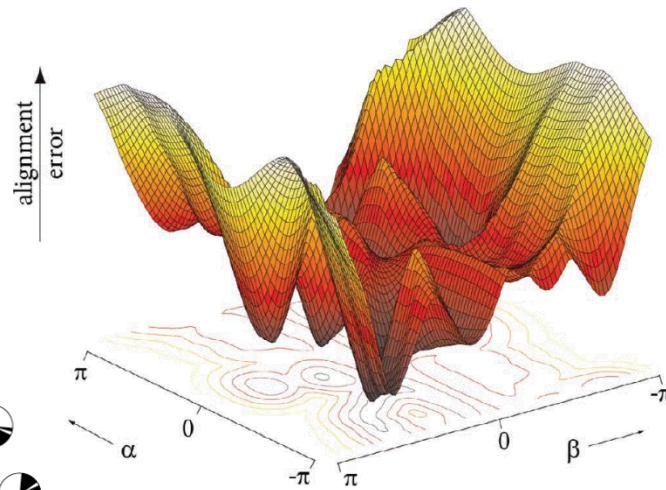


Does not converge

# Convergence Funnel



Plane-to-plane ICP



distance-field  
formulation